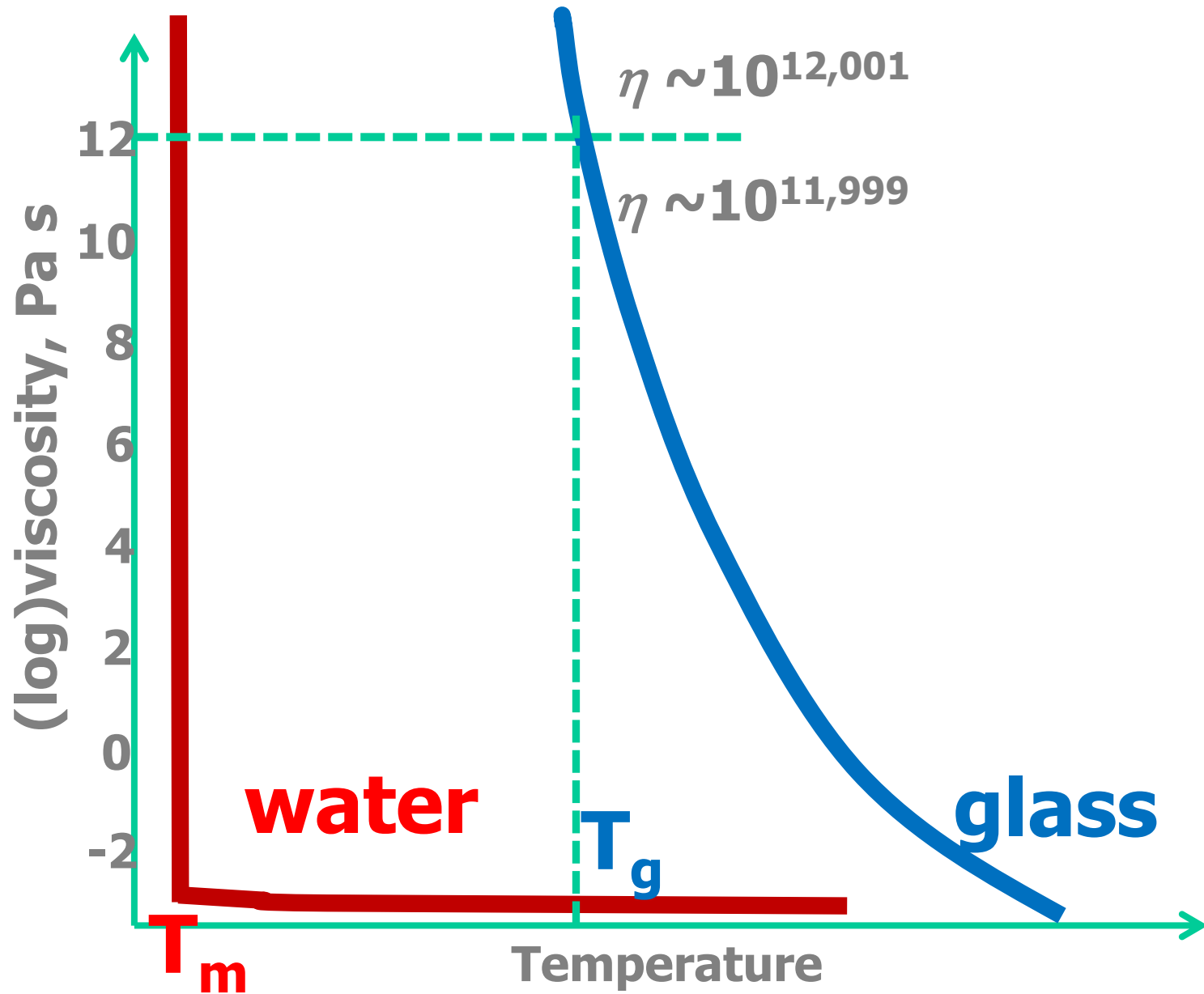


# ***Glass Transition in Polymers***

## ***Effects of confinement***

*Alexey Lyulin*

*Soft Matter and Biological Physics*  
*Technische Universiteit Eindhoven*  
*[a.v.lyulin@tue.nl](mailto:a.v.lyulin@tue.nl)*



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1. Highly frustrated Bi-Cr-Sb-O pyrochlore with spin-glass transition  
By: Bert, D. G.; Grisham, A. V.; Kaplan, G. W.; et al.  
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Published OCT 1 2018  
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2. Effect of restricted geometry and external pressure on the phase transitions in ammonium hydrogen sulfate confined in a nanoporous glass matrix  
By: Mikhailov, Ekaterina A.; Florov, Igor N.; Koltchev, Andrey V.; et al.  
JOURNAL OF MATERIALS SCIENCE Volume 53 Issue 17 Pages 12130-12144  
Published SEP 2018  
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3. An experimental critique on the existence of fragile-to-strong transition in glass-forming liquids  
By: Zhu, W.; Wang, M. A.; Lockhart, M. J.; et al.  
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4. Glass transition temperatures in pure and composite organic thin films  
By: Yang, Han-Nan; He, Shou-Jie; Zhang, Jiao; et al.  
ORGANIC ELECTRONICS Volume 60 Pages 45-50  
Published SEP 2018  
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5. Thermal history driven molecular structure transitions in aluminio-borosilicate glass  
By: Back, Ji-Yoon; Shin, Seung Ho; Kim, Seon-Hyo; et al.  
JOURNAL OF THE AMERICAN CERAMIC SOCIETY Volume 101 Issue 8 Pages 2771-2775  
Published AUG 2018  
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6. Structure transformations in thin films of CFS-CF<sub>2</sub> cryodeposits. Is there a glass transition and what is the value of T<sub>g</sub>?  
By: Orlovskiy, Andrey; Alchayarov, Abdulkhmal; Nurmukun, Asad; et al.  
Conference: 12th International Conference on Surfaces, Coatings and Nano-Structured Materials (NANOCON-12) - 12th International Conference on Surfaces, Coatings and Nano-Structured Materials (NANOCON-12) - 12th International Conference on Surfaces, Coatings and Nano-Structured Materials (NANOCON-12) - 12th International Conference on Surfaces, Coatings and Nano-Structured Materials (NANOCON-12) - 12th International Conference on Surfaces, Coatings and Nano-Structured Materials (NANOCON-12)  
APPLIED SURFACE SCIENCE Volume 446 Special Issue 51 Pages 171-181  
Published JUL 15 2018  
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7. Ring opening copolymerisation of lactide and mandelide for the development of environmentally degradable polyesters with controllable glass transition temperatures  
By: Gnanou, Yannick; Van den Brack, Bas; Van den Brack, Bas; et al.  
REACTIVE & FUNCTIONAL POLYMERS Volume 125 Pages 16-23  
Published JUL 2018  
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8. Kinetics of Glass Transition and Crystallization of a Zr<sub>40</sub>Hf<sub>10</sub>Ti<sub>40</sub>Al<sub>10</sub>Co<sub>25</sub>Ni<sub>7</sub>Co<sub>25</sub> Bulk Metallic Glass with High Mixing Entropy  
By: Gong, Peng Wang; Sides, Li; Jangwek, et al.  
METALLURGICAL AND MATERIALS TRANSACTIONS A: PHYSICAL METALLURGY AND MATERIALS SCIENCE Volume 484 Issue 1 Pages 2413-2420  
Published JUL 2018

spin glasses

nanocomposites

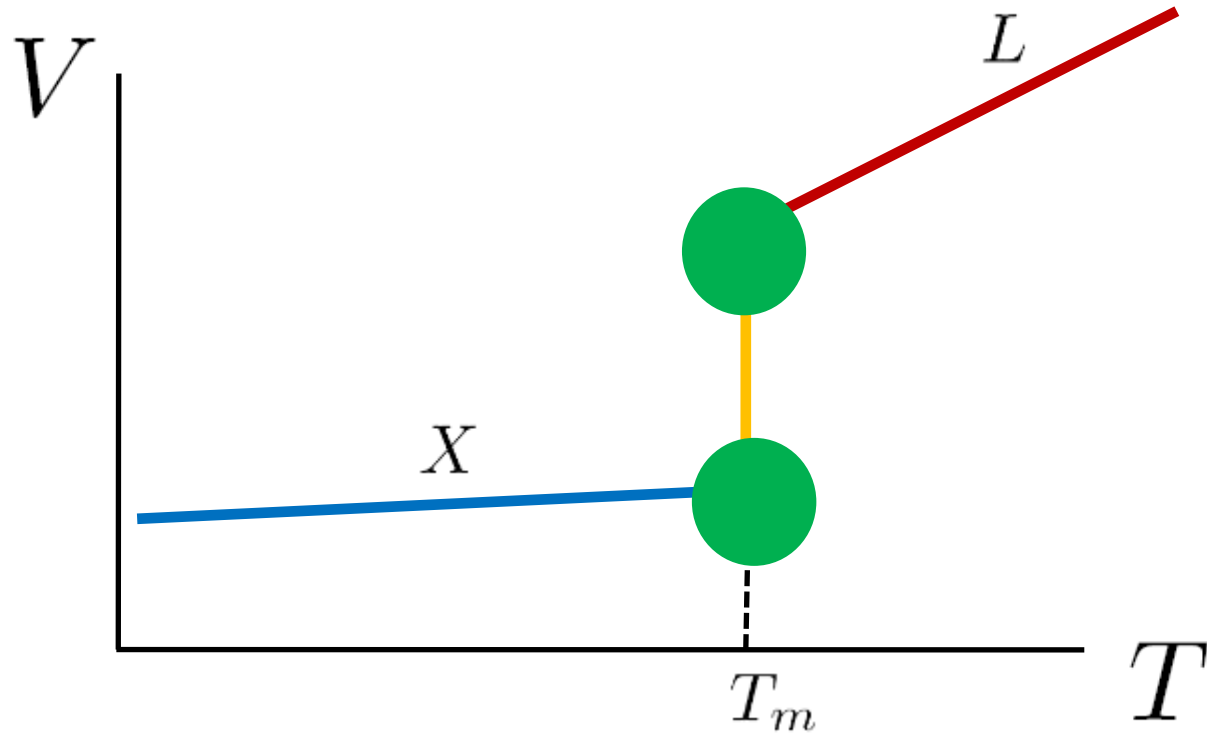
simple glass-formers

metallic glasses

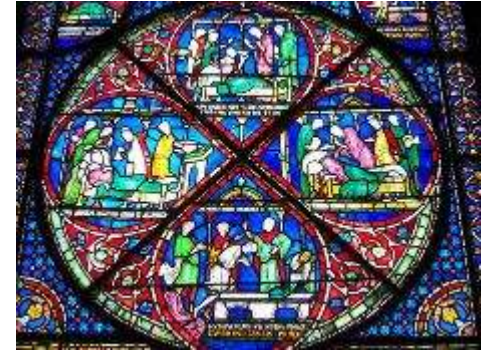
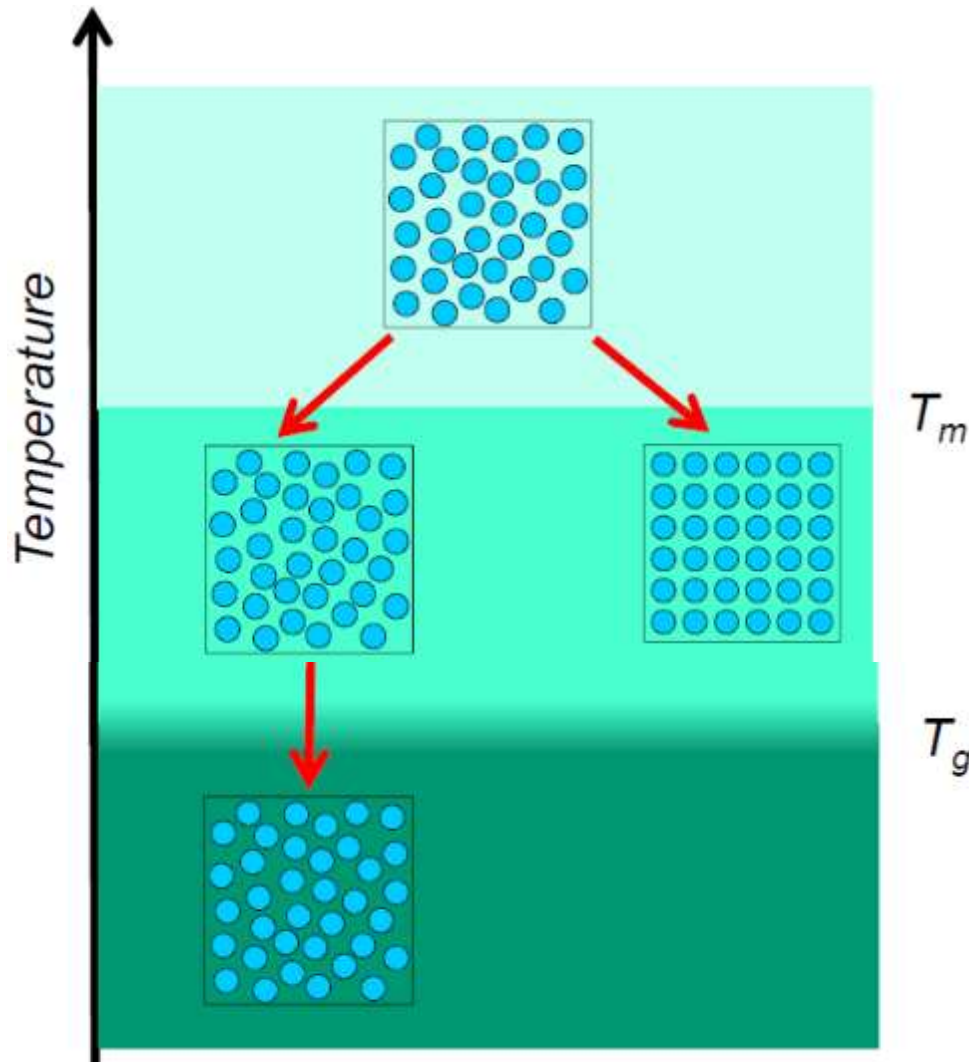
thin films

polymer glasses

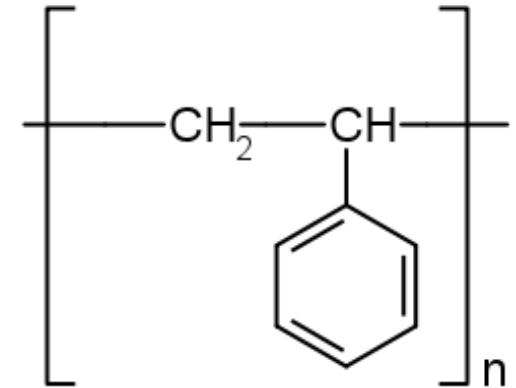
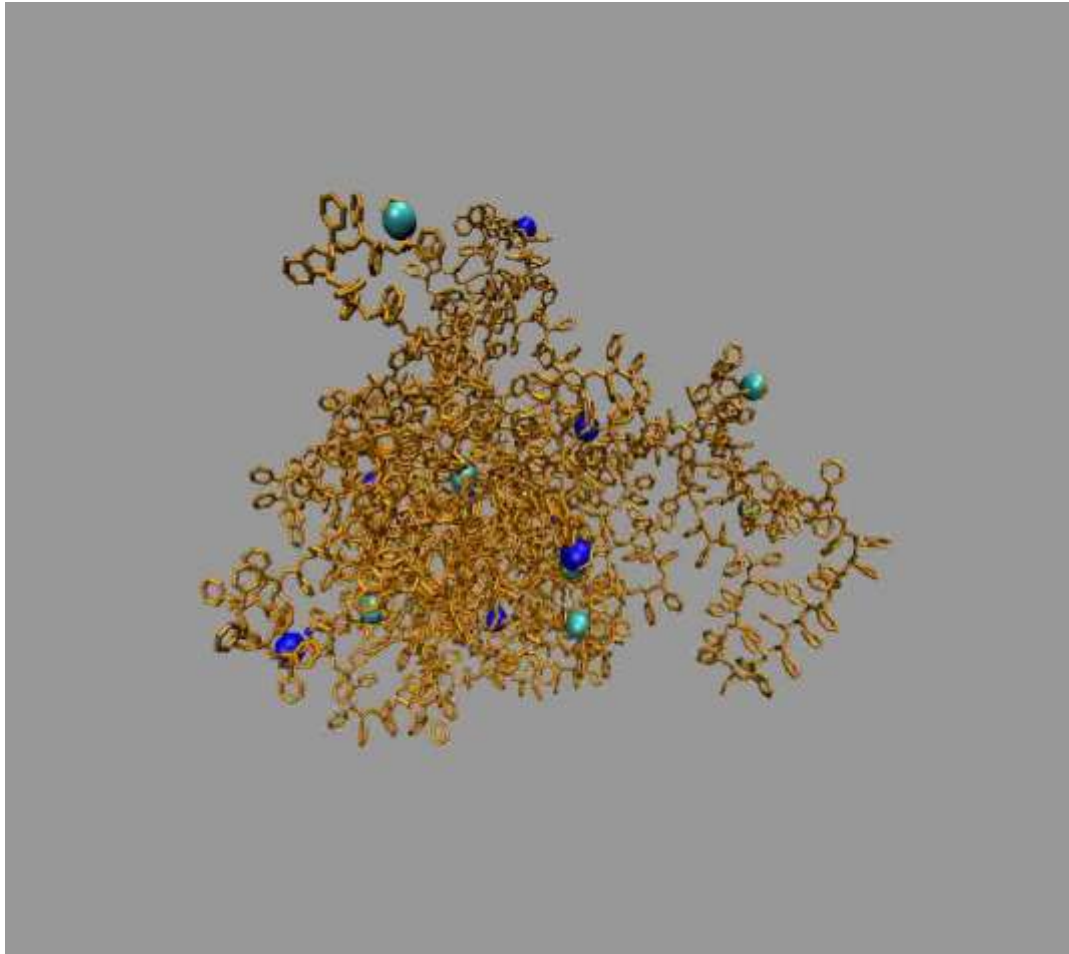
# What happens upon cooling?



# Reality

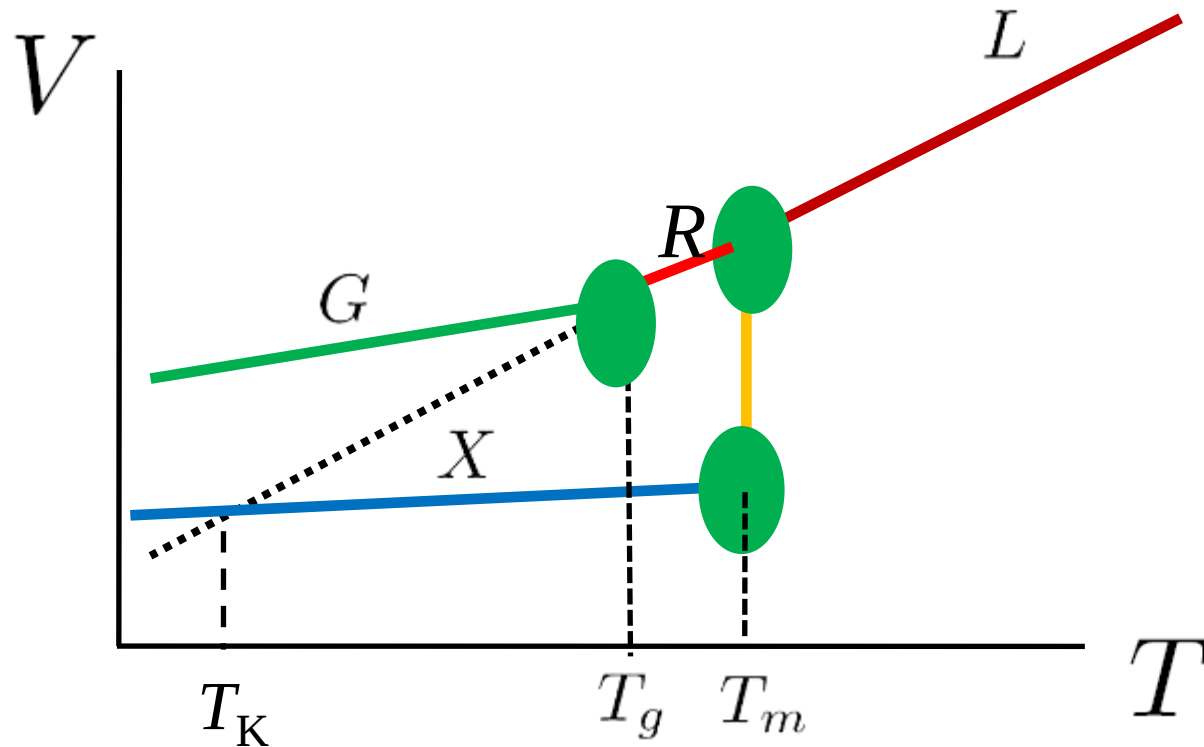


# Polymer glass formers

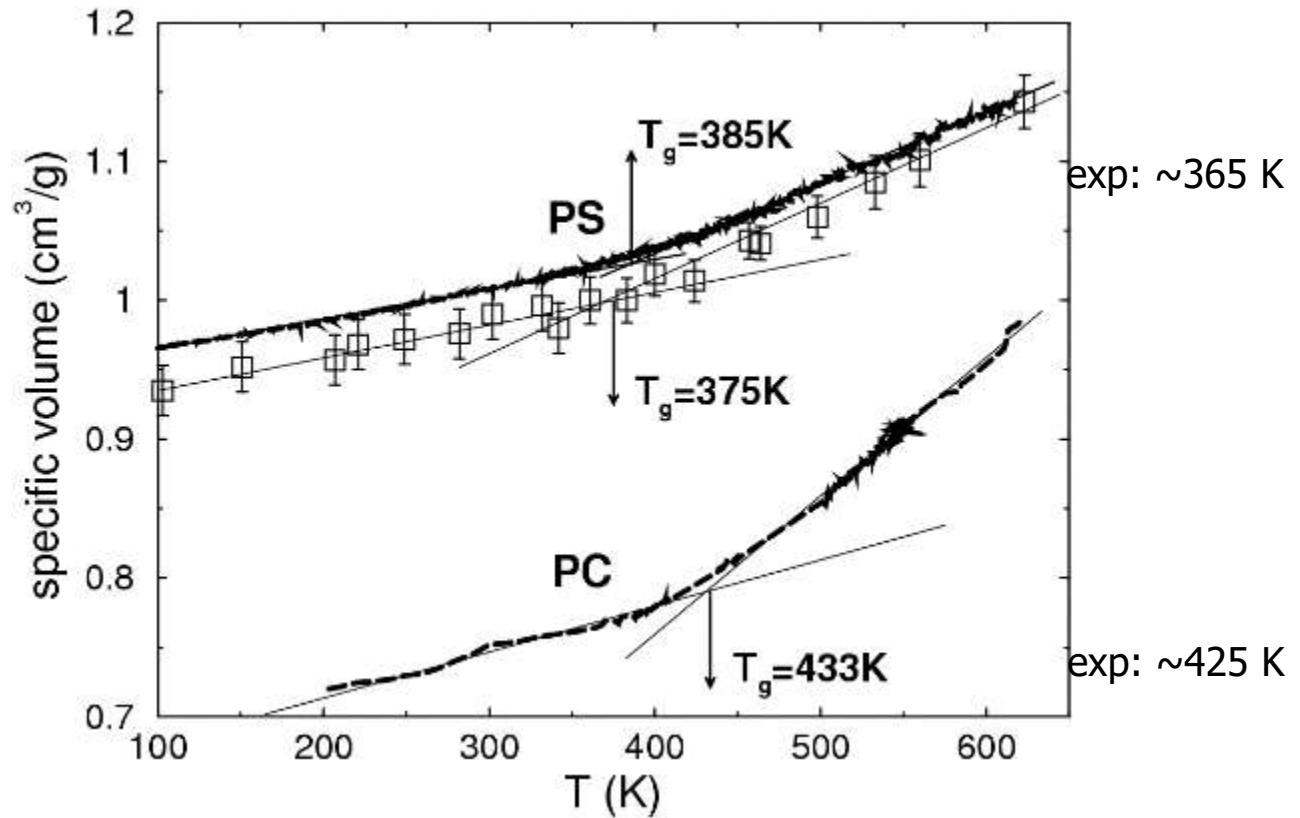


# Many polymer chains

What happens when we put many polymer chains together?

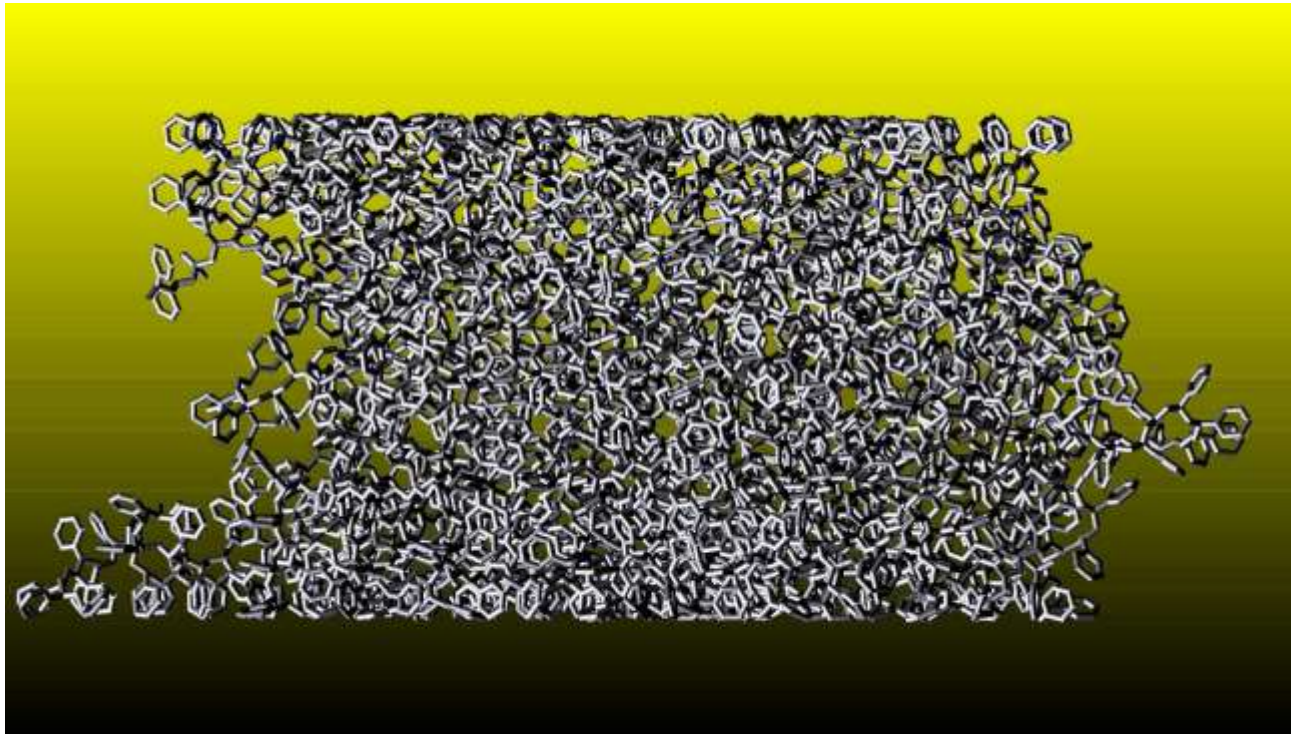


# MD-determined glass transition



- MD-determined  $T_g$  is displaced upward as compared to experiment
- The value of  $T_g$  is strongly cooling-rate dependent

# Glass transition in thin polymer films





THE JOURNAL OF CHEMICAL PHYSICS

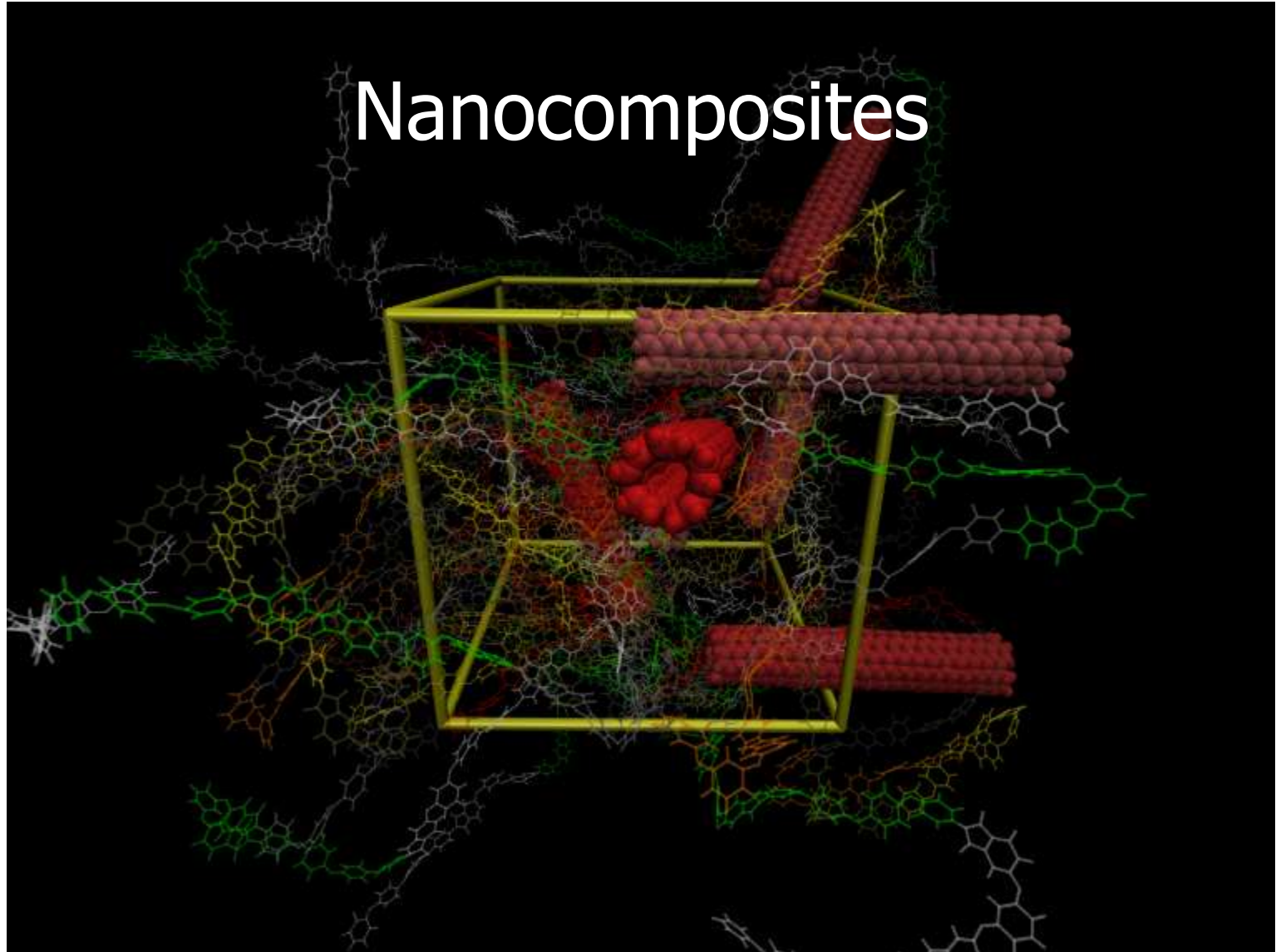
Volume 146, Issue 20, 28 May 2017

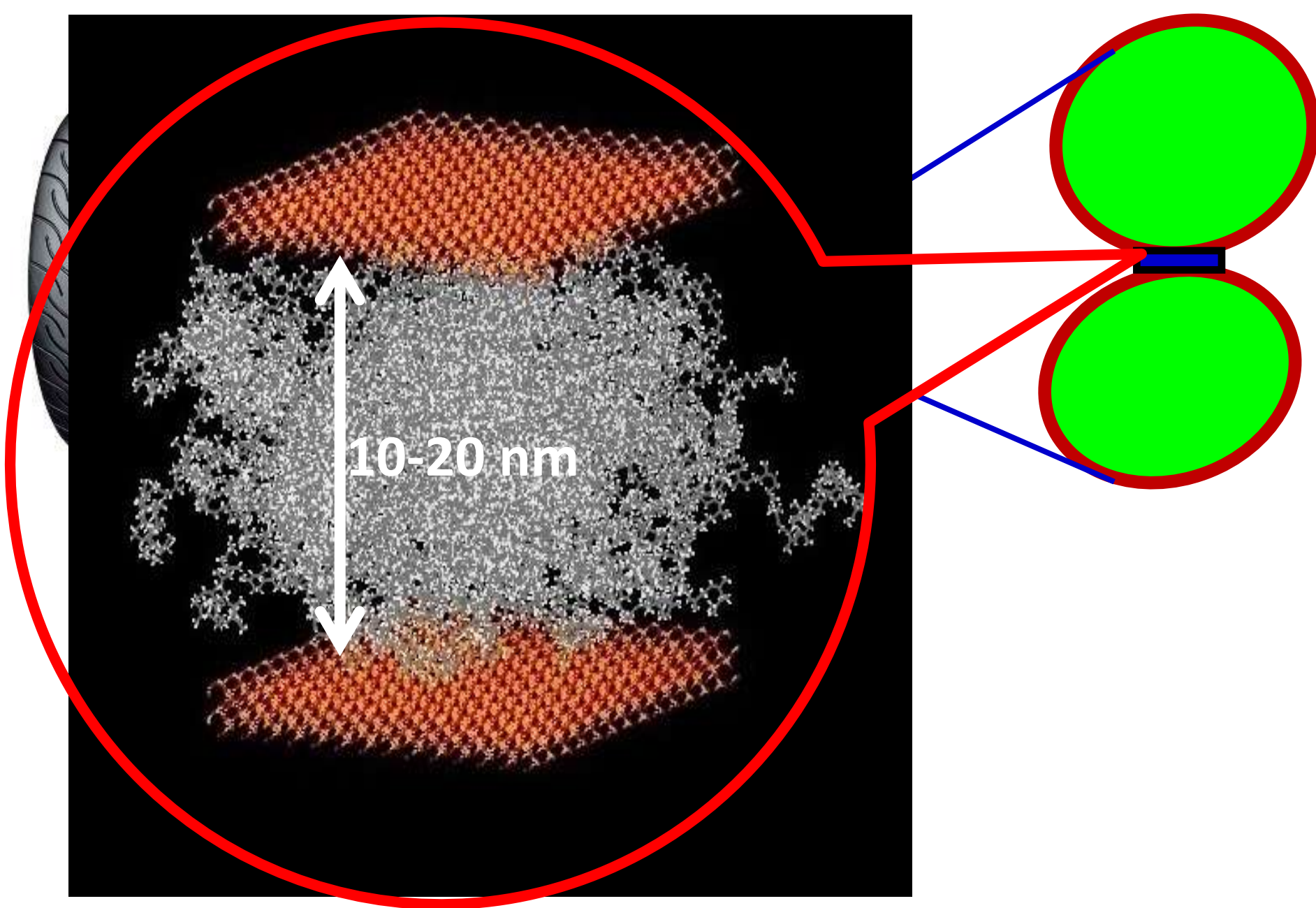
**SPECIAL TOPIC:  
DYNAMICS OF POLYMER MATERIALS IN THIN FILMS AND RELATED GEOMETRIES**

**- 26 focus articles**

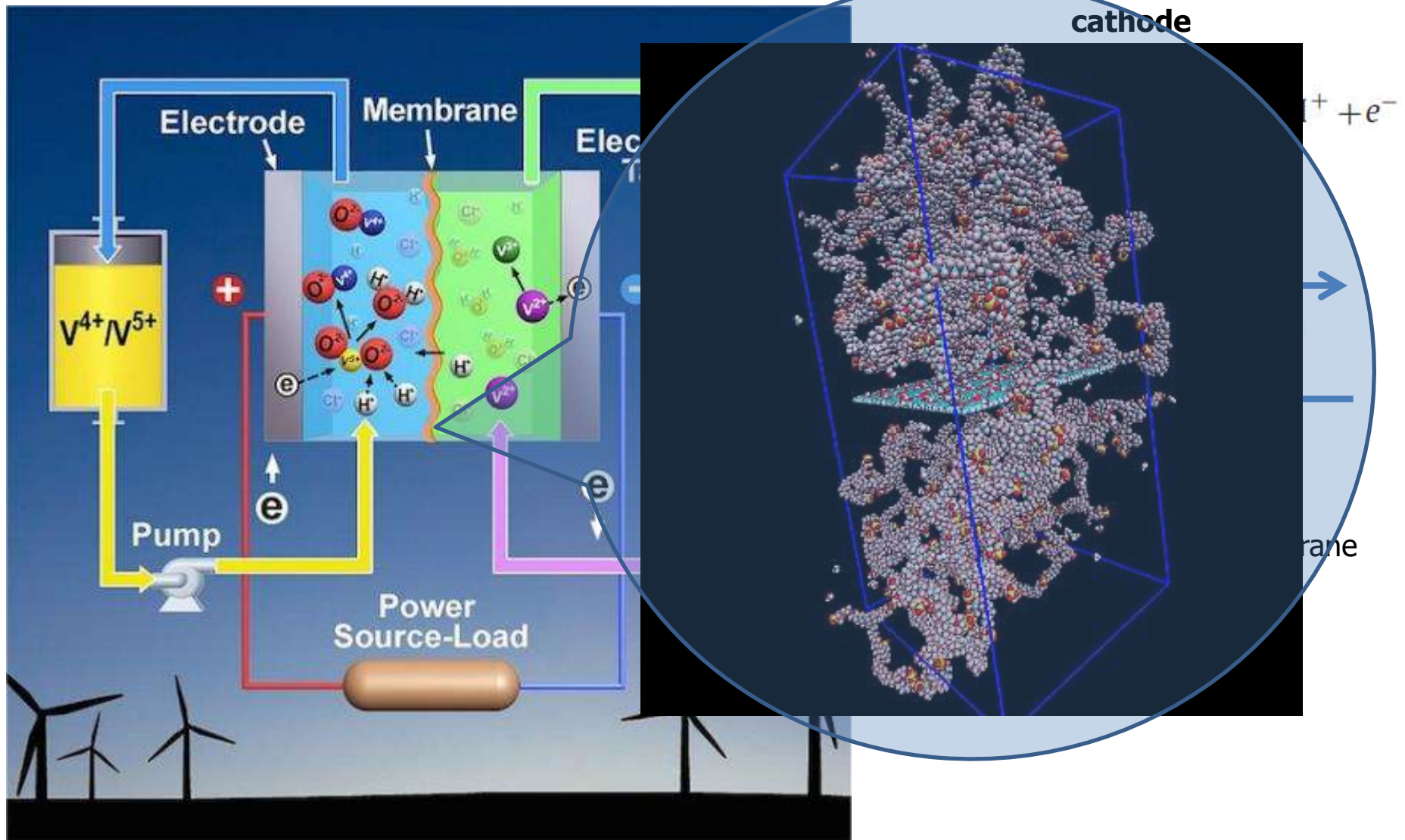
AVL, N. K. Balabaev, A. R.C. Baljon, G. Mendoza, C. W. Frank , D. Y. Yoon, ***J. Chem. Phys.*, 2017**  
S. Lee, AVL, C. W. Frank, D. Y. Yoon, ***Polymer*, 2017**

# Why films?

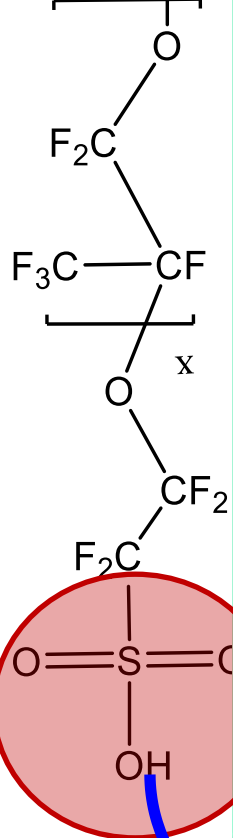
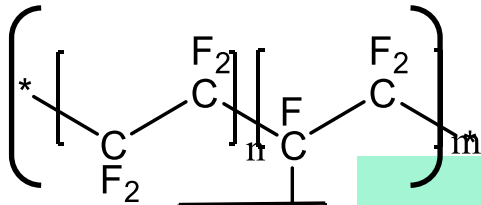




# (Redox) Flow Batteries

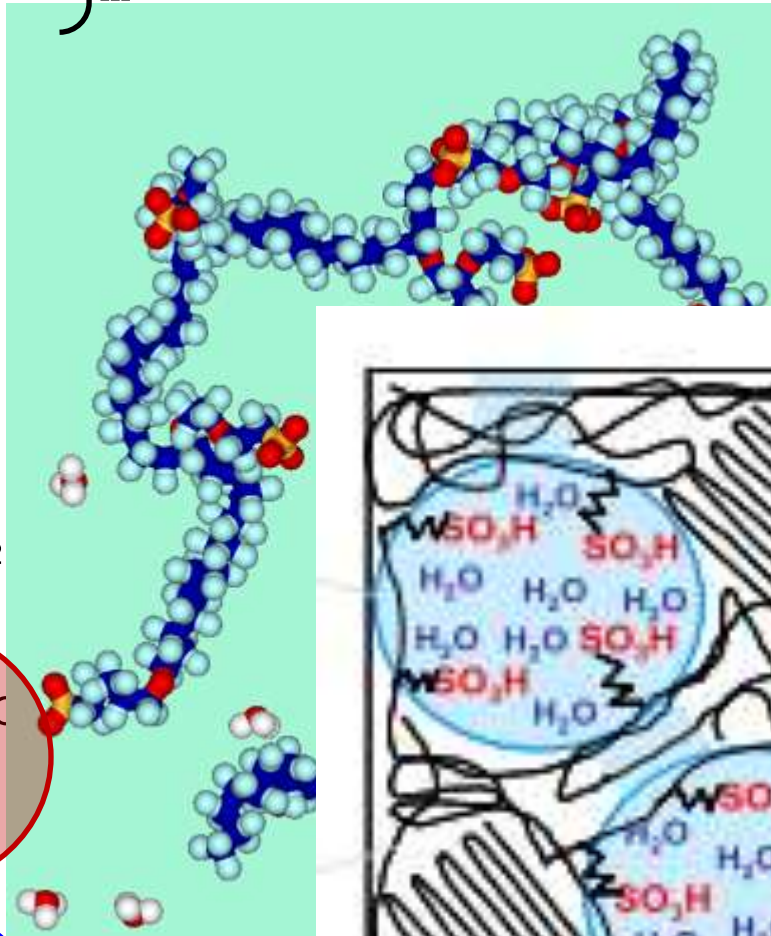


# Nafion (DuPont, 1960s)

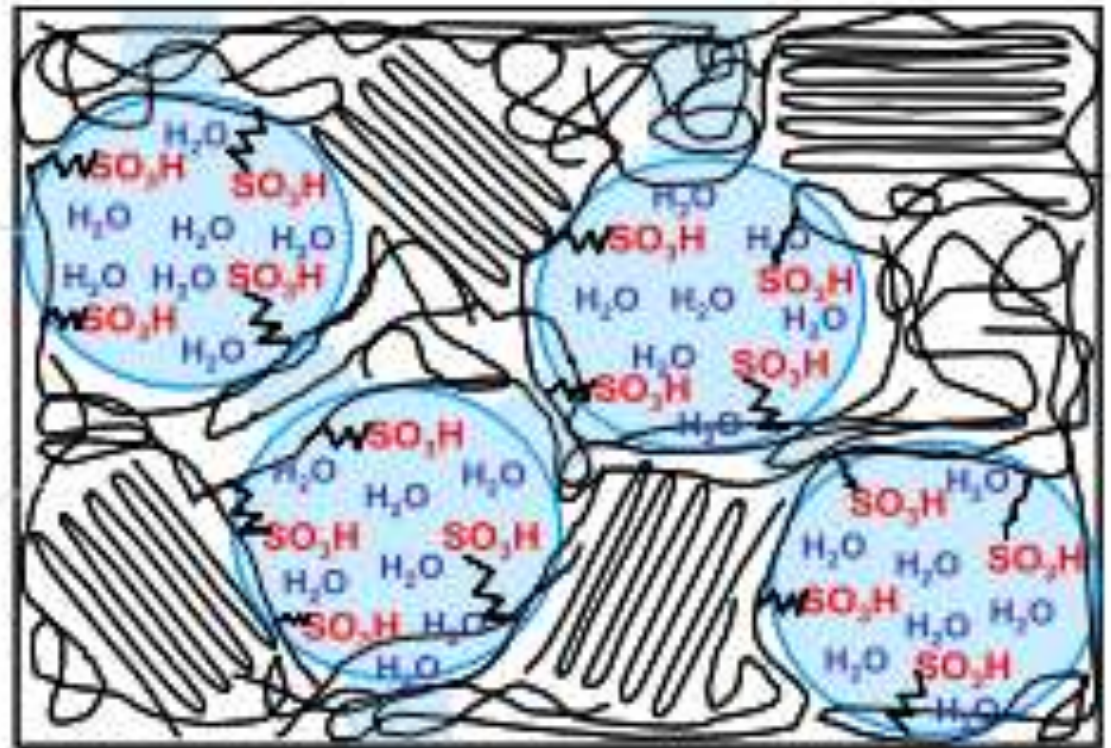


n=7  
x=1  
m=10

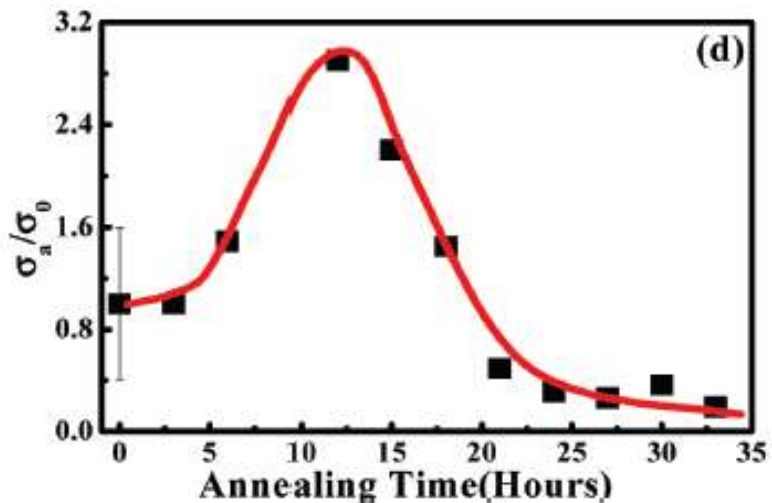
$\text{H}^+$



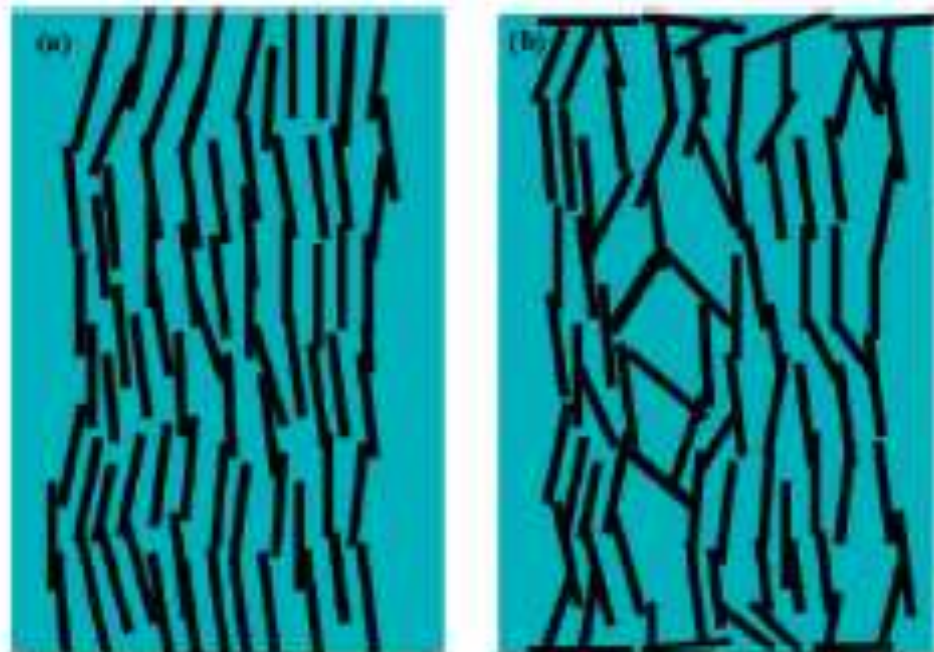
- hydrophobic backbone - **strength**
- hydrophilic side chain - **absorb water**
- high proton conductivity, **0.2 S/cm**



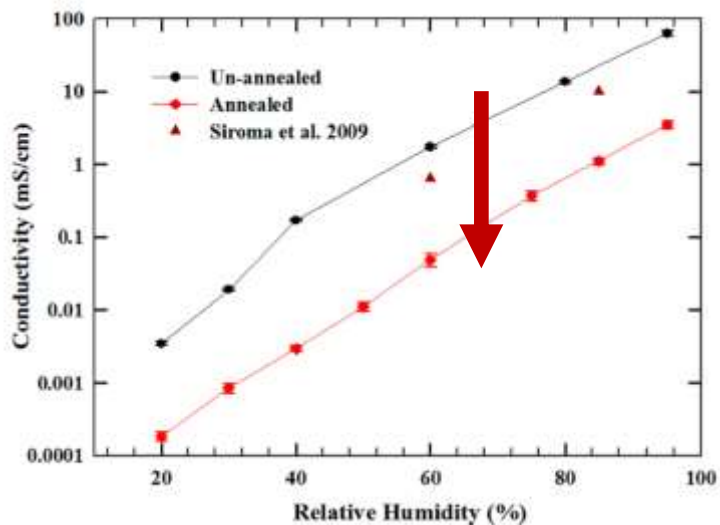
# Experimental Evidence



Kwon et al., J. Phys. Chem. B, 2010, 114, 14989

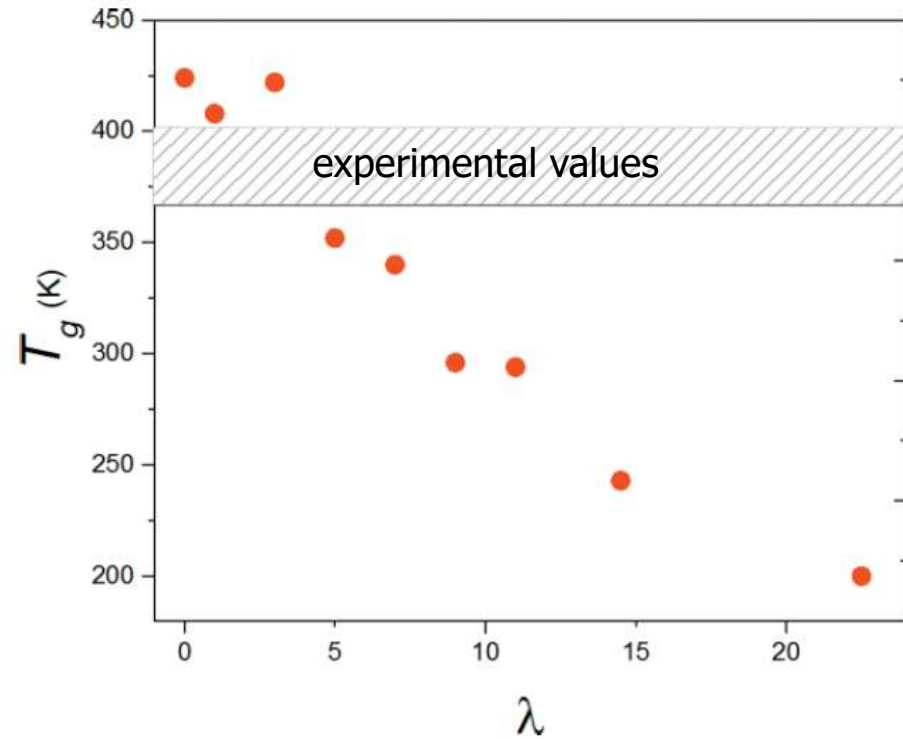


a) – pristine membrane  
b) - annealed membrane

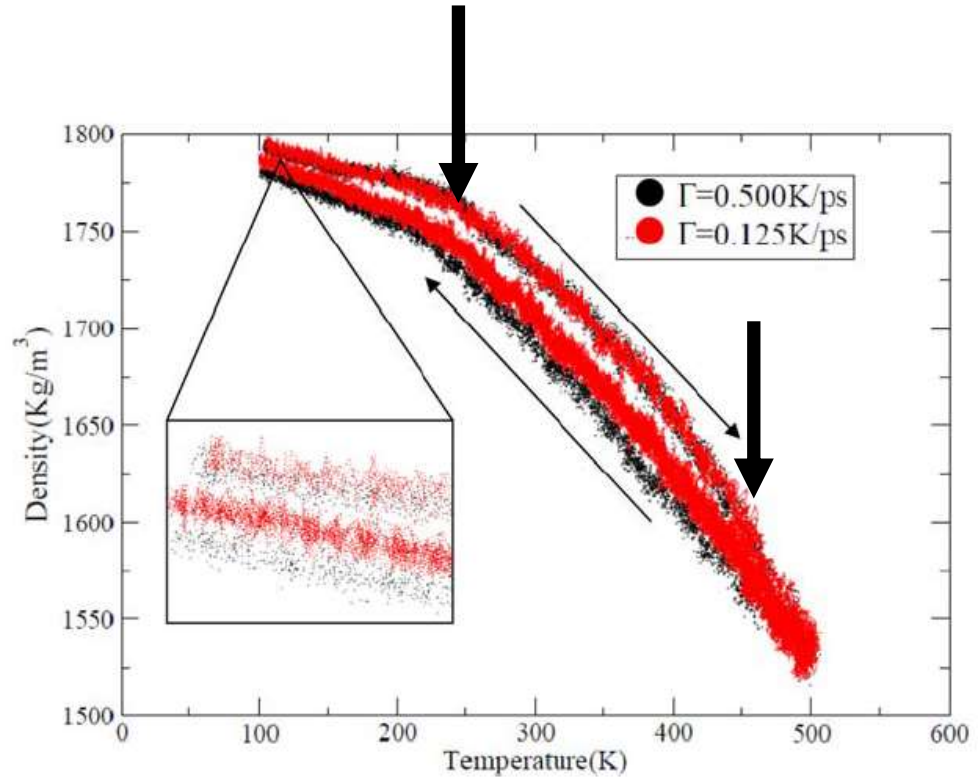


Paul et al., J. Phys. Chem. C, 2014, 118, 1828

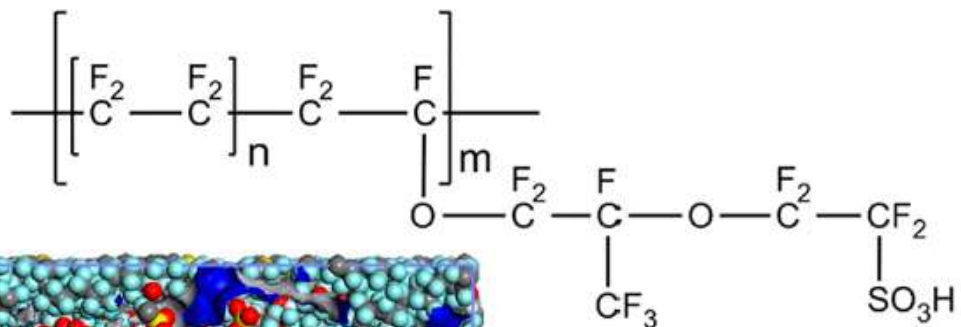
# Glass Transition



Fleury et al., Fuel Cells, 2016

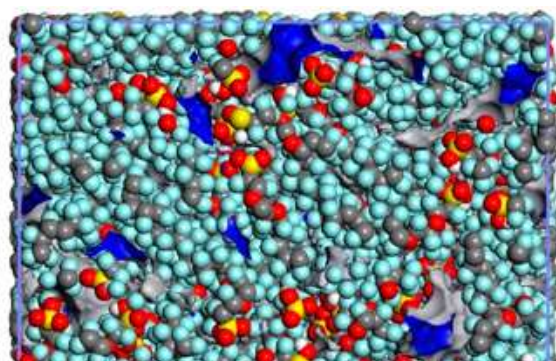


Ozmaian et al., J. Polym. Sci., Polym. Phys., 2014

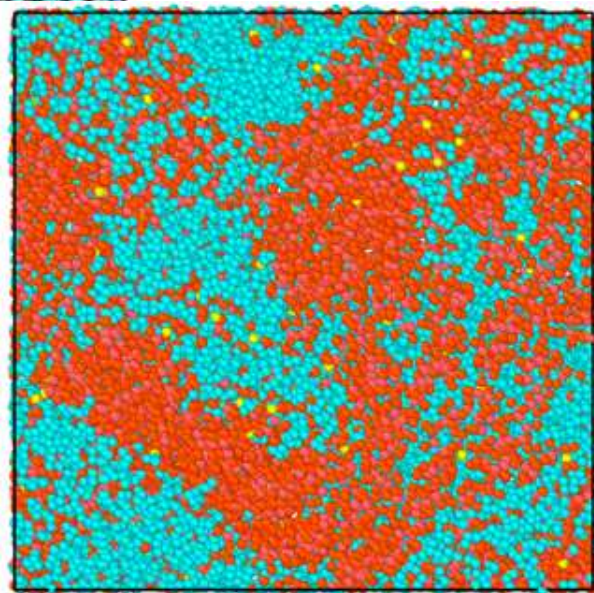


*m=10, n=7, Nafion equivalent weight (EW) of 1100,*

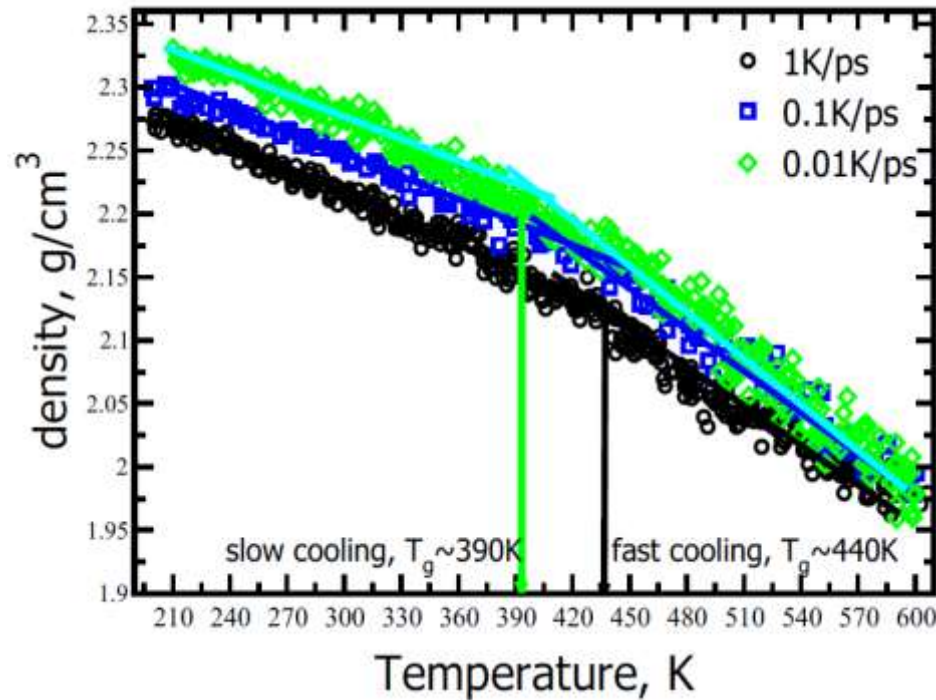
*pcff + COMPASS force fields*



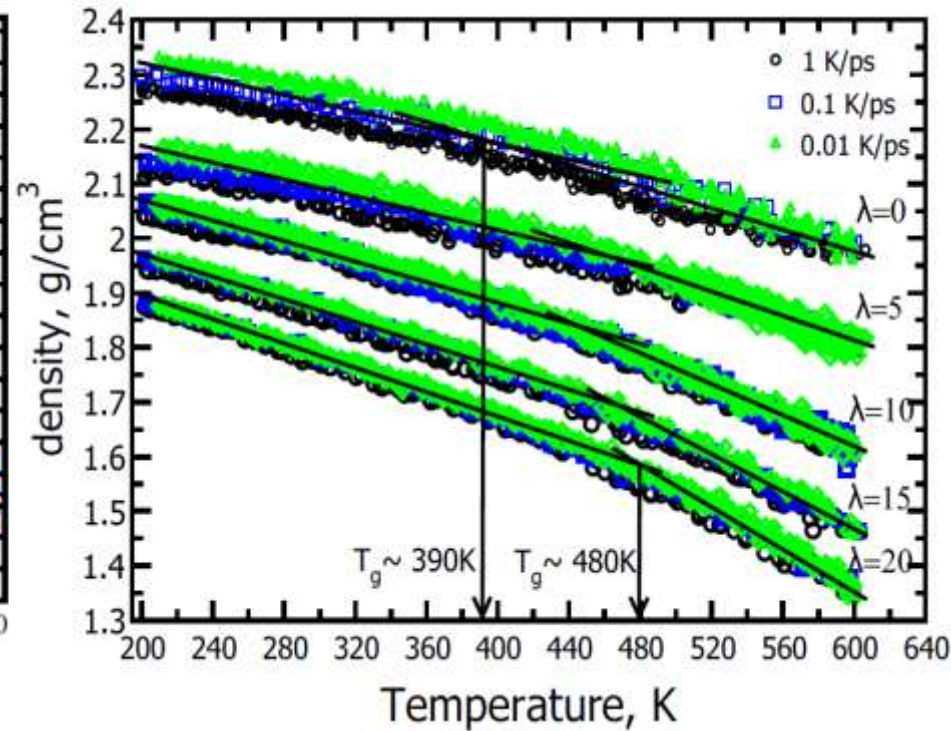
*dry Nafion*



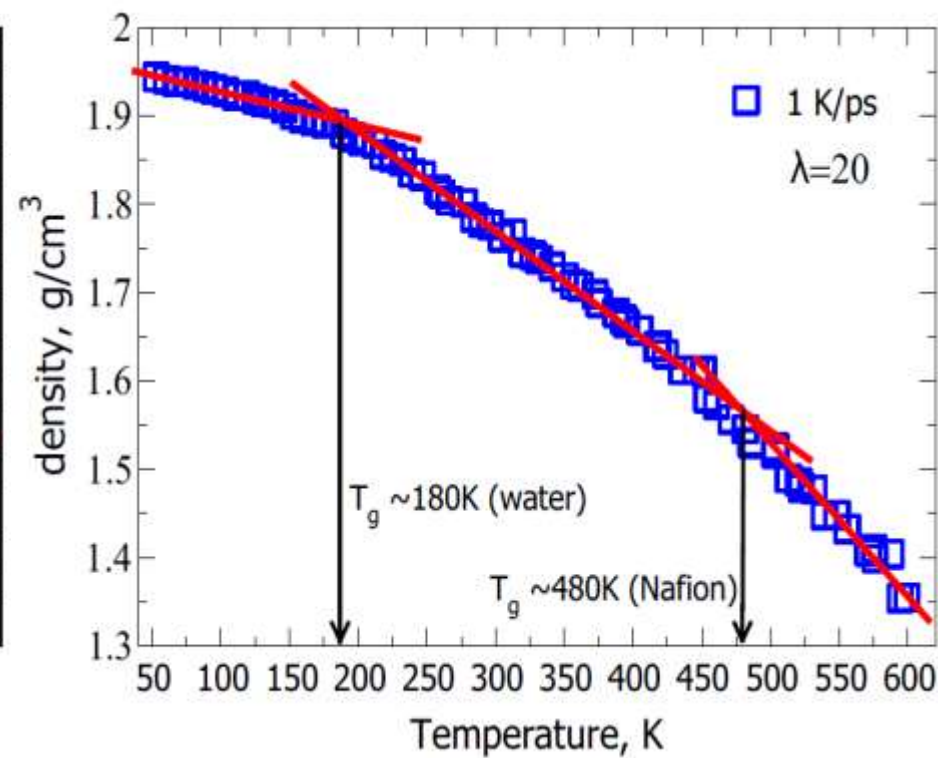
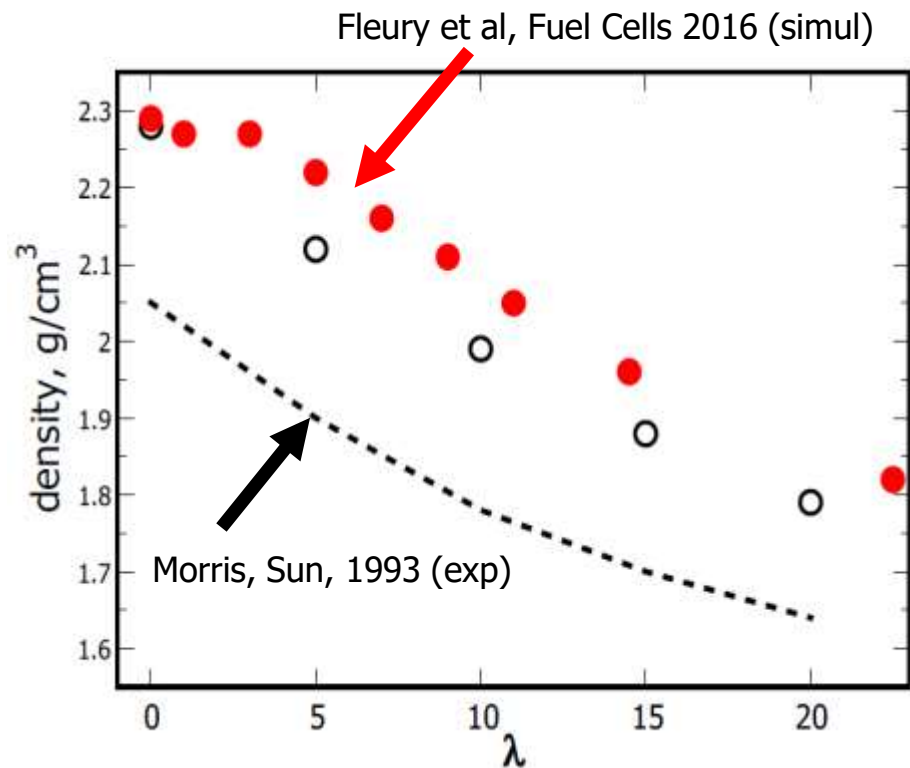
*hydrated Nafion ( $\lambda=15$ )*



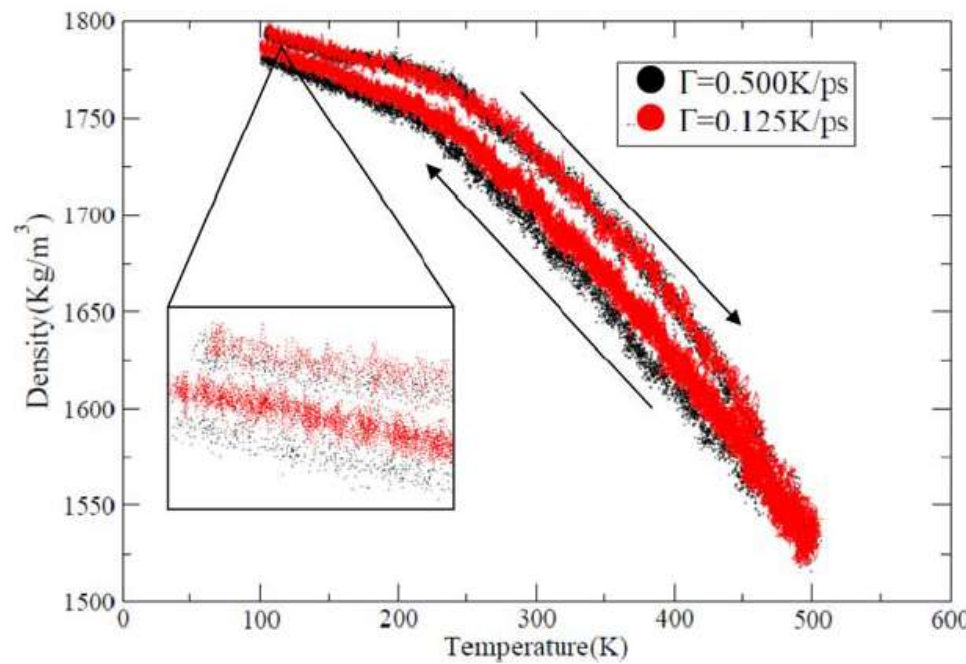
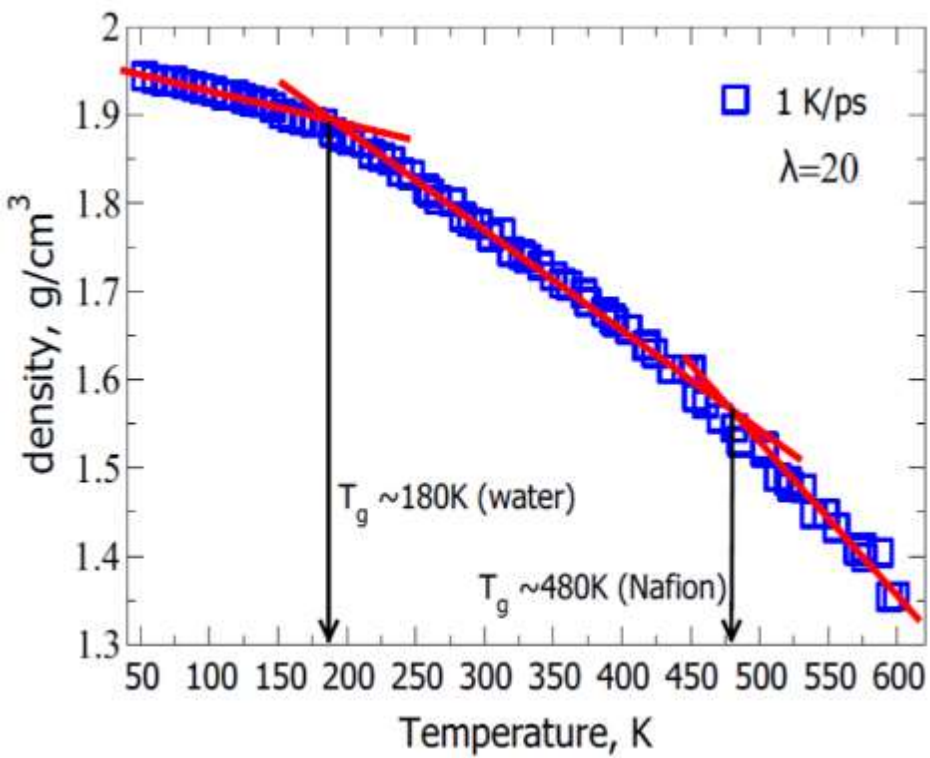
- dry Nafion cooled down with different cooling velocities.
- shift of the  $T_g$  value of about 50 K is observed for fastest cooling.



- increase of the glass-transition temperature with increasing hydration level is clearly visible

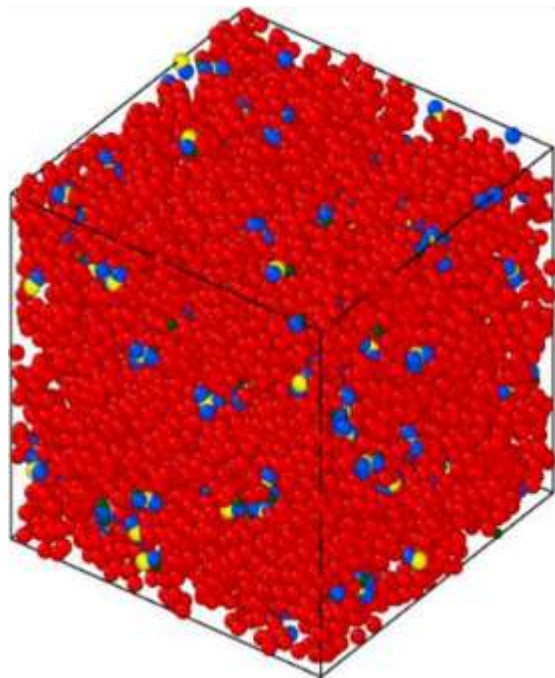


*The second glass-transition temperature for water is clearly visible upon further cooling*

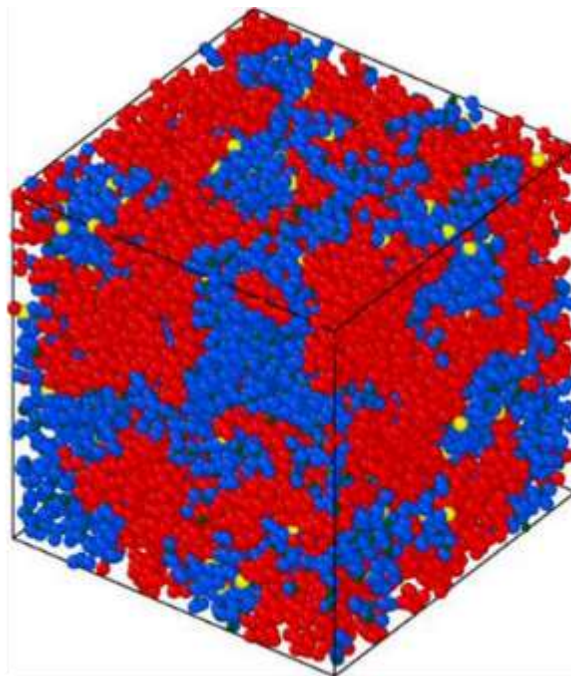


$\lambda=14$

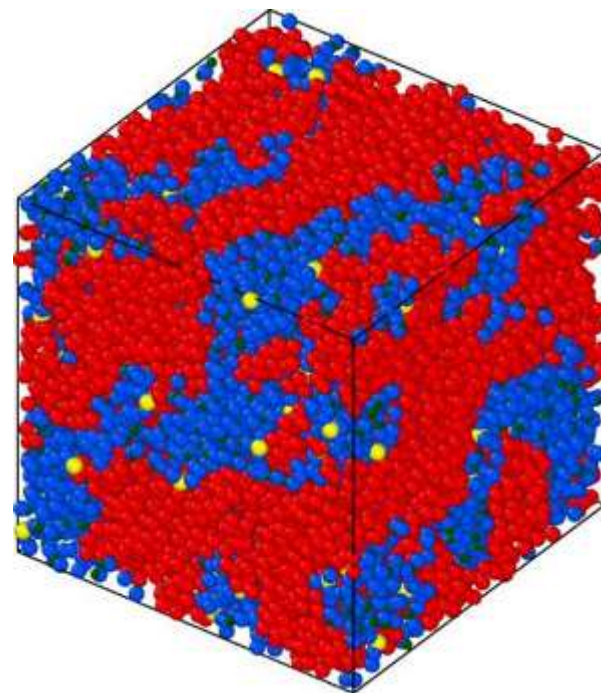
Ozmaian et al., J. Polym. Sci., Polym. Phys., 2014



*dry ( $\lambda=0$ ) Nafion, 600 K*

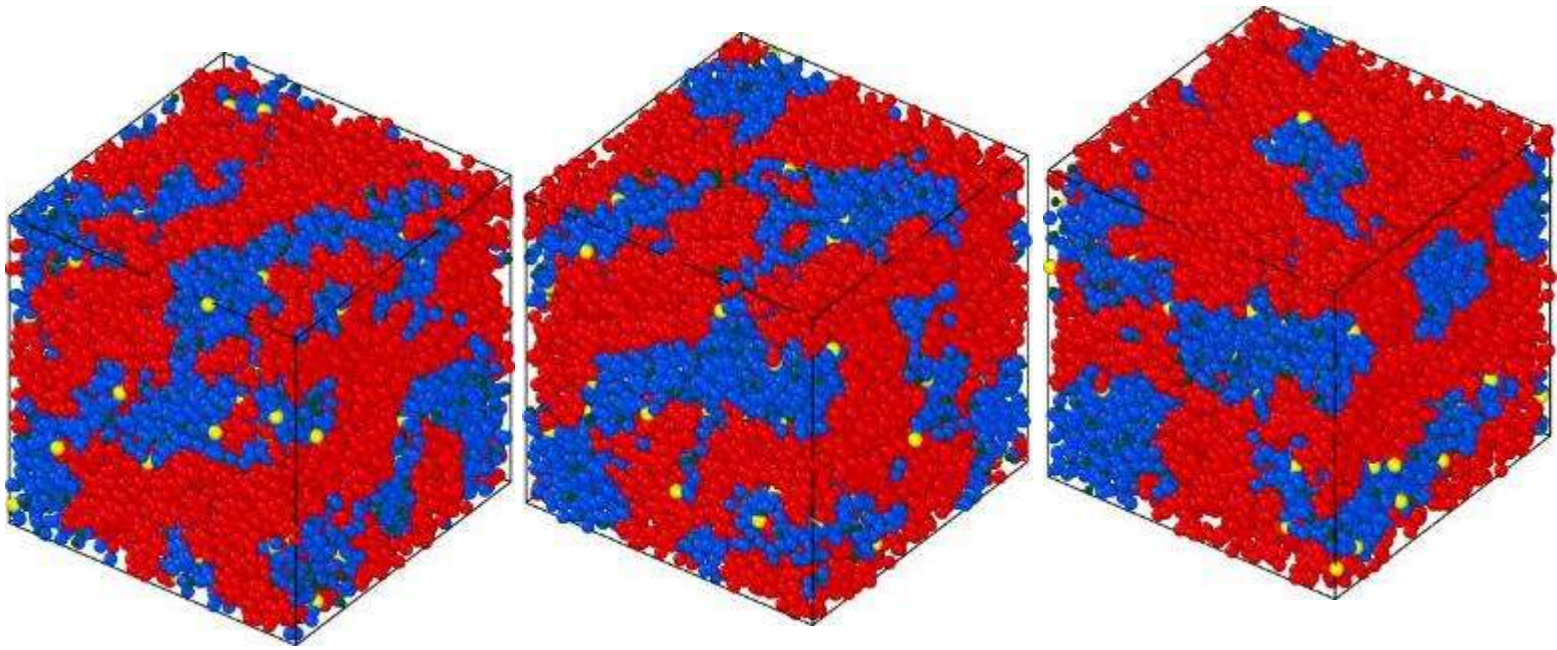


*development of the percolated water cluster (blue) upon hydration ( $\lambda=15$ ),  $T=600$  K.*



*well-preserved percolated water cluster produced upon fast (cooling velocity 1K /ps) cooling of a hydrated sample from  $T=600$  K to  $T=200$  K,  $\lambda=15$ .*

# Slow Annealing Breaks Percolation



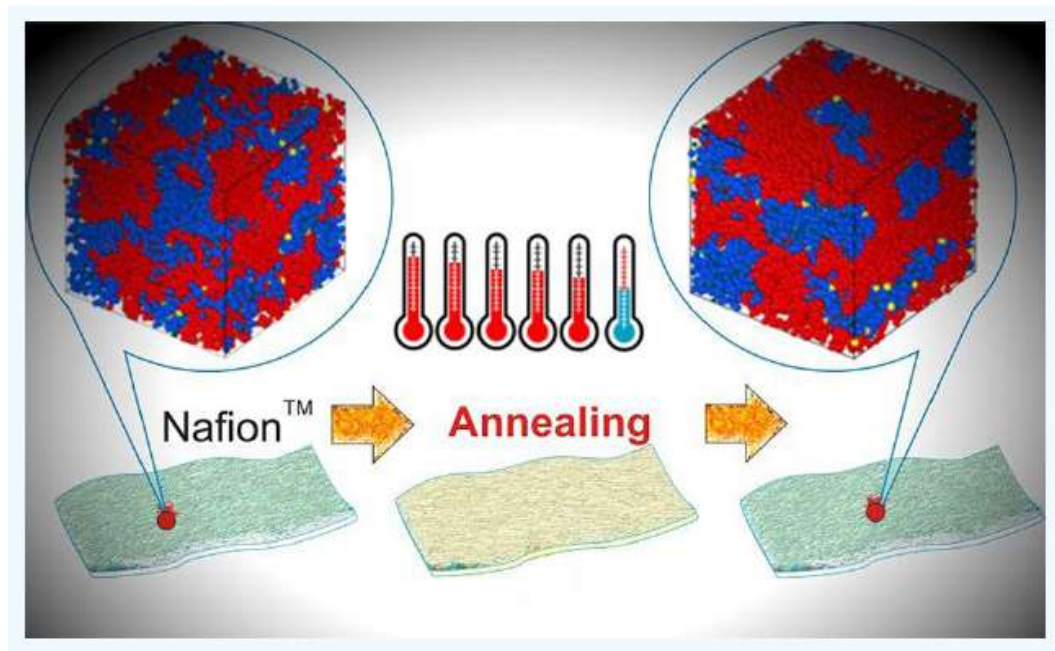
*Nafion quenched from  $T=600$  K to  $T=200$  K by the fastest ( $1\text{K/ps}$ ) cooling,  $\lambda=15$*

*the same but  $0.1\text{K/ps}$  cooling*

*percolated cluster disappears upon slow annealing ( $0.01\text{K/ps}$ ).*

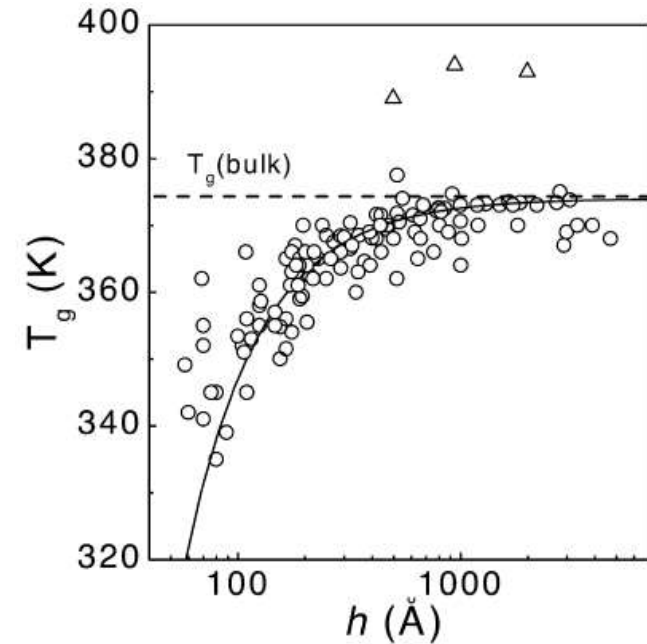
# Conclusions I

- **No** plasticization upon hydration;
- **Two** glass transitions;
- **Breaking of percolation** upon slow annealing, leading to the pronounced decrease of conductivity.

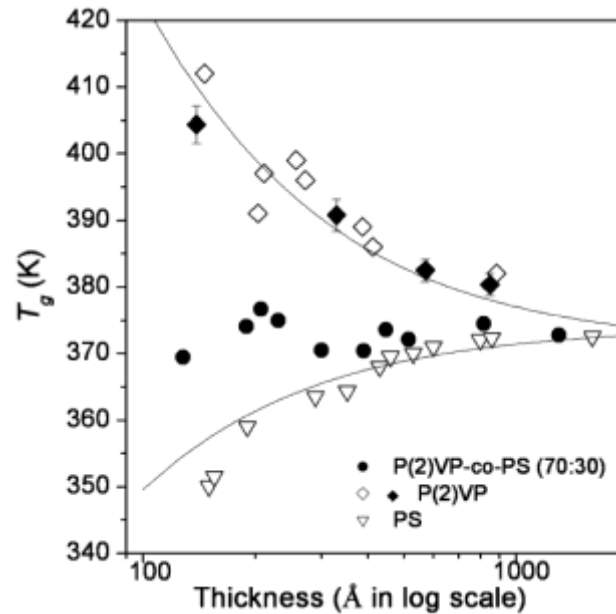


# Effects of Confinement

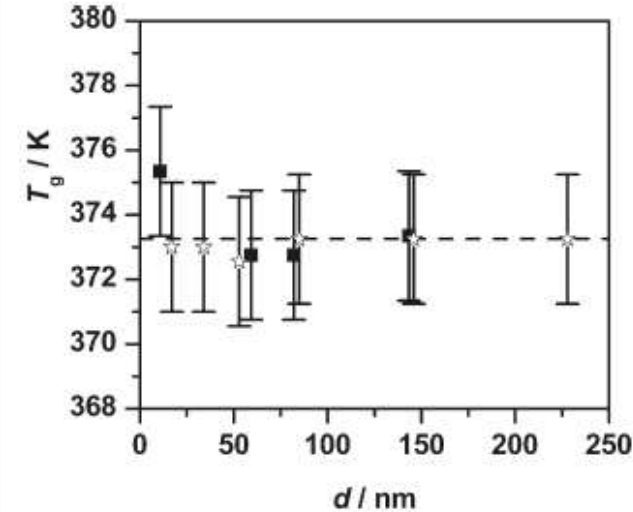
(mainly) PS, supported on silica wafers



J.A.Forrest, K.Dalnoki-Veress,  
*Adv. Colloid. Interface Sci.*, **2001**

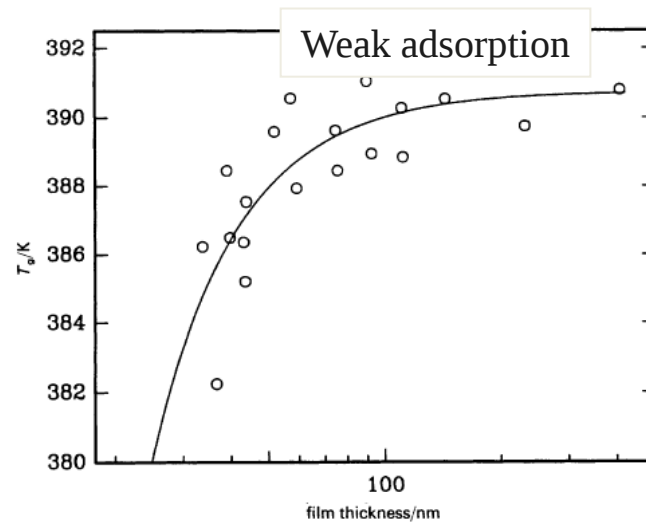


C.H. Park et al.,  
*Polymer*, **2004**

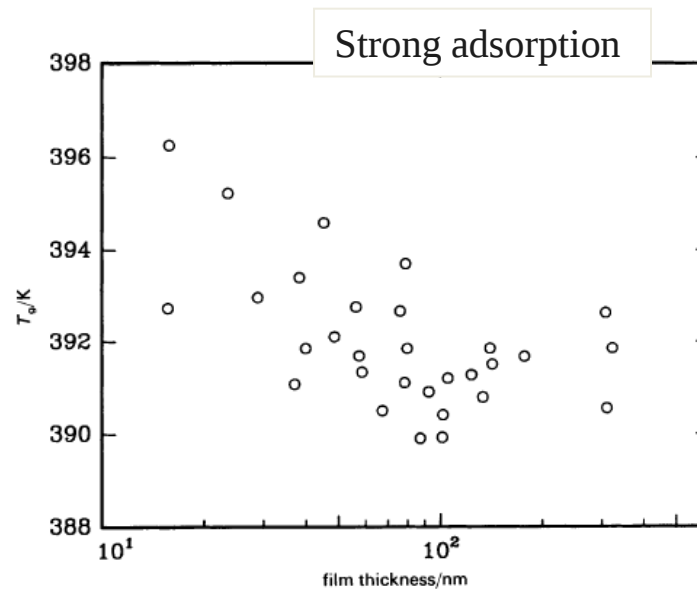


M. Tress, A. Serghei, F. Kremer et al.,  
*Macromolecules*, **2010**

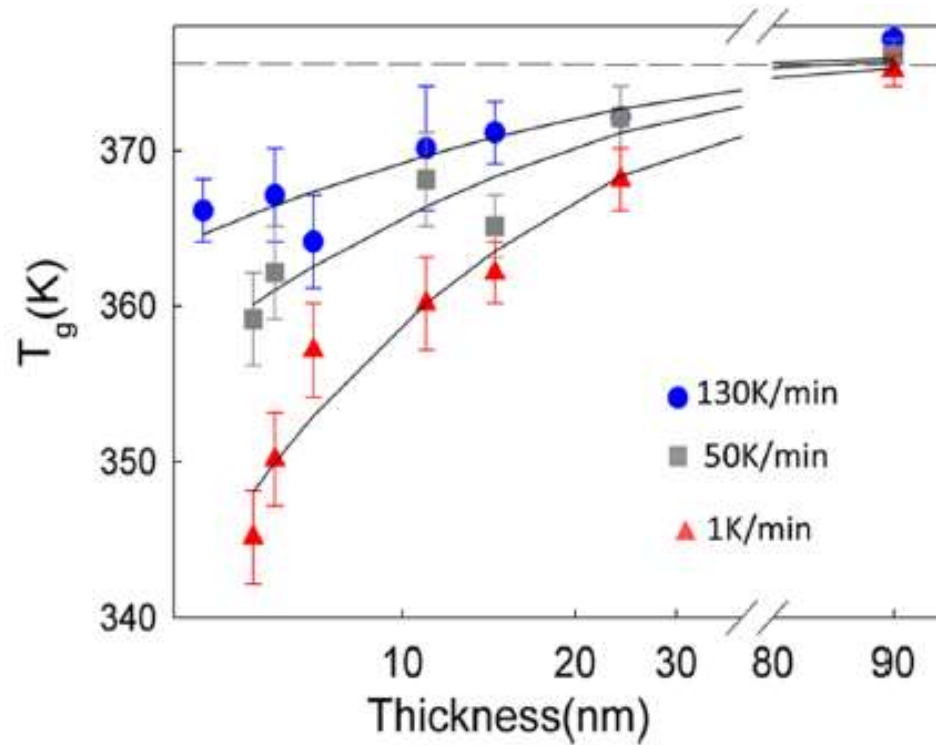
# Effects of substrate



PMMA film on Au



PMMA film on  
oxide-coated Si

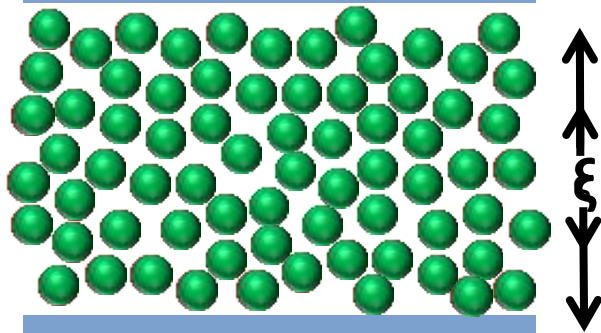


Z. Fakhraai, J.A. Forrest, Phys. Rev. Lett., 2005

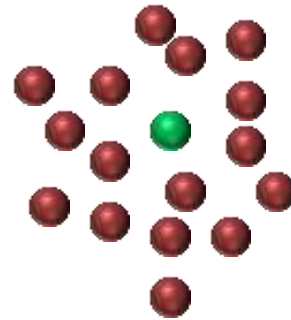
# Cooperatively Rearranging Regions (CRRs)

$$T \geq T_g$$

Substrate



Substrate



$$T \gg T_g$$

*Individual particle motion  
at high temperatures*

**Critical scaling:** cluster relaxation time is  $\tau_c \sim \xi^z$

Maximum cluster size at some temperature

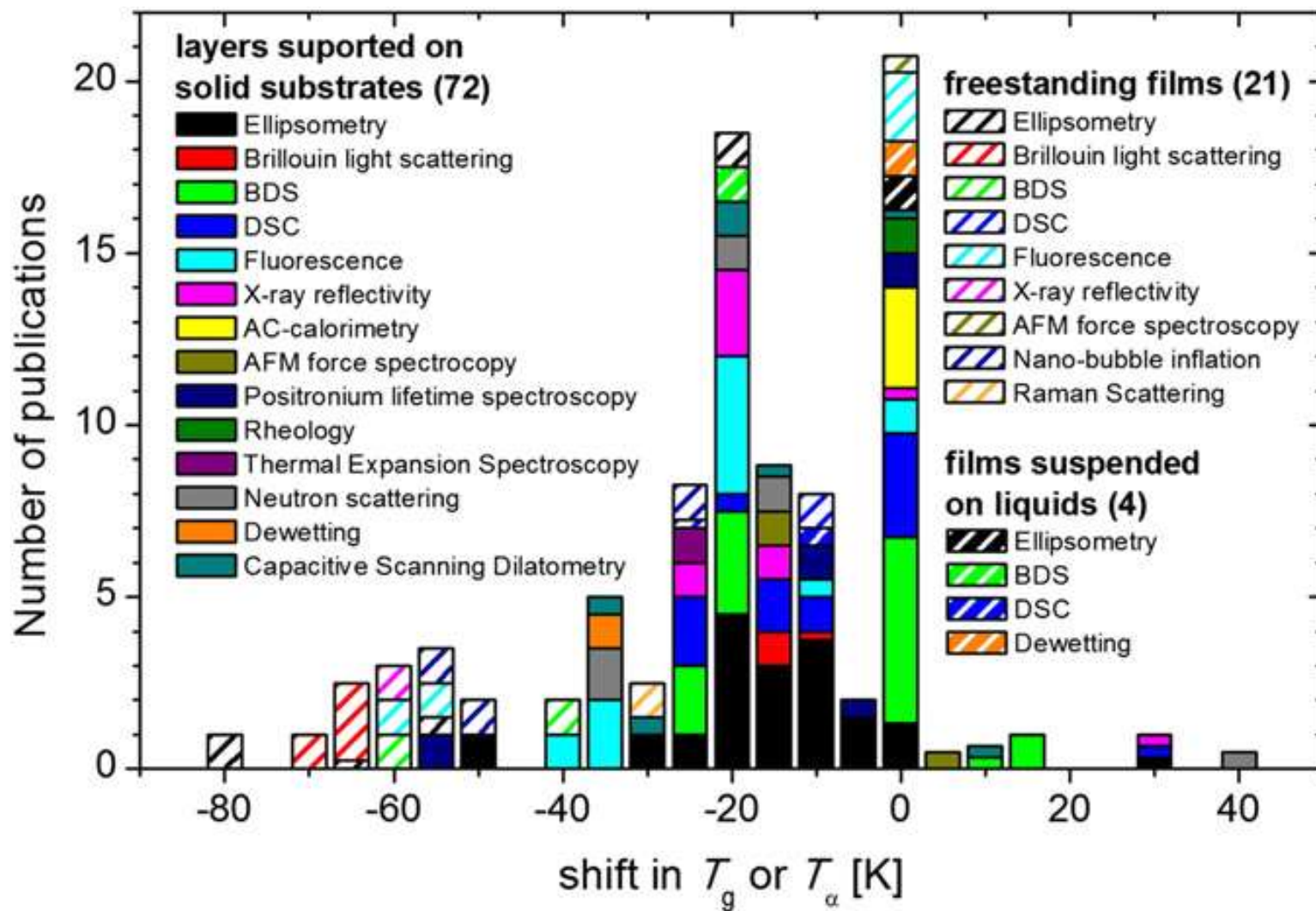
*Below this temperature  $\rightarrow \tau_c \sim D^z$*

$$D_1 > D_2 > D_3$$

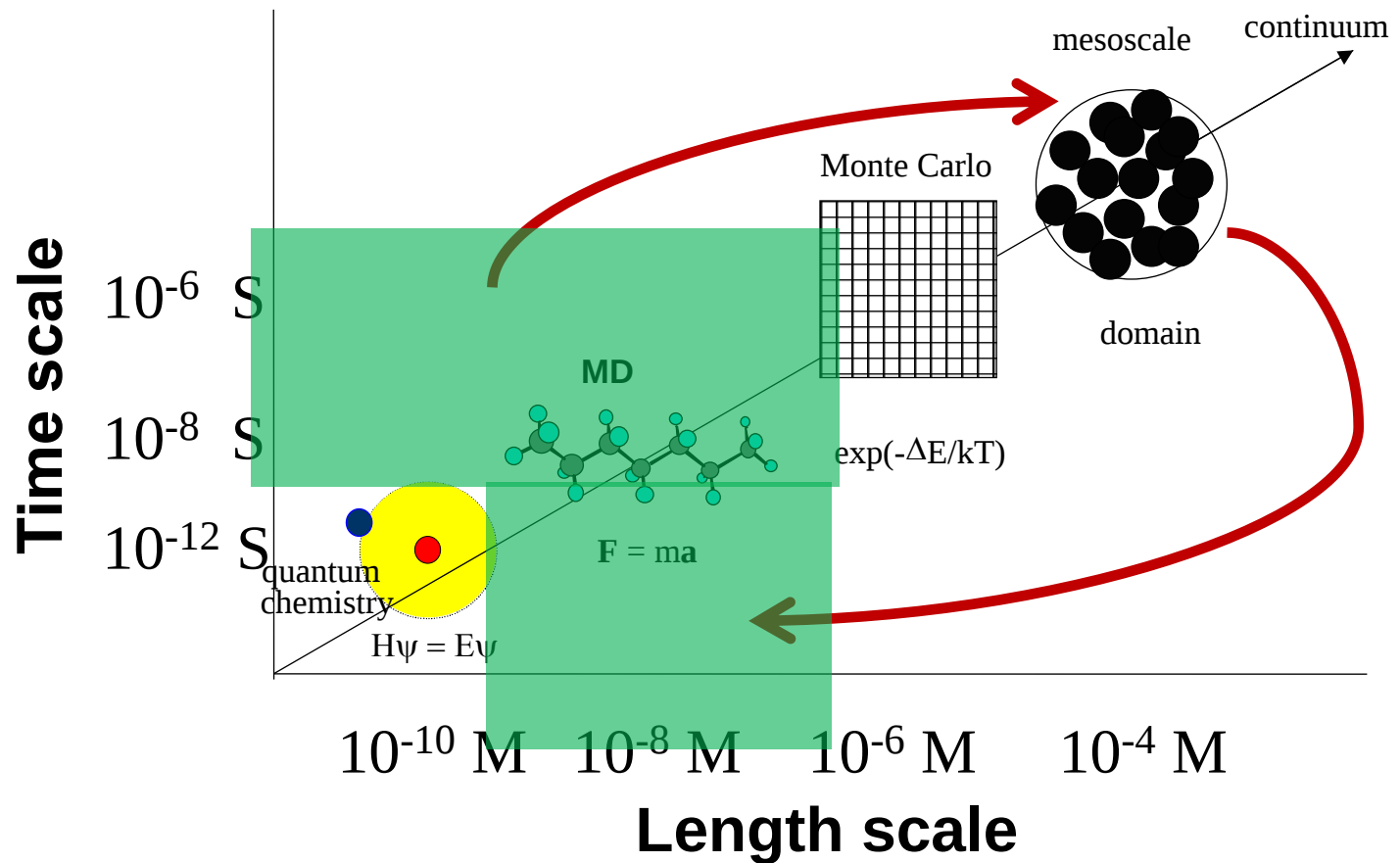
$$\tau_1 > \tau_2 > \tau_3$$

*At low temperatures, cooperative motion of many particles, which create a cluster of size  $\xi$*

*Size dependent relaxation  $\rightarrow$  **thinner films exhibit faster dynamics***

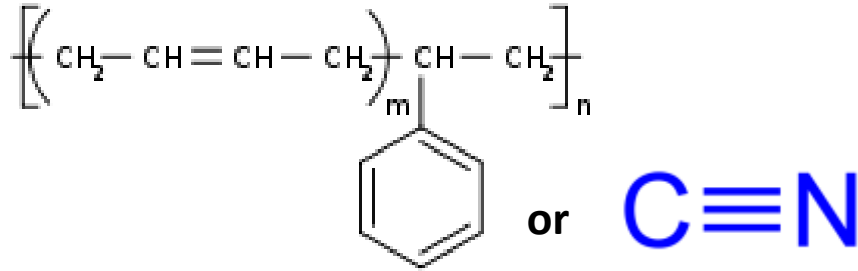


# What and Where



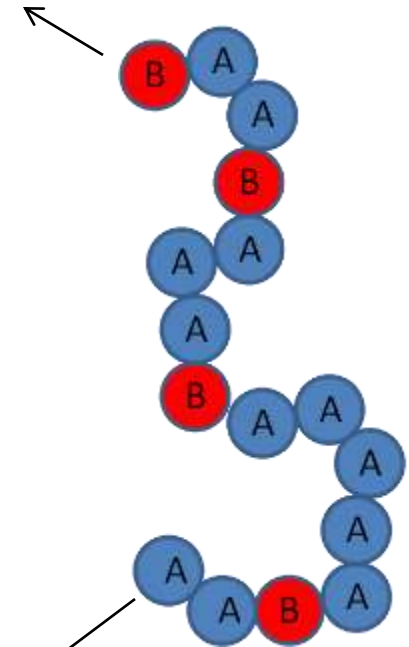
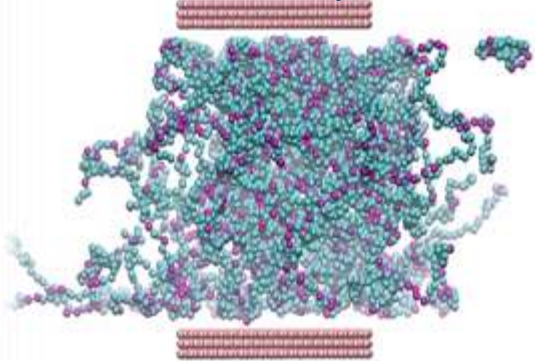
# Coarse-grained SBR (NBR) rubber

Styrene, Nitrile

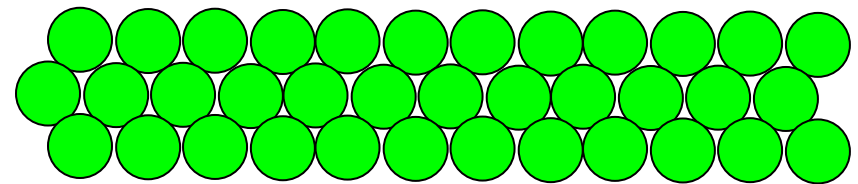


- 80% A beads, 20% B beads, 100 freely-joined LJ chains, 50 beads/chain

- 0-8 crosslinks per chain



Butadiene



C. Batistakis, AVL, M.A.J. Michels, *Macromolecules*, **2012**

C. Batistakis, M.A.J. Michels, AVL, *J. Chem. Phys.*, **2013**

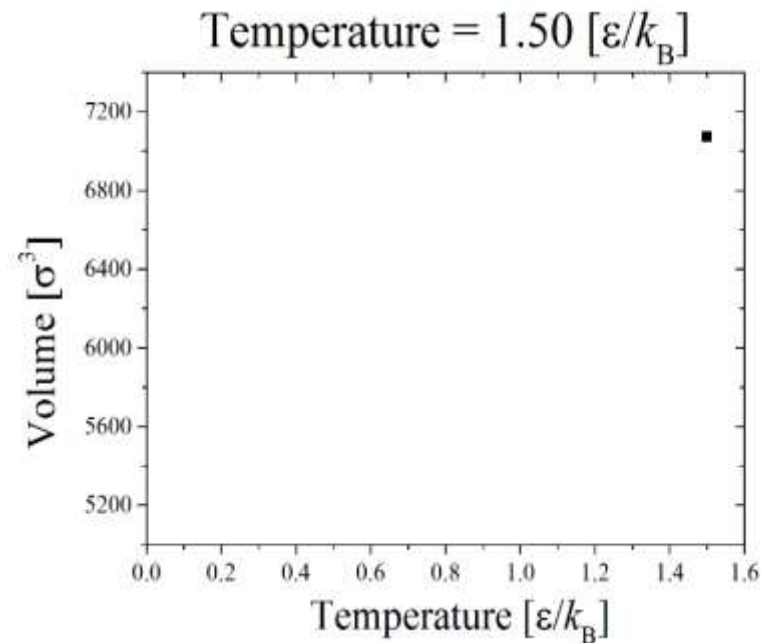
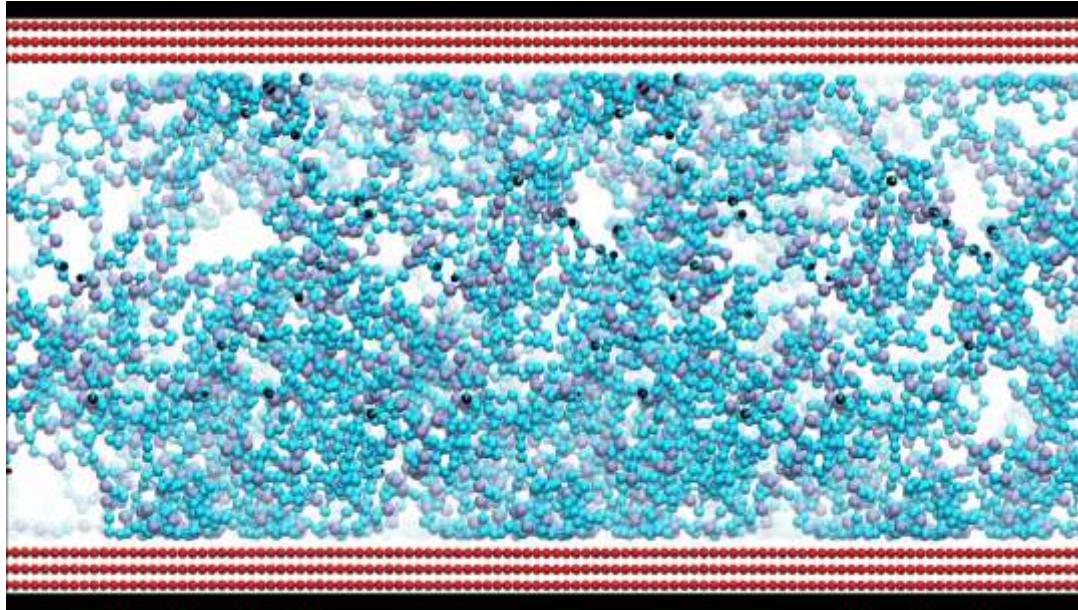
C. Batistakis, M.A.J. Michels, AVL, *Macromolecules*, **2014**

T. Davris, AVL, *Polym. Composites*, **2015**

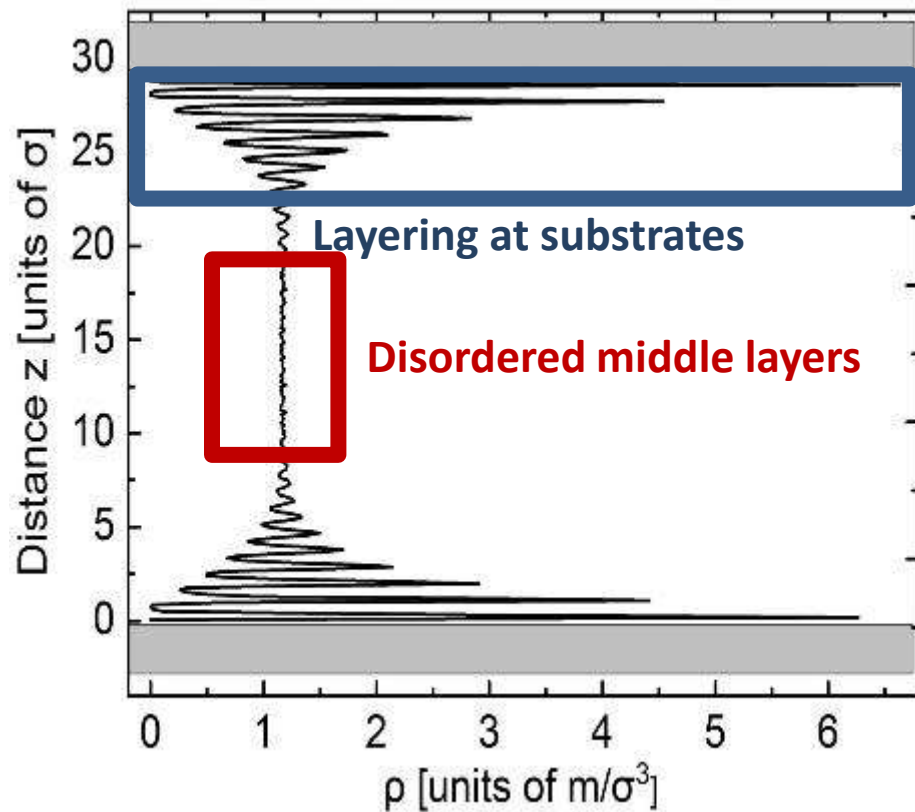
T. Davris, AVL, *J. Chem. Phys.*, **2015**

T. Davris, AVL, *Macromolecules*, **2016**

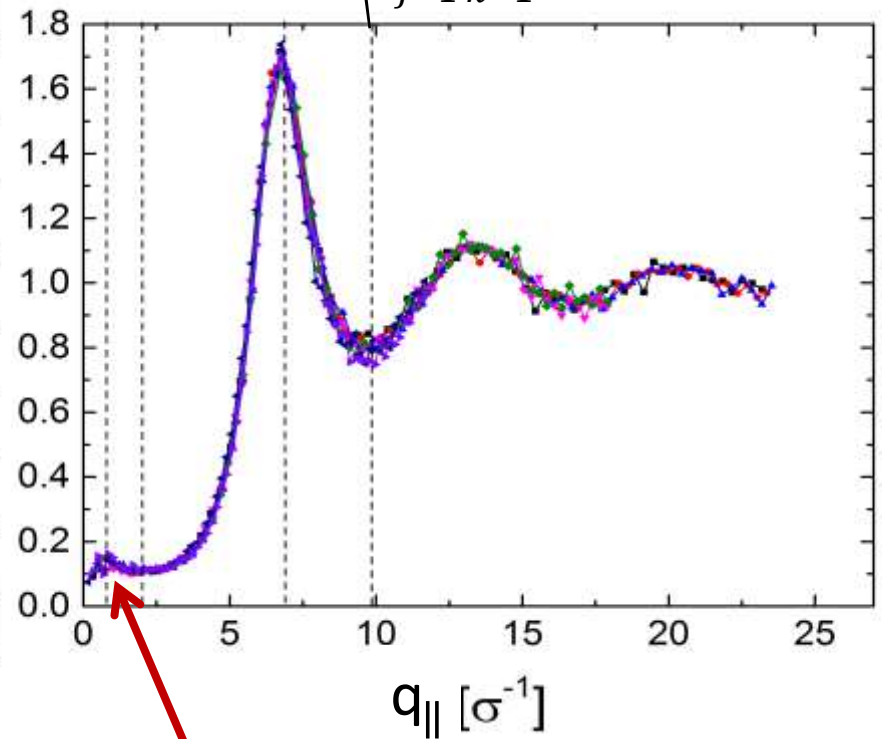
# Glass-transition temperature



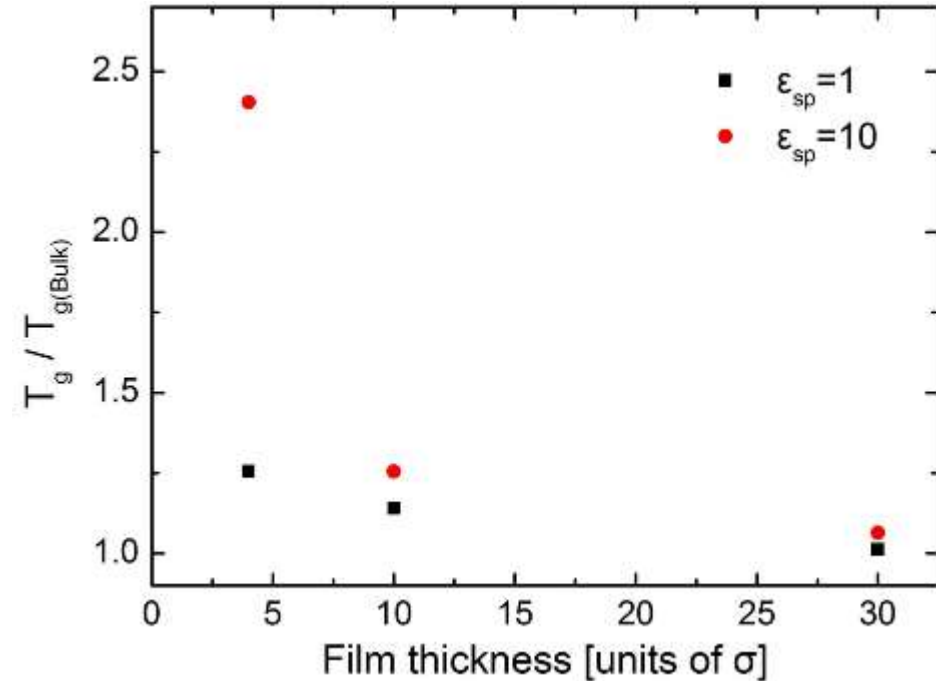
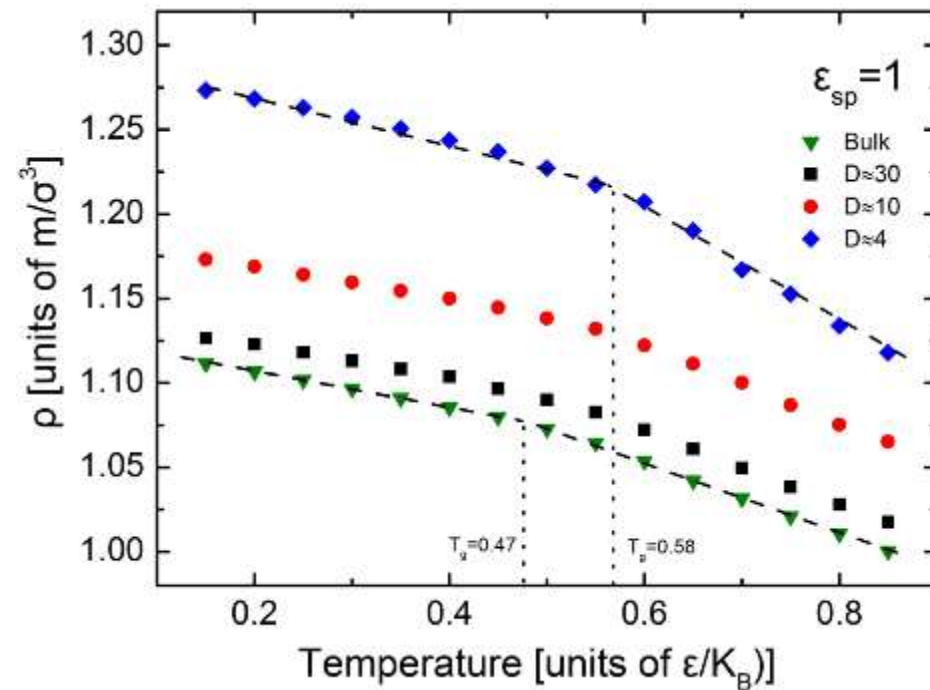
# Structure



$$S(q) = \frac{1}{N} \left\langle \sum_{j=1}^N \sum_{k=1}^N \exp\{i\mathbf{q} \cdot (\mathbf{R}_j - \mathbf{R}_k)\} \right\rangle$$



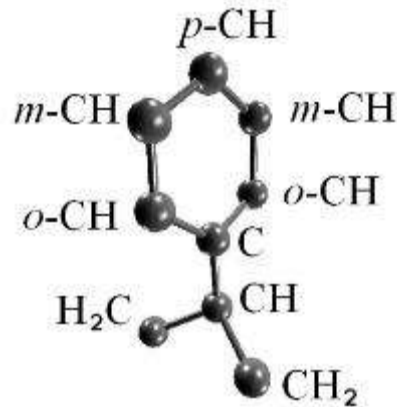
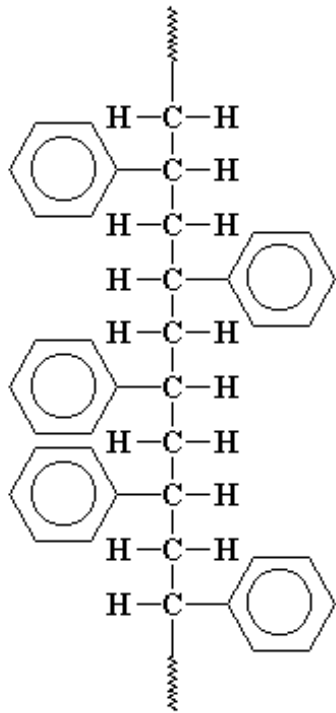
# Glass-transition temperature



## Film-averaged density and $T_g$ :

- increase under confinement;
- increase with increasing the polymer-substrate interaction strength.

# atactic polystyrene



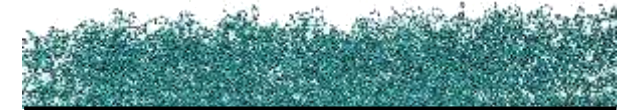
4 chains

$\sim \underline{15\text{\AA}}$



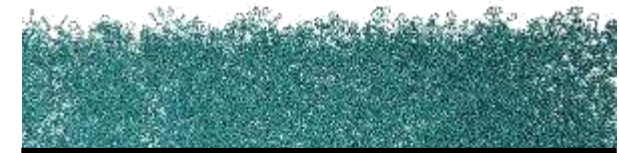
8 chains

$\sim \underline{20\text{\AA}}$



16 chains

$\sim \underline{50\text{\AA}}$



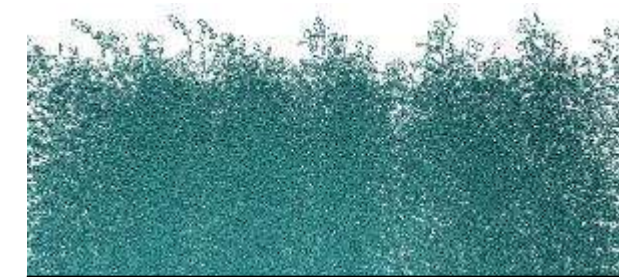
- Monomers per chain:  $N_{\text{mon}}=80$

- Molecular weight:  $M \sim 9000$

- Number of particles: 2564-20512

32 chains

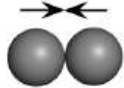
$\sim \underline{90\text{\AA}}$



# Force Field

- **Nonbonded:**

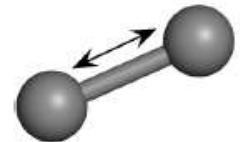
van der Waals interactions



$$V_{nonbonded}^{LJ} = \sum_{i,j} 4\epsilon_{ij} \left[ \left( \frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{r_{ij}} \right)^6 \right]$$

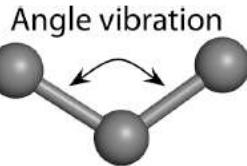
- **Stretching:**

Bond vibration



$$V_{bonded} = \sum_{i,j} k_{B,ij} (r_{ij} - l_{ij})^2$$

- **Bending:**

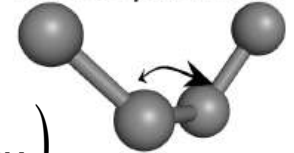


Angle vibration

$$V_{bending} = \sum_{i,j,k} k_{\theta,ijk} (\theta_{ijk} - \theta_{0,ij})^2$$

- **Torsion:**

Torsion potentials



$$V_{pr,im} = \sum_{i,j,k,l} k_{n\phi,ijkl} \cos(n\phi_{ijkl})$$

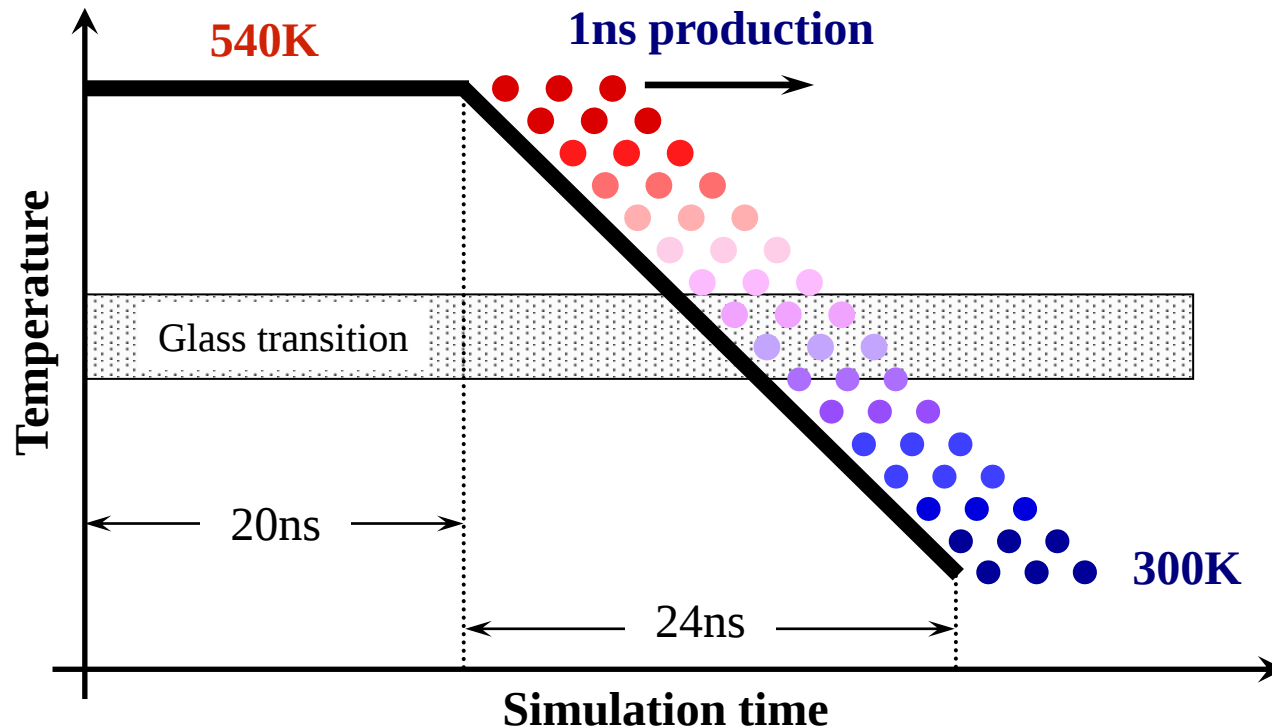
- **Substrate potential:**

$$V_{sub}(z) = \frac{1}{2} \epsilon \left[ \left( \frac{R_{min}}{z} \right)^9 - 3 \left( \frac{R_{min}}{z} \right)^3 \right]$$

Strength of attraction:

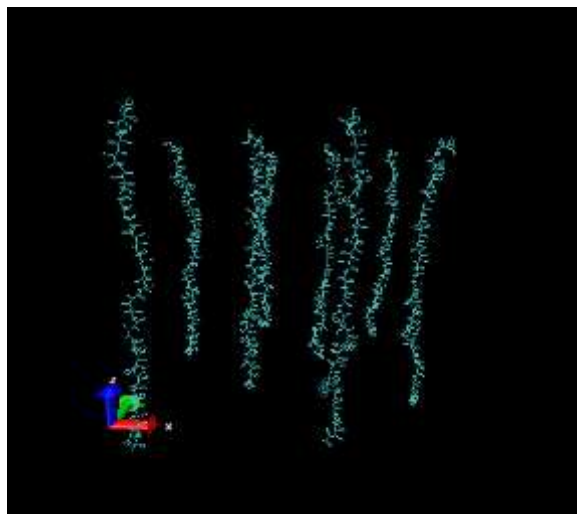
$$\epsilon = 0.1 \text{ kcal/mol} - 3.0 \text{ kcal/mol}$$

# Equilibration and cooling

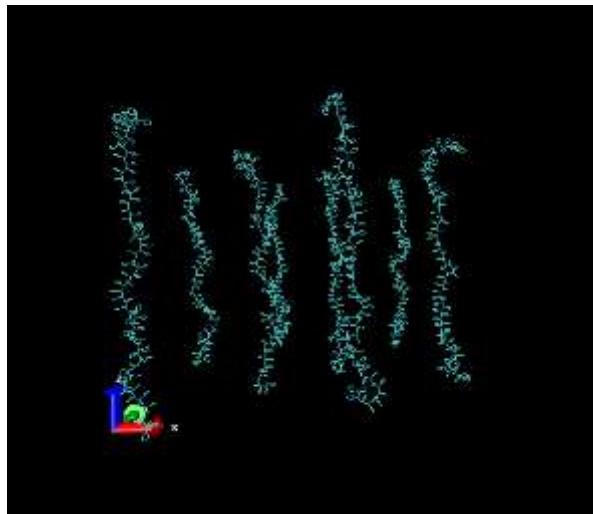


- Equilibration (20ns) at 540K;
- Cooling with constant cooling velocity (0.01K/ps);
- 1ns MD production runs for each (every 20K) intermediate temperature;

# Equilibration of aPS film, 540K



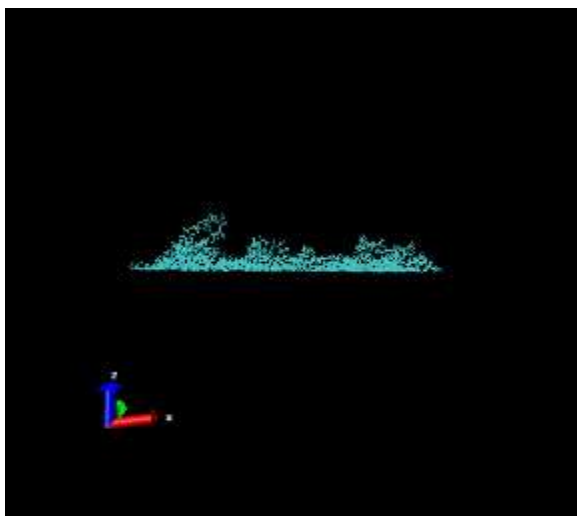
10 ps



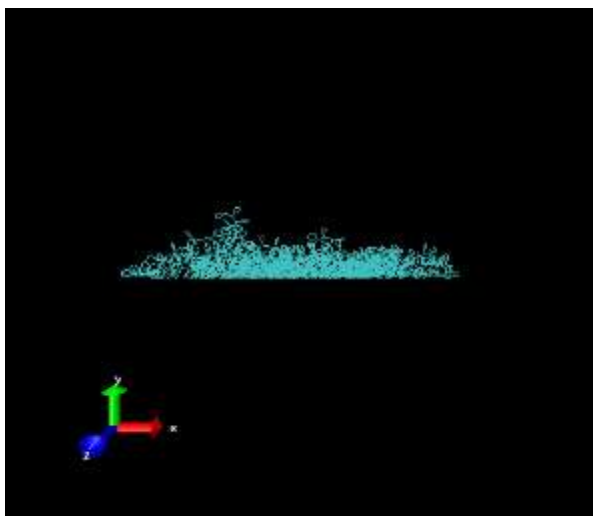
100 ps



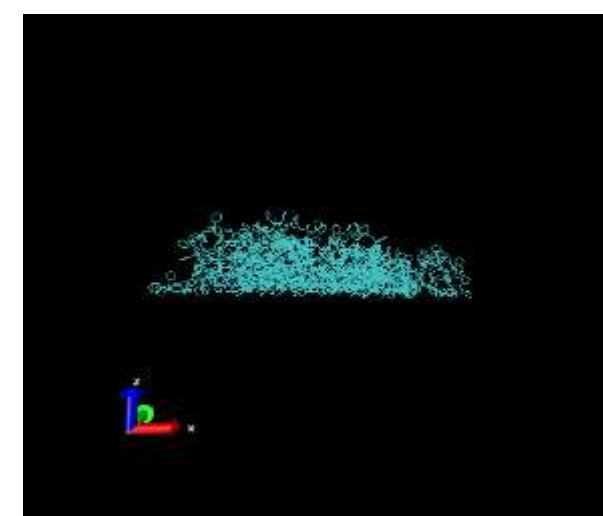
5000 ps



10 ns



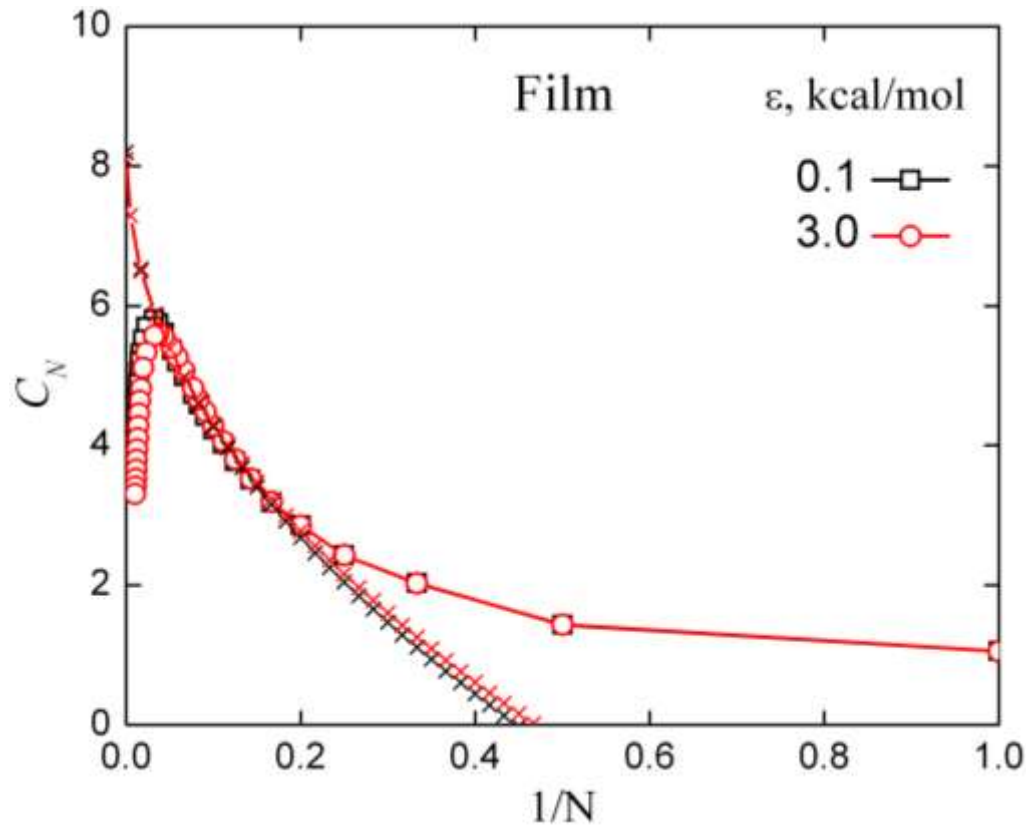
15 ns



20 ns

# Characteristic ratio

$$C_N = \langle R^2 \rangle / Nl_{C-C}^2$$



$$C_N = C_\infty \left(1 - \alpha N_K^{-1/2}\right)$$

$$C_\infty = 8.2 \pm 0.1$$

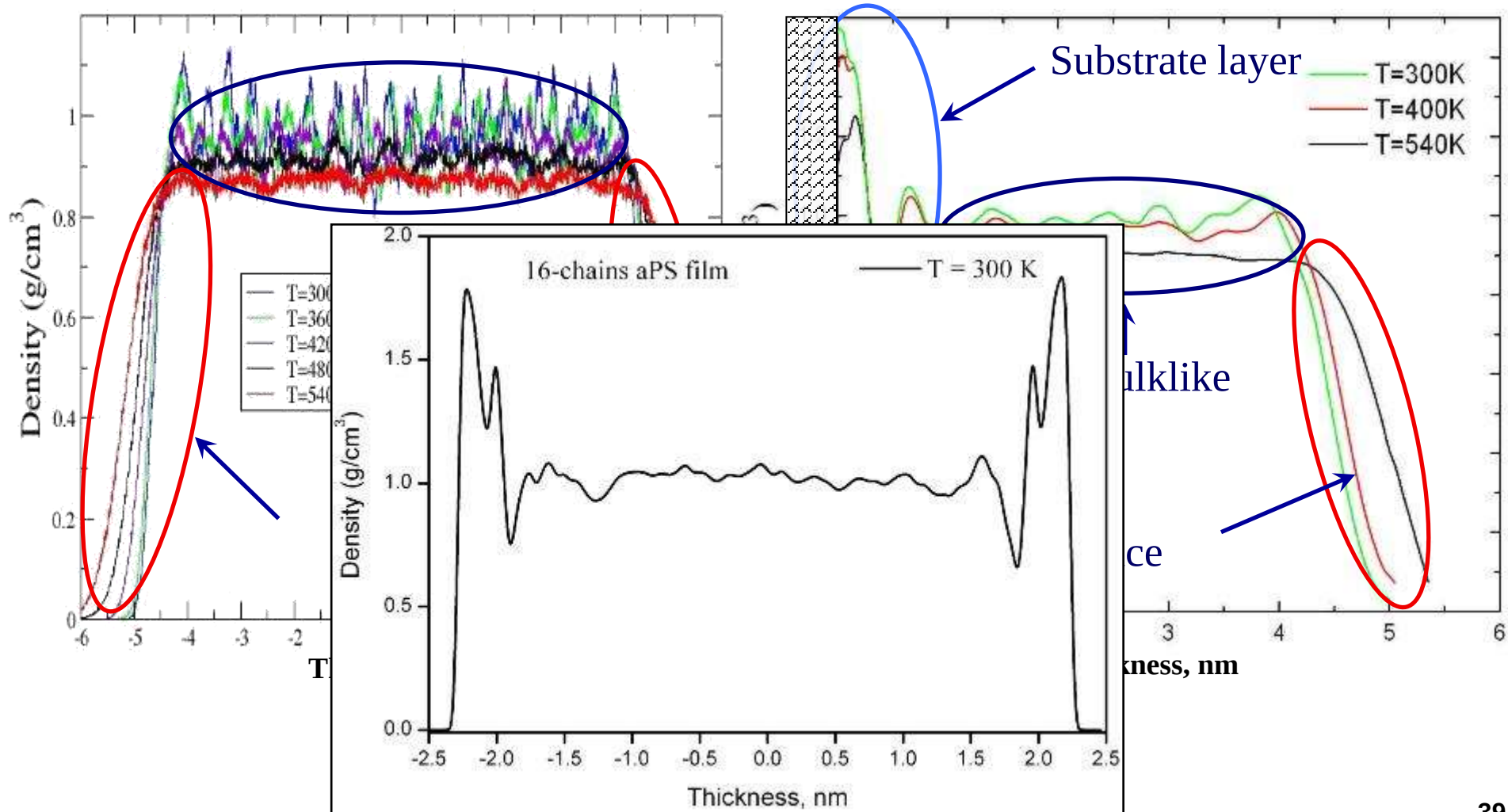
$$\alpha = 0.43 \text{ (simulations)}$$

$$\alpha = 0.48 \text{ (Wittmer, Baschnagel et al., PRE, 2007)}$$

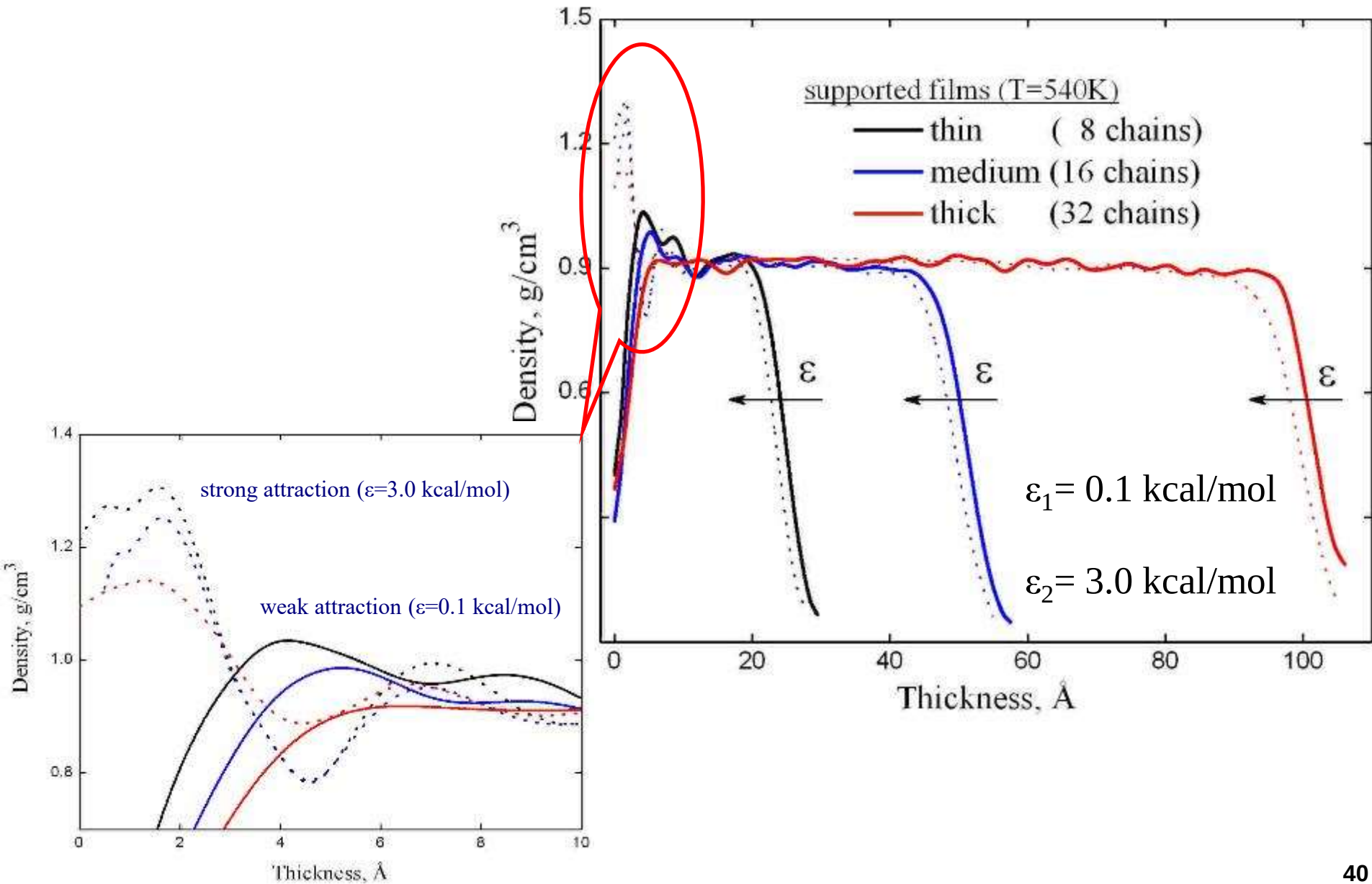
# Density profile

Free-standing 32-chain film

Supported ( $\epsilon=1.0$  kcal/mol) 16-chain film

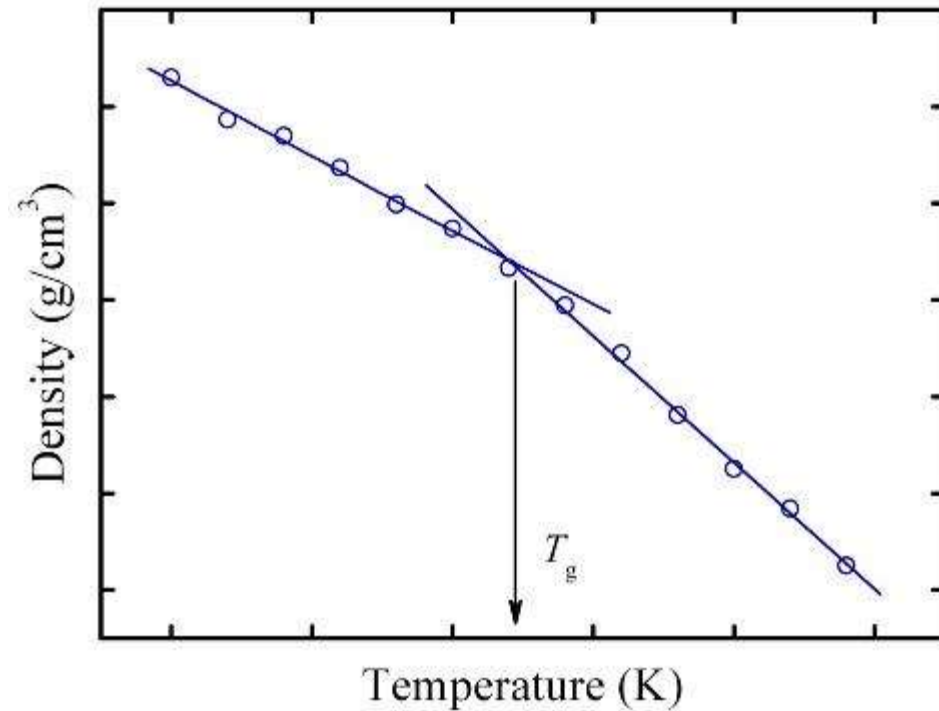


# Density profile

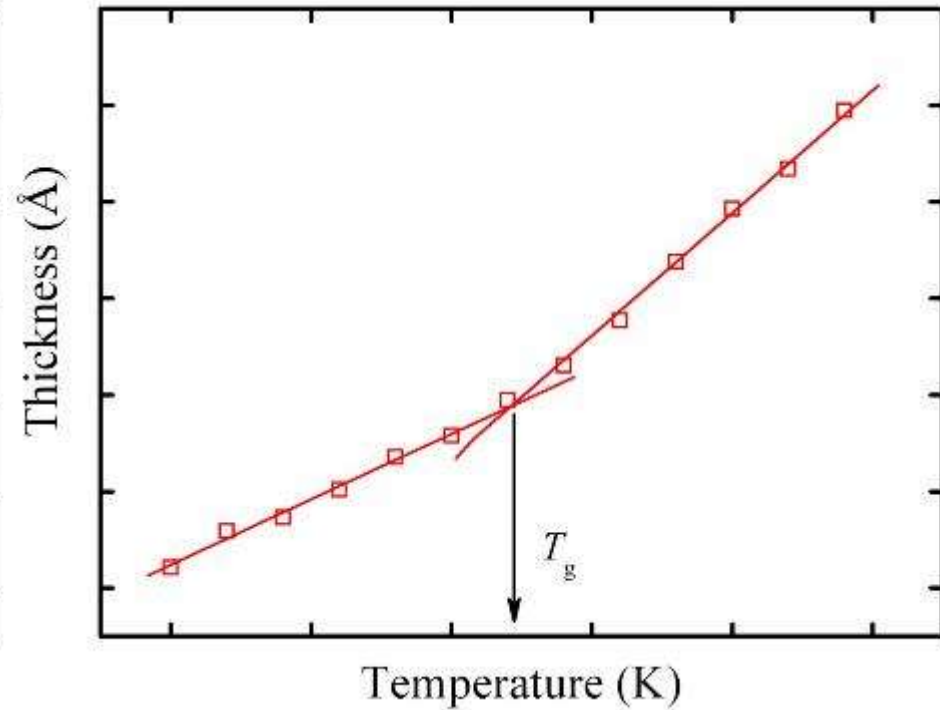


# Glass transition temperature

## Density measurement

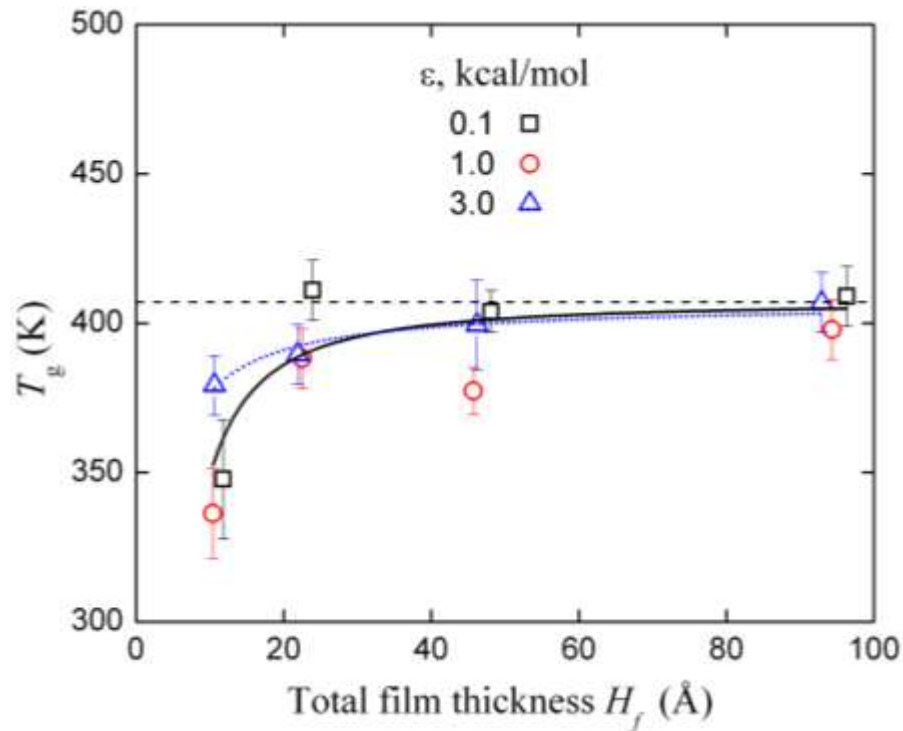


## Thickness measurement



# Glass transition temperature

## Density measurement



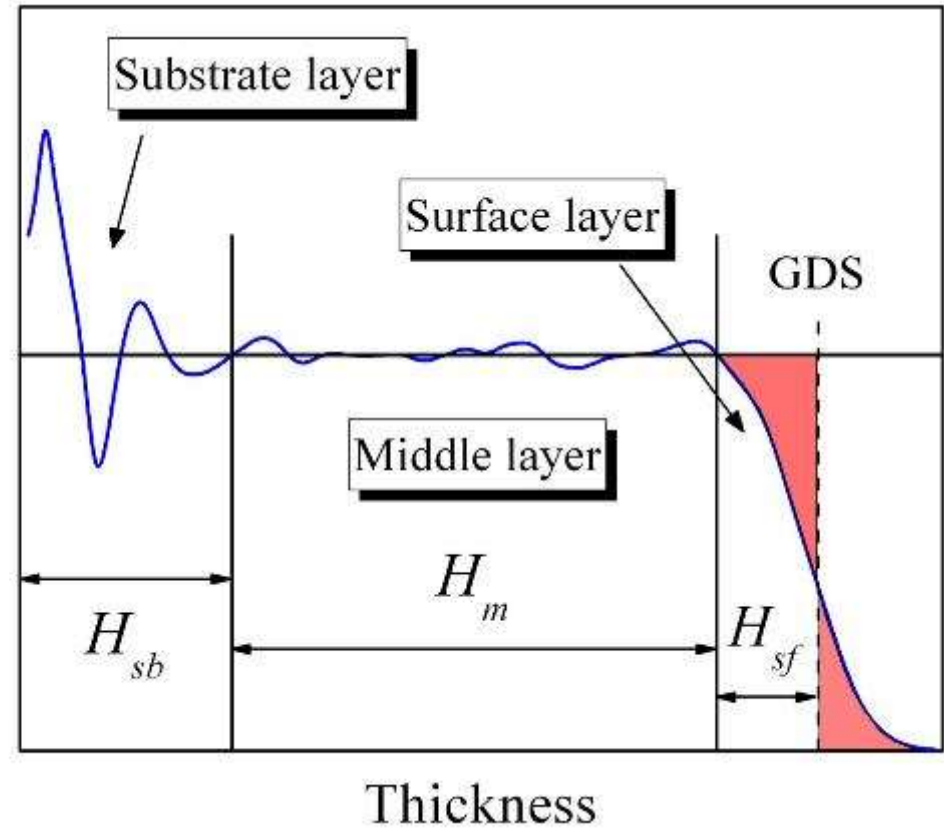
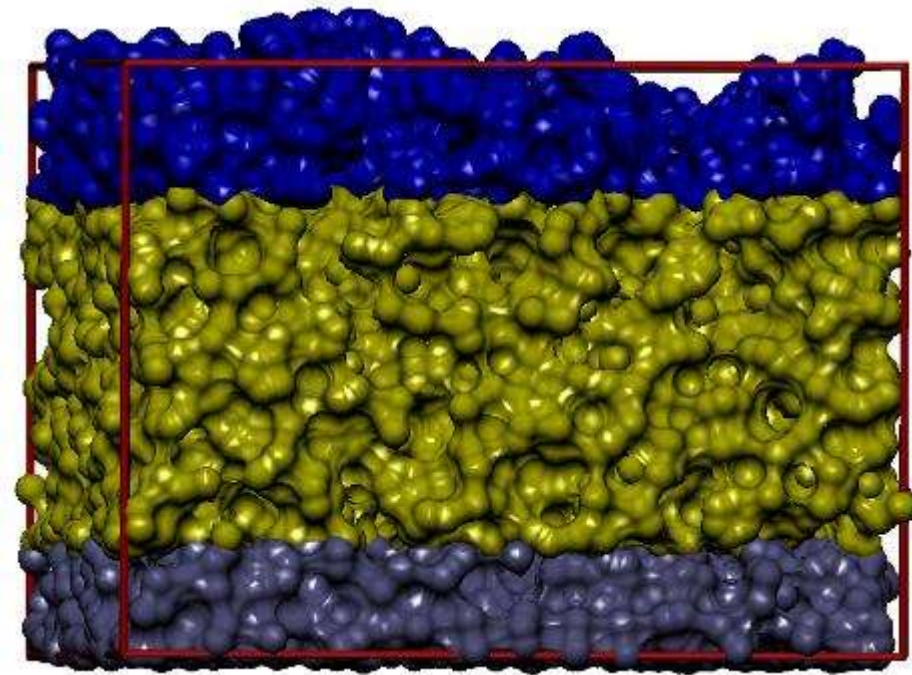
Thickness dependences of the glass transition temperature for the aPS films with weak and strong attraction to the substrate.

Solid lines represent the best fit using the equation

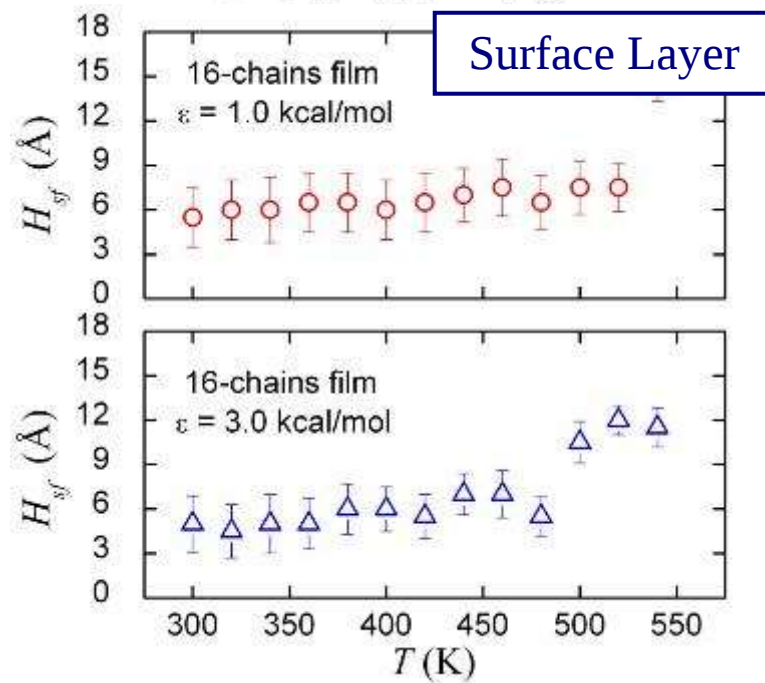
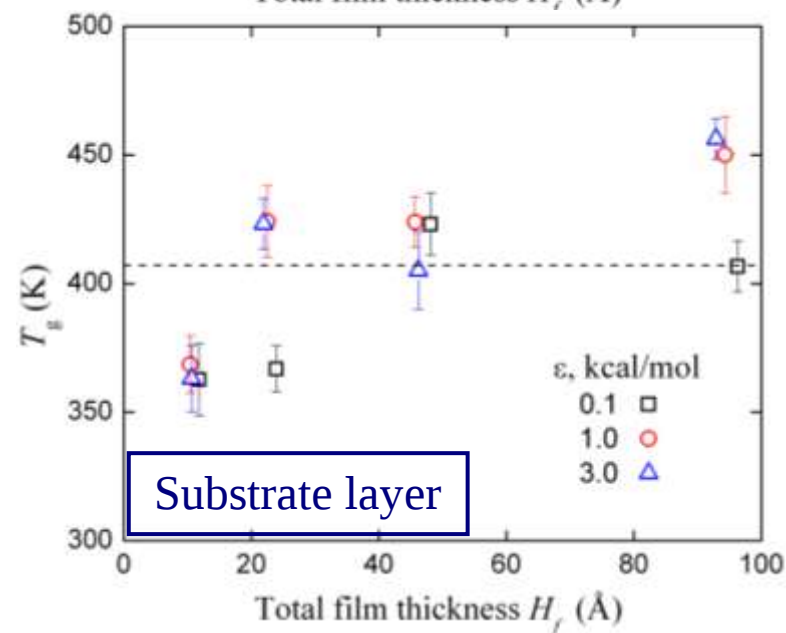
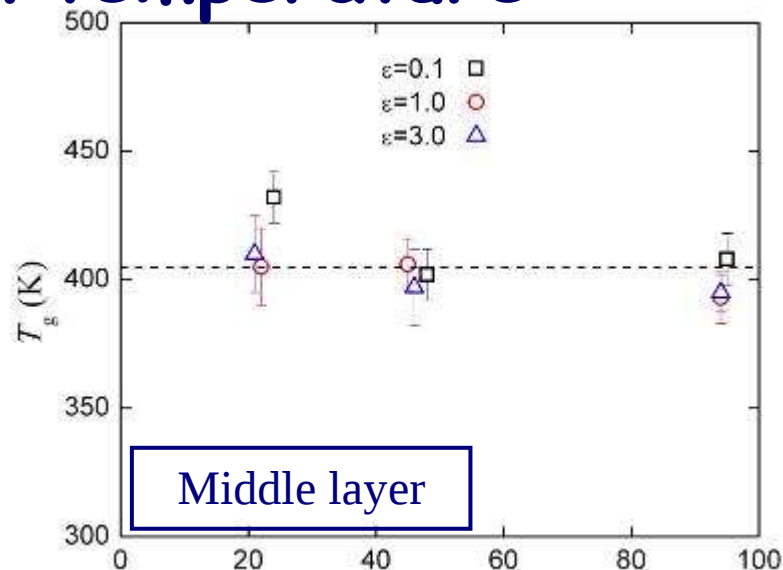
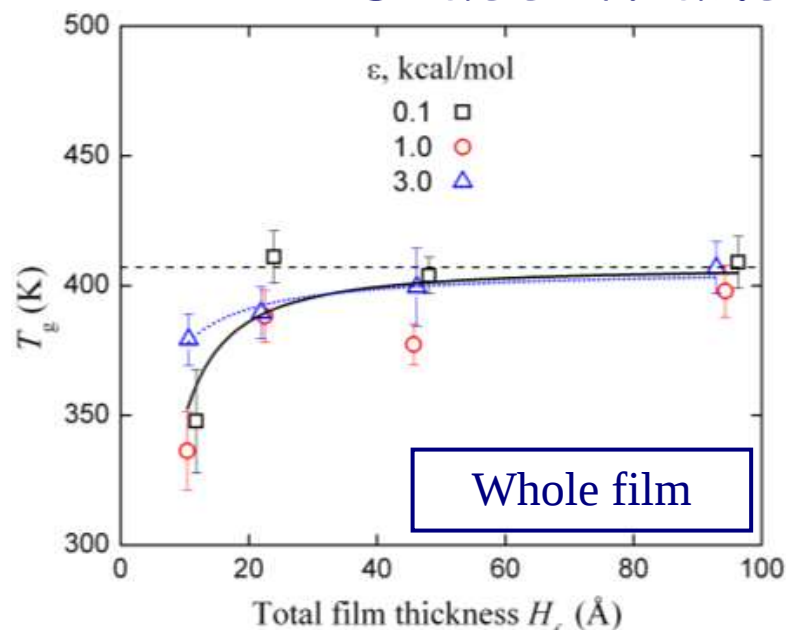
$$T_g^{film}(H_f) = T_g^{bulk} \left( 1 - \left( \frac{A}{H_f} \right)^\delta \right)$$

Dotted line indicates the value of  $T_g$  for the bulklike layer.

# Glass transition temperature



# Glass transition temperature



# Glass transition temperature

$$\rho_{m,g}(T) = \rho_{m,g}^0 + \frac{d\rho_{m,g}}{dT}T$$

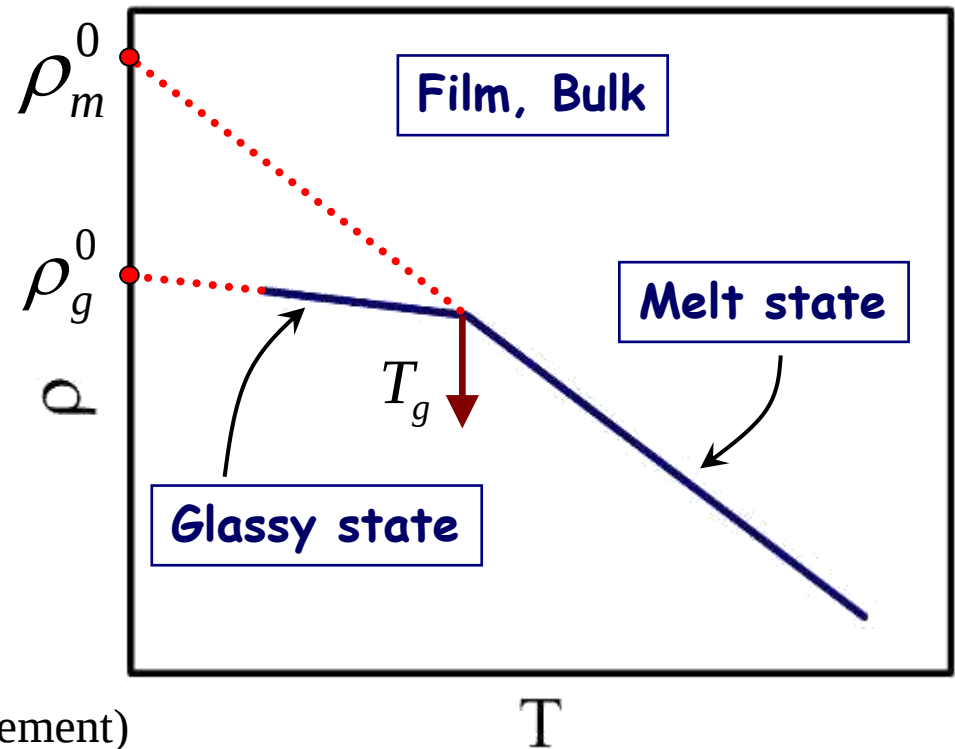
$$T_g = -\frac{\rho_g^0 - \rho_m^0}{\left(\frac{d\rho}{dT}\right)_g - \left(\frac{d\rho}{dT}\right)_m}$$

Valid for a bulk and a film

$$\delta\rho \equiv \rho(\text{film}) - \rho(\text{bulk})$$

(change in average density due to the confinement)

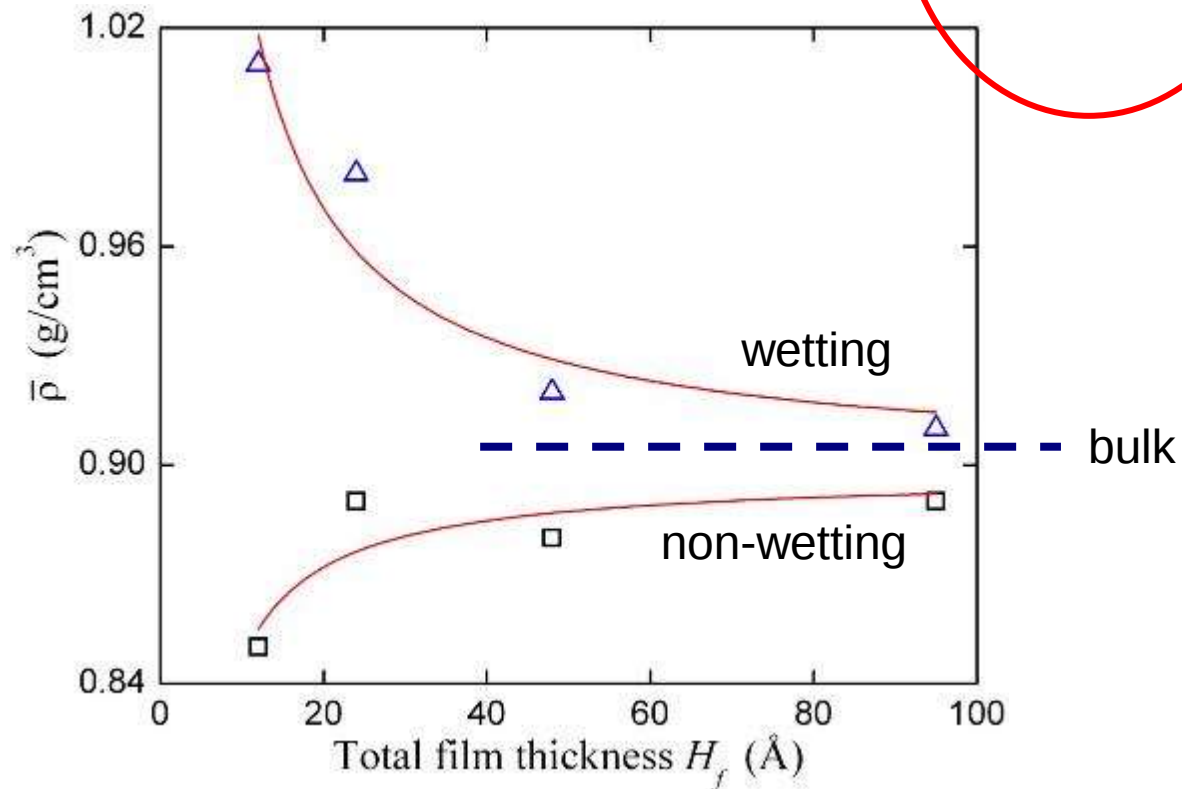
$$\frac{T_g(\text{film})}{T_g(\text{bulk})} = \frac{1 + \frac{\delta\rho_g^0 - \delta\rho_m^0}{\rho_g^0 - \rho_m^0}}{1 + \frac{\frac{d}{dT}\delta\rho_g - \frac{d}{dT}\delta\rho_m}{\frac{d\rho_g}{dT} - \frac{d\rho_m}{dT}}}$$



# Glass transition temperature

$$\rho(\text{film}) = \frac{1}{H_f} (h_{sb} \rho_{sb} + h_{md} \rho_{md} + h_{sf} \rho_{sf})$$

$$\rho(\text{film}) = \rho(\text{bulk}) + \delta\rho = \rho(\text{bulk}) + \frac{h_{sb} \delta\rho_{sb} + h_{sf} \delta\rho_{sf}}{H_f} \equiv \rho(\text{bulk}) + \frac{\delta m}{H_f}$$



$$\frac{\bar{T}_g}{T_g} = \frac{1 + \frac{\delta\rho_g^0 - \delta\rho_m^0}{\rho_g^0 - \rho_m^0}}{1 + \frac{\frac{d}{dT}\delta\rho_g - \frac{d}{dT}\delta\rho_m}{\frac{d\rho_g}{dT} - \frac{d\rho_m}{dT}}}$$

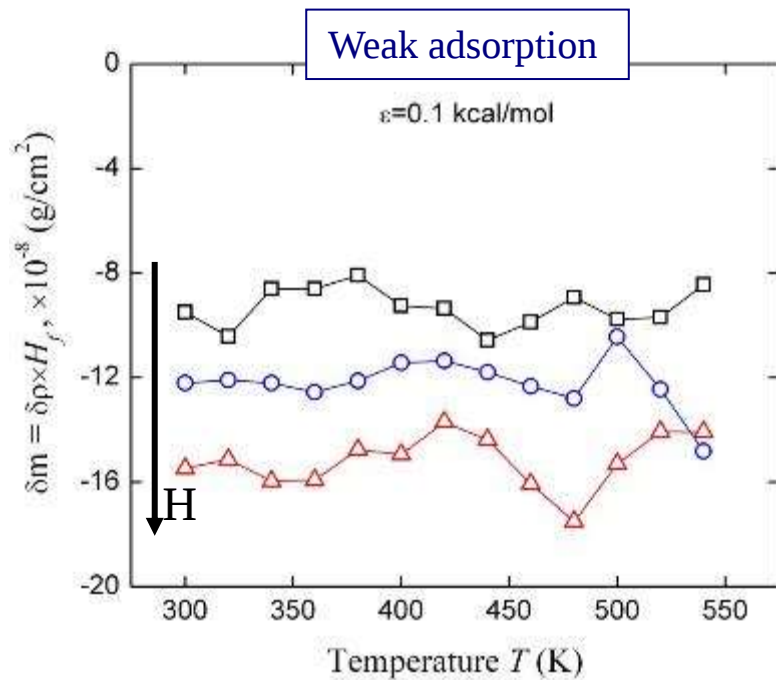
In general:  $\delta m = \delta m(H_f, T)$

If  $\delta m$  is constant :

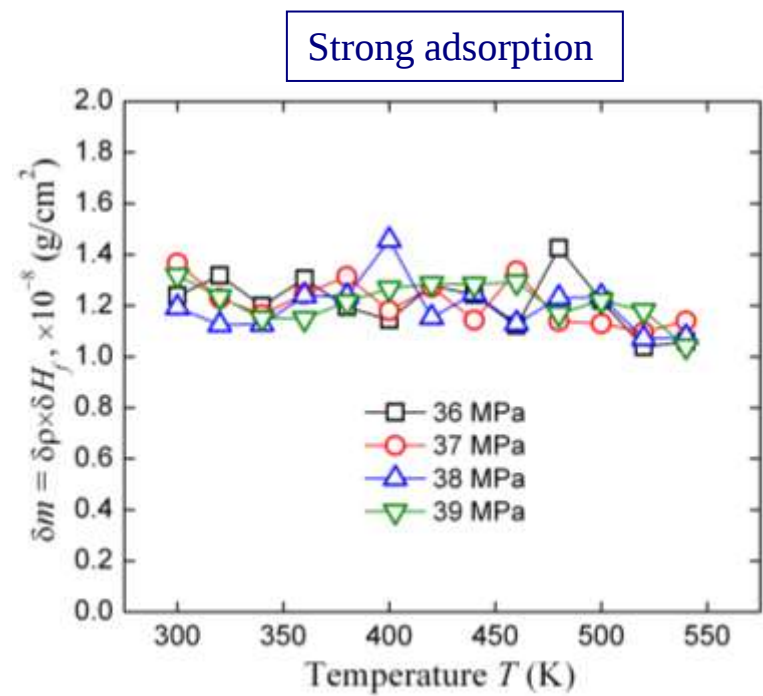
$$\frac{\bar{T}_g}{T_g} = \frac{1 + C_1 \frac{\delta m}{H(\bar{T}_g)}}{1 + C_2 \frac{\delta m}{H(\bar{T}_g)}}$$

$$C_1 = \frac{\left[ (1/H)_g^0 - (1/H)_m^0 \right] H(\bar{T}_g)}{\rho_g^0 - \rho_m^0} \neq f(H)$$

$$C_2 = \frac{1}{\rho(T_g)} \left[ \frac{\bar{\alpha}_g(\bar{T}_g) - \bar{\alpha}_m(\bar{T}_g)}{\alpha_g(T_g) - \alpha_m(T_g)} \right] \neq f(H)$$



$$\delta m = \delta m(H) \quad \delta m \neq \delta m(T)$$

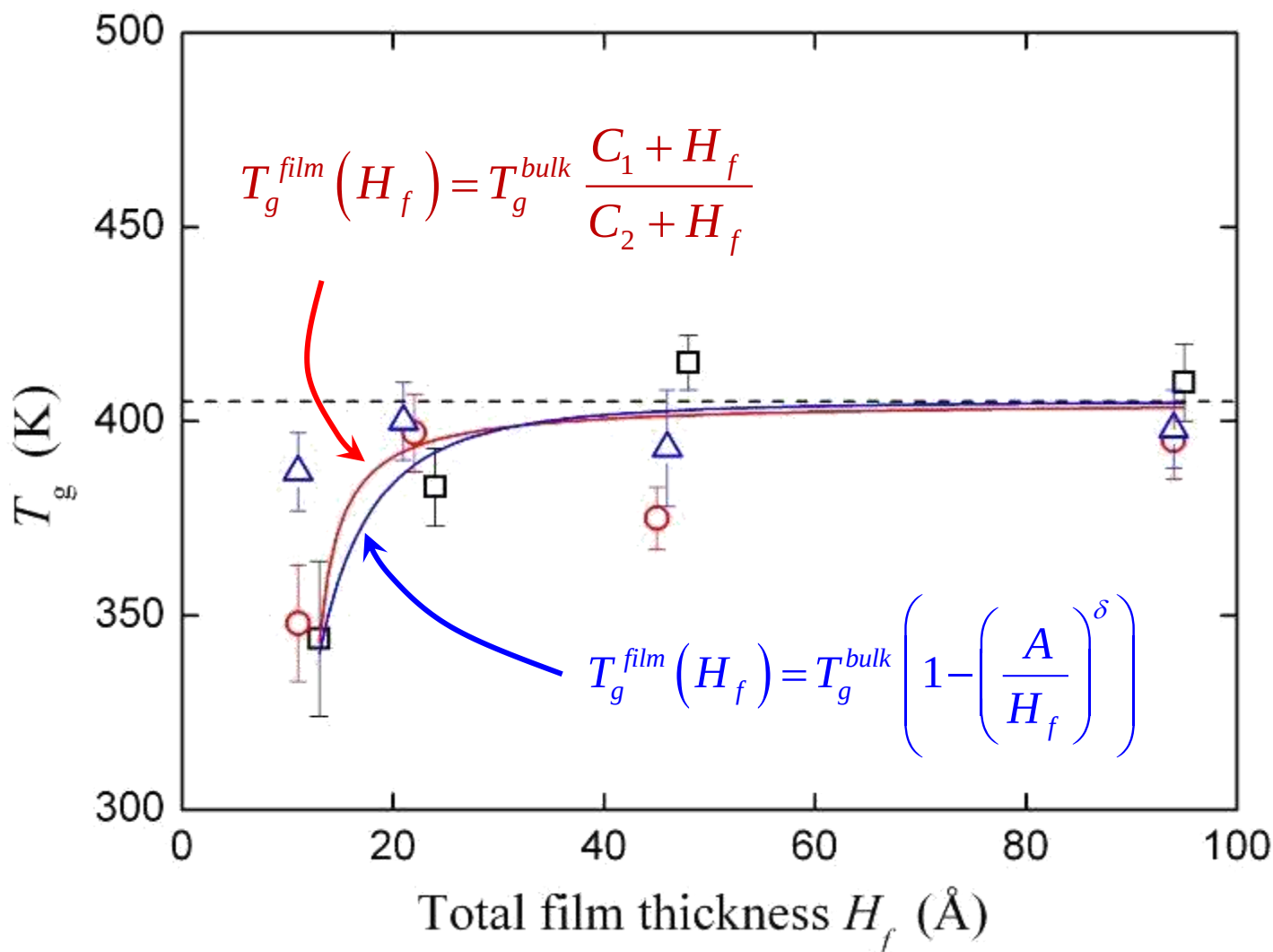


$$\delta m \neq \delta m(T)$$

$$\frac{\bar{T}_g}{T_g} = \frac{1 + C_1 \delta m / H(\bar{T}_g)}{1 + C_2 \delta m / H(\bar{T}_g)}$$

$$C_1 = C_2 = -1.1 \pm 0.2 \text{ cm}^3/\text{g}$$

# Glass transition temperature



# Conclusions II

- The glass transition temperature:
  - remains constant for supported PS films down to  $\sim 2\text{nm}$
  - decreases by  $\sim 50\text{K}$  for supported films thinner than  $\sim 2\text{nm}$
- Substrate:  $T_g$  increases
- Middle: remains the same for films down to  $\sim 2\text{nm}$ ;
- Surface:  $T_g$  decreases
- The thickness dependence of averaged film density can be used to explain the thickness dependence of  $T_g$  in films.

too complicated....

what if there are no substrates????

# Free-standing films

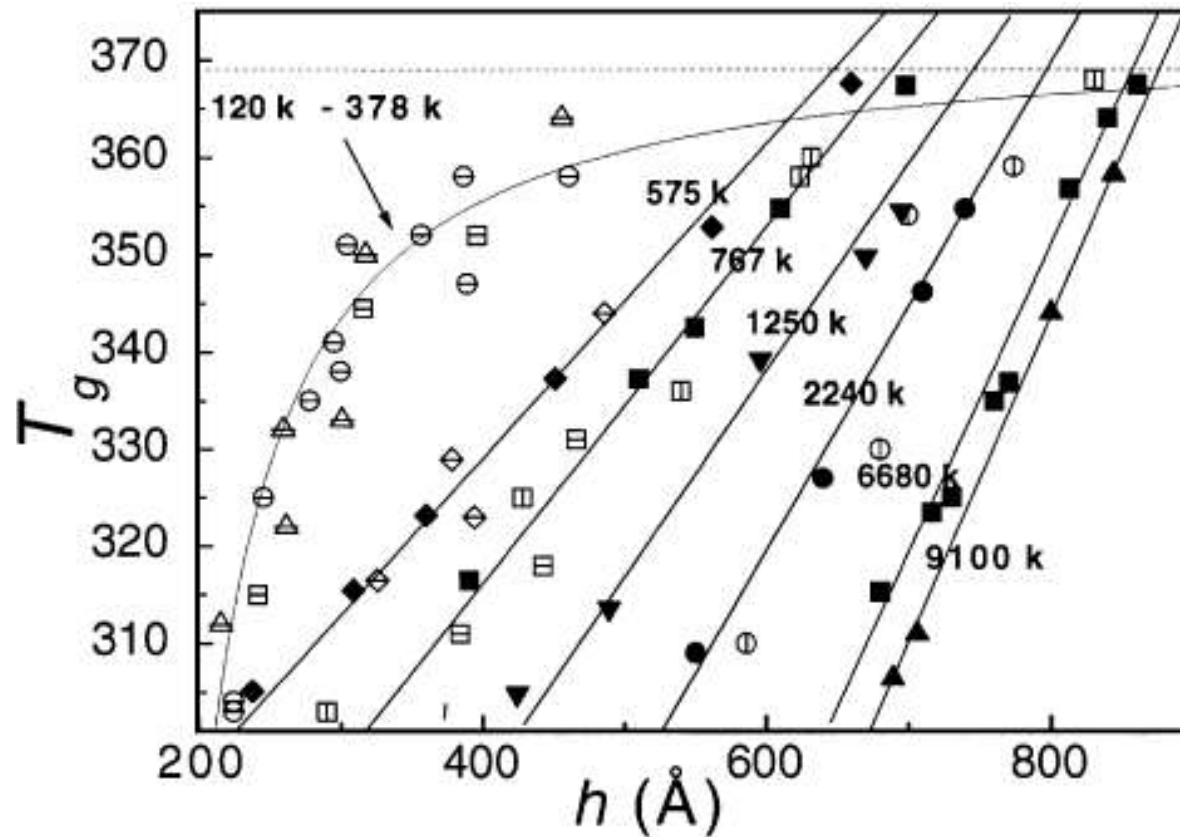


Fig. 4. Measured  $T_g$  values for free-standing polymer films. The solid symbols are obtained with ellipsometry and taken from reference [18]. The hollow symbols are obtained using BLS, with a vertical bar indicating the data from reference [24] and a horizontal bar indicating data from reference [36].

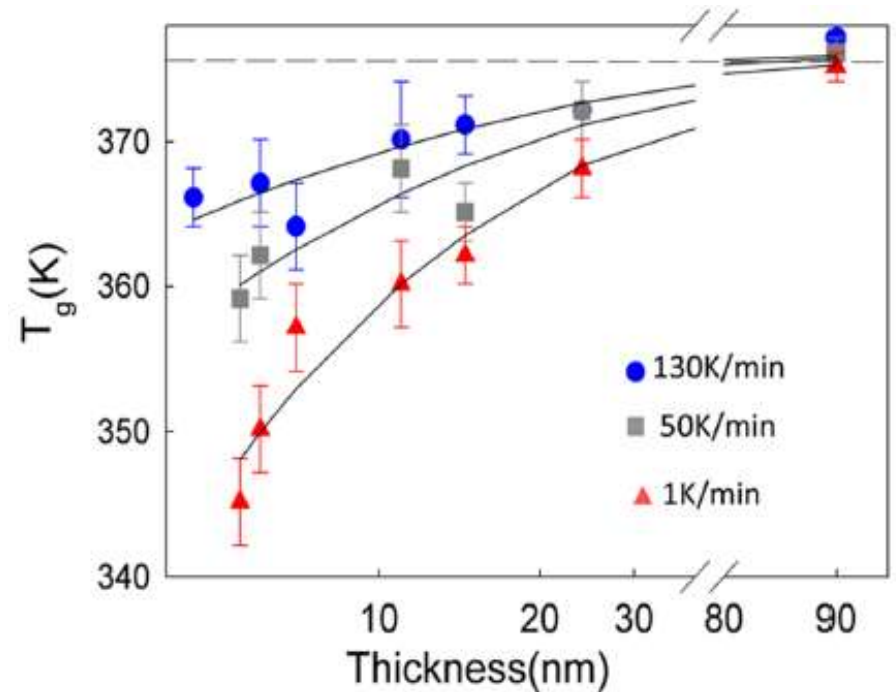
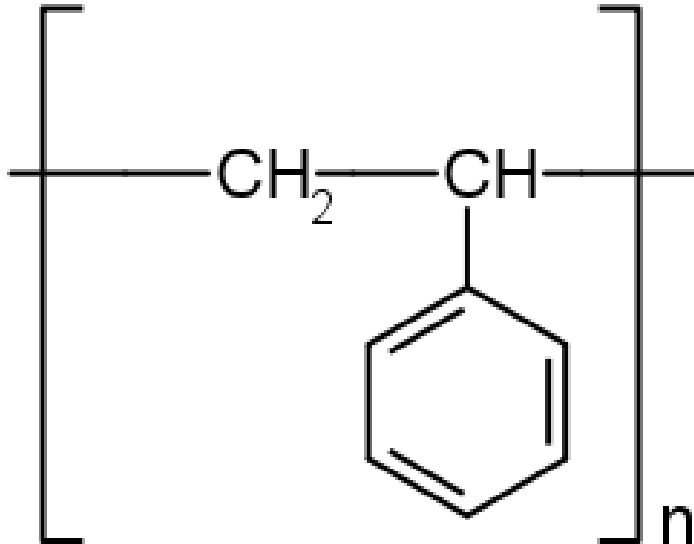
Low- $M_w$  PS: the same effect, but larger reduction

# 2 Hypotheses

- **Chain ends**: concentrated in the interfacial layer (de Gennes), the  $T_g$  reduction for ring polymers smaller.
- **Phenyls**: concentrated and ordered at the surface – deficit of phenyls in the interfacial layer – weaker  $\pi$ -  $\pi$  interactions there – lower  $T_g$  there – lower  $T_g$  in thinner films;

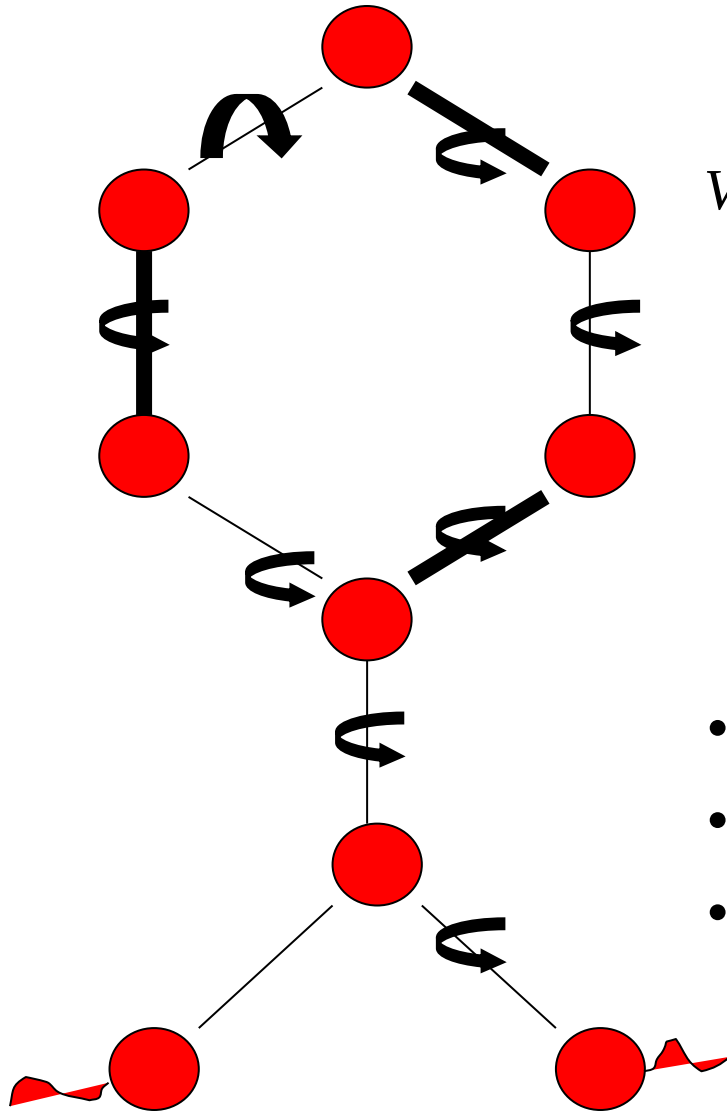
**linear vs. ring**

# (atactic) polystyrene



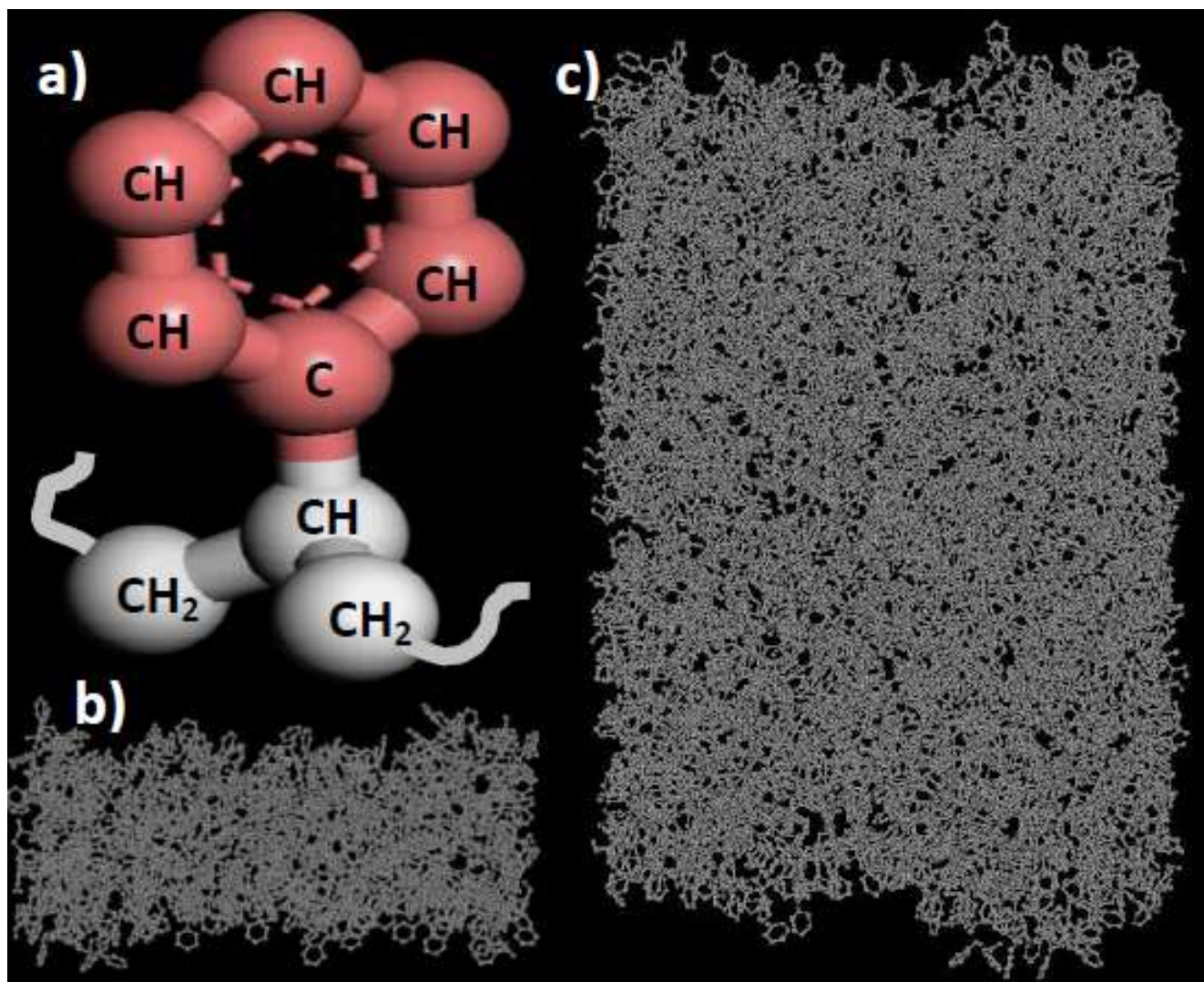
linear vs. ring  
effects of cooling rate

# UA model



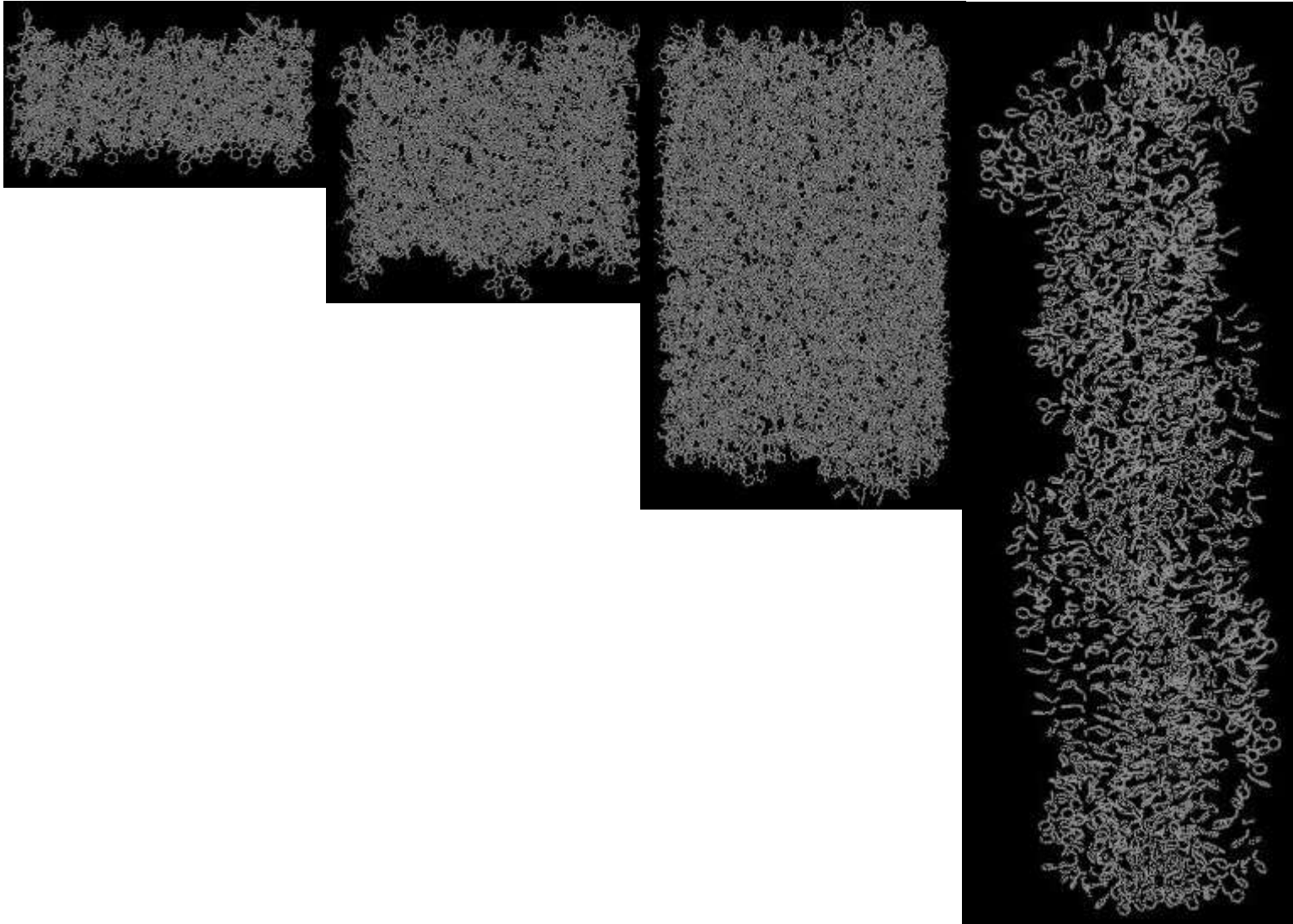
$$\begin{aligned}
 V_{\text{PS}} = & \sum_{i,j} \hat{\epsilon}_{ij} \left( \left( \frac{\sigma_{ij}}{r_{ij}} \right)^{12} - \left( \frac{\sigma_{ij}}{r_{ij}} \right)^6 \right) + \sum_{i,j} k_{B,ij} (r_{ij} - l_{ij})^2 \\
 & + \sum_{i,j,k} k_{\theta,ijk} (\theta_{ijk} - \theta_{0,ij})^2 + \sum_{i,j,k,l} k_{2\varphi,ijkl} \cos(2\varphi_{ijkl}) \\
 & + \sum_{i,j,k,l} k_{3\hat{\varphi},ijkl} \cos(3\hat{\varphi}_{ijkl})
 \end{aligned}$$

- Degree of polymerization 80,  $M_w \sim 8400$
- Temperature range 240K - 600K
- Pressure 1 bar



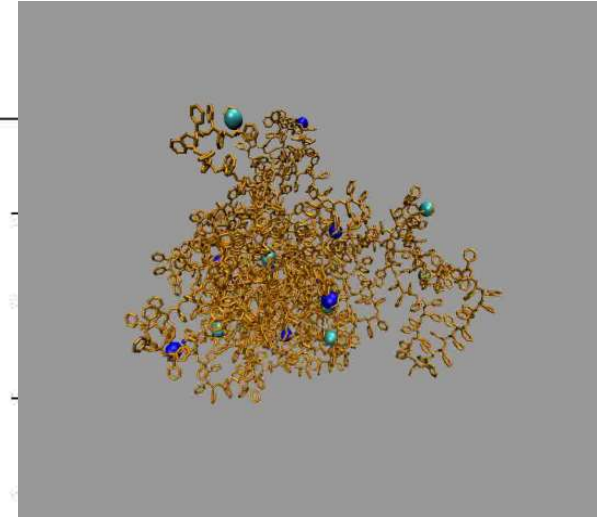
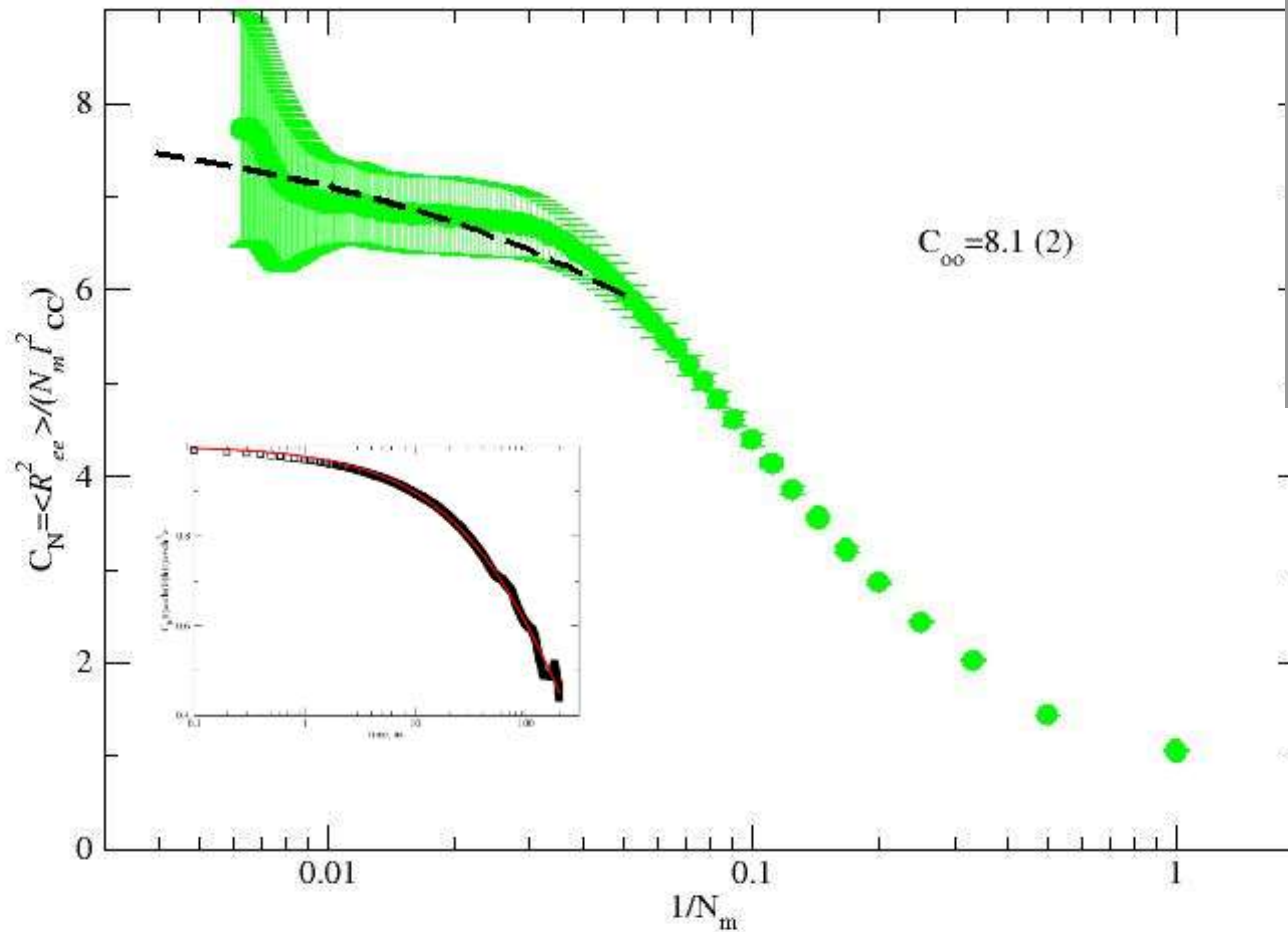
# Films

8 chains, ~2.5nm    16 chains, ~ 5nm    32 chains, ~10nm    16 chains, ~20nm

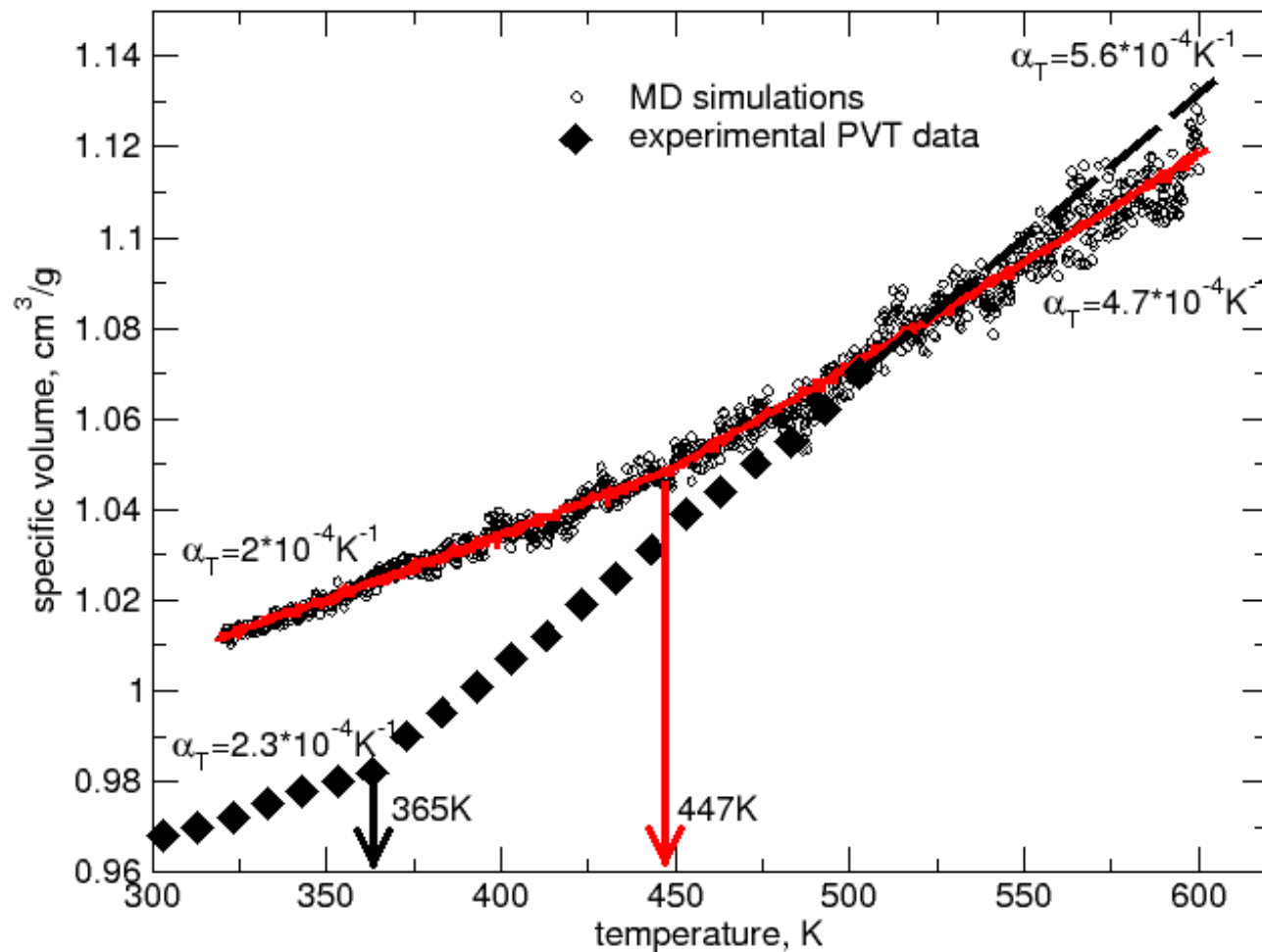


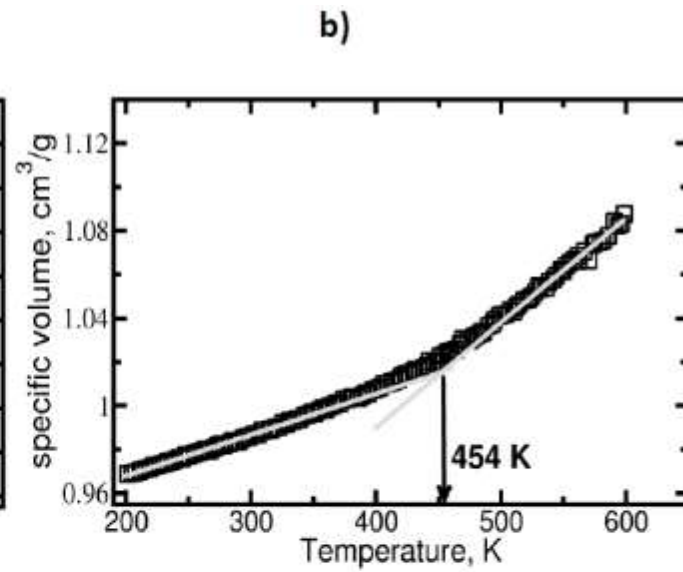
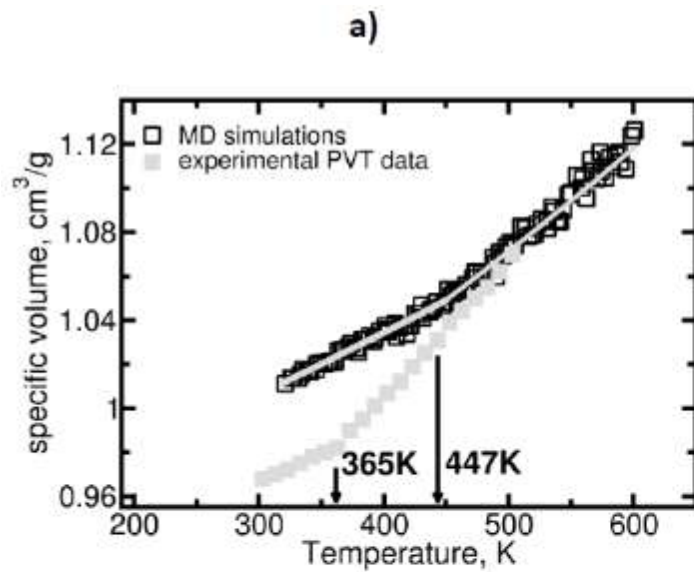
# Equilibration: bulk

Characteristic ratio, PS, bulk, T=600K



# PS bulk vs experimental PVT data (Zoller, Walsh)



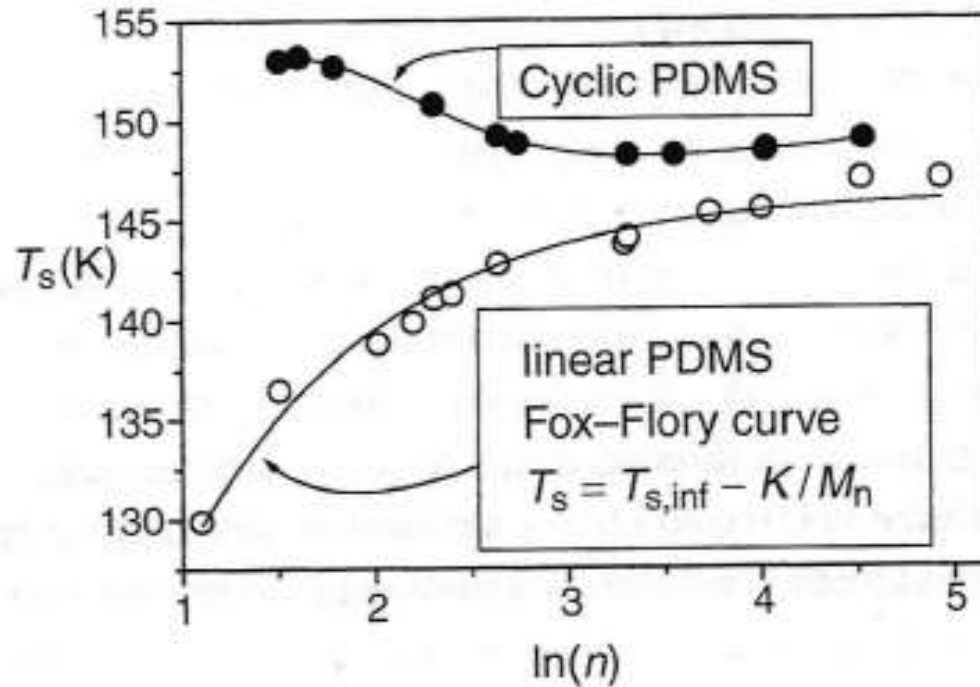


bulk aPS (a) linear and b) ring). Experimental results for linear aPS,  $M_w=9000$ .

# Glass-transition temperatures: bulk

|      | LINEAR POLYMERS | RING POLYMERS |
|------|-----------------|---------------|
| aPS  |                 |               |
| bulk | 447 (5)         | 454 (5)       |

# Cyclic vs. linear

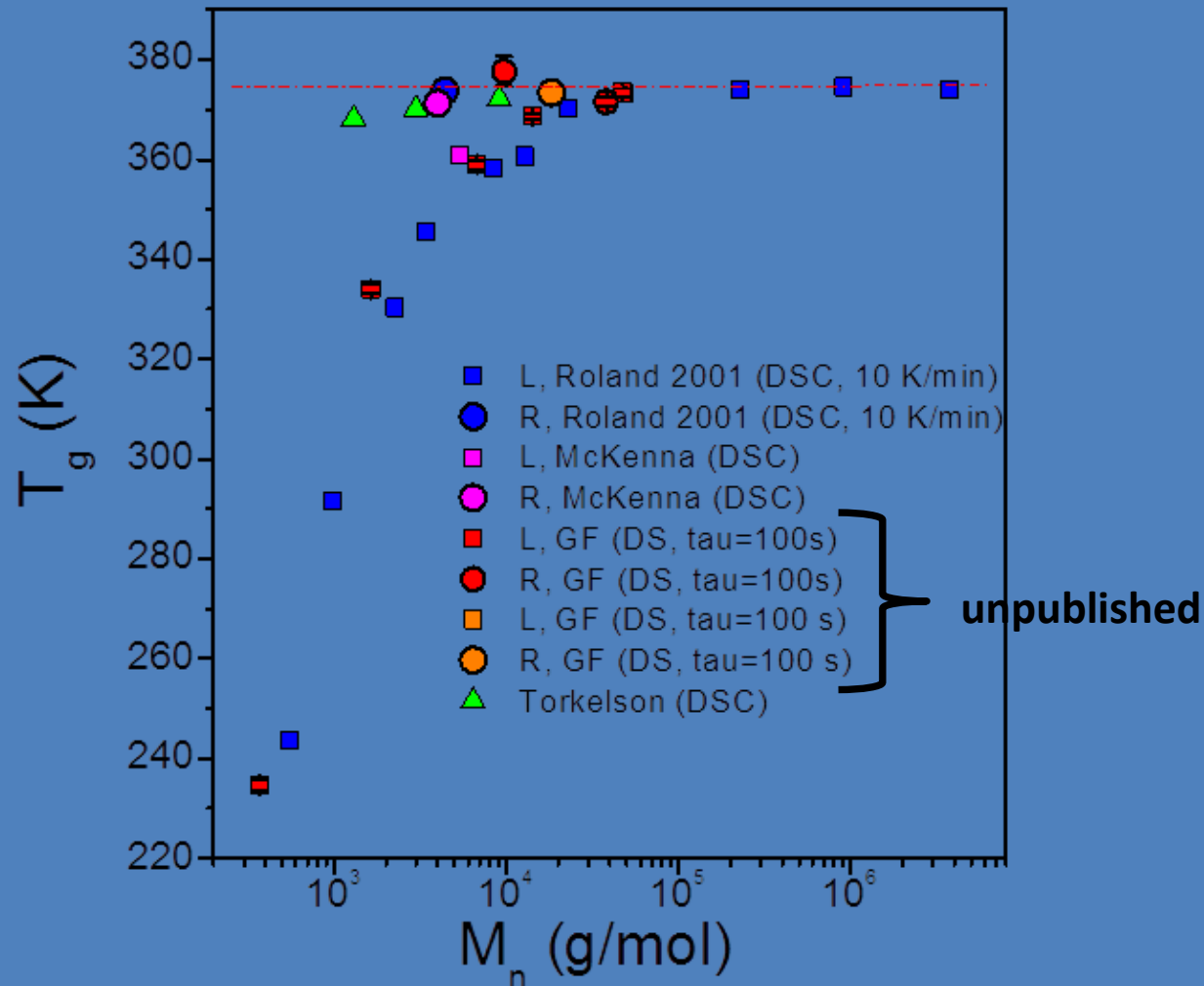


**Fig. 2.13.** The reference temperature  $T_s$  of the WLF equation used to fit the temperature dependence of the dielectric relaxation time  $\tau$  of linear and cyclic PDMS plotted against the logarithm of the number of repeat units of PDMS. From Kirst *et al.* [86], by permission.

(Kirst, Kremer, Pakula, Hollingshurst, *J. Coll. Polym. Sci.* **1994**, 272, 1420)

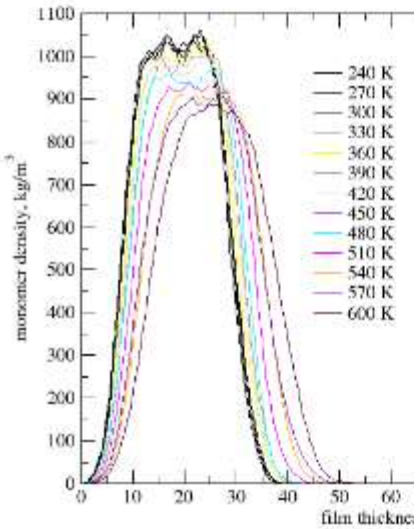
# Cyclic vs. linear

$T_g$  - dielectric measurement by G. Floudas, 2014

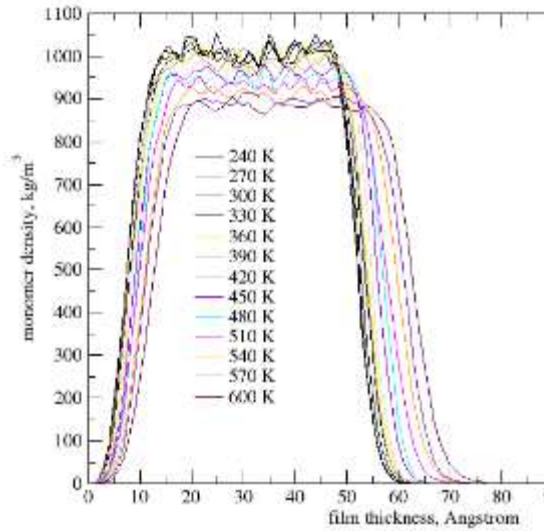


# PS films

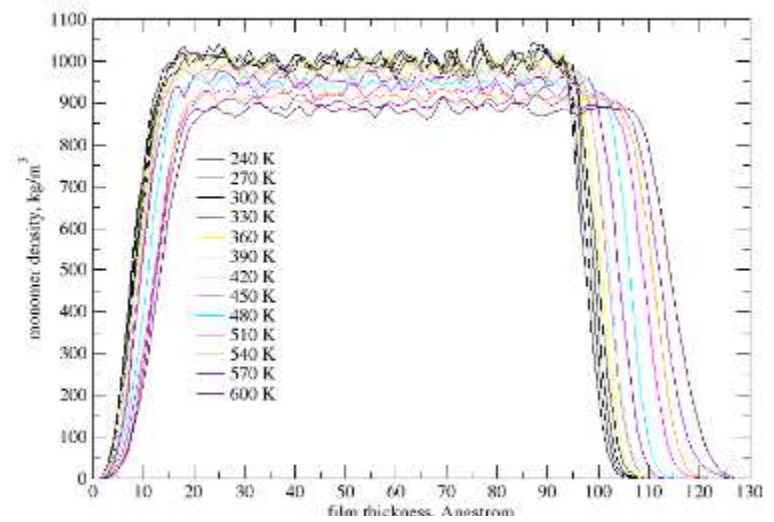
PS film,



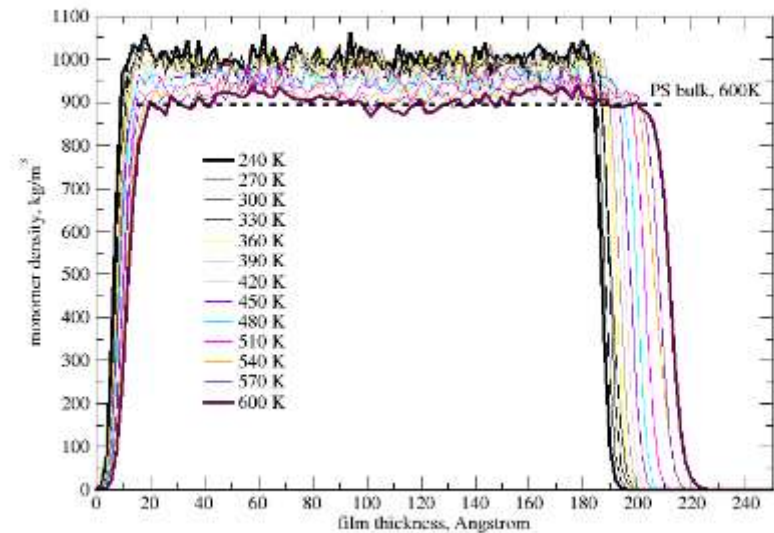
PS film, 16 chains

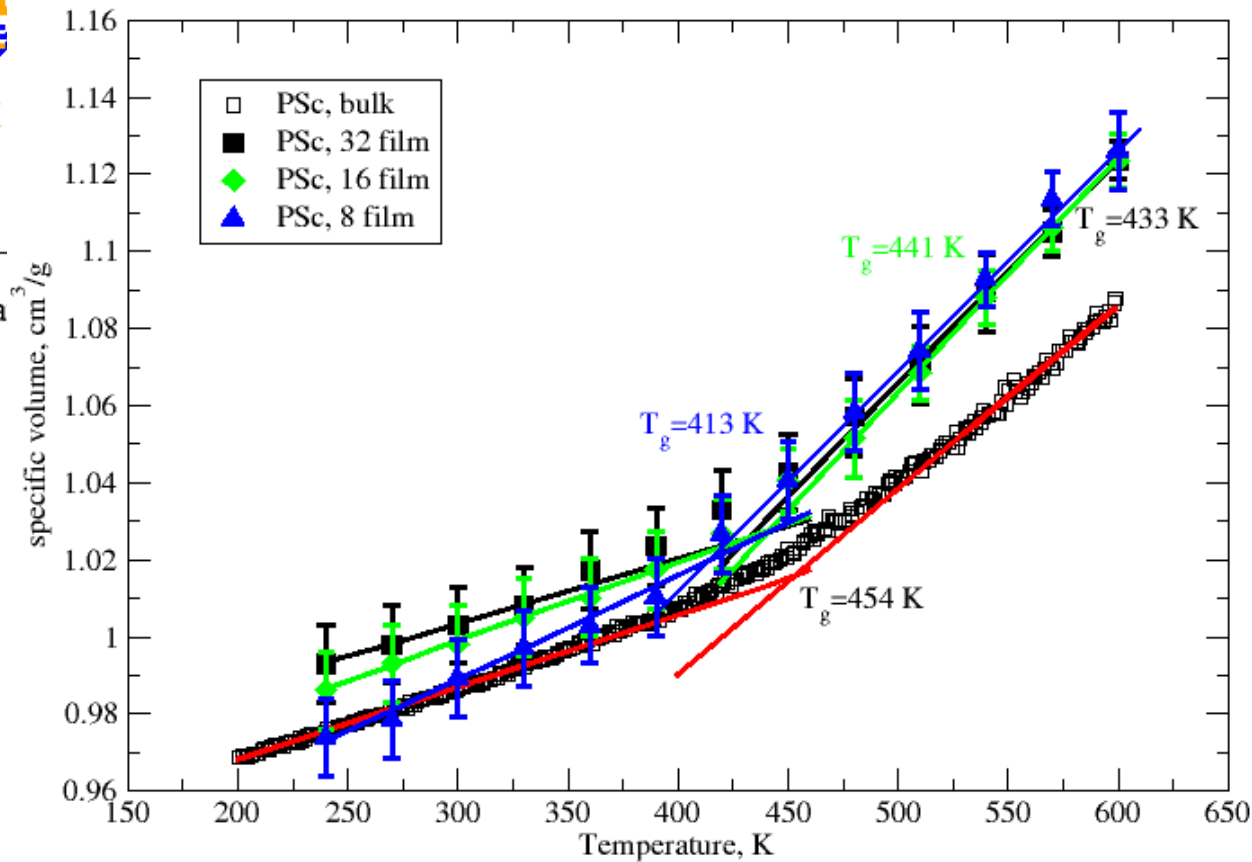
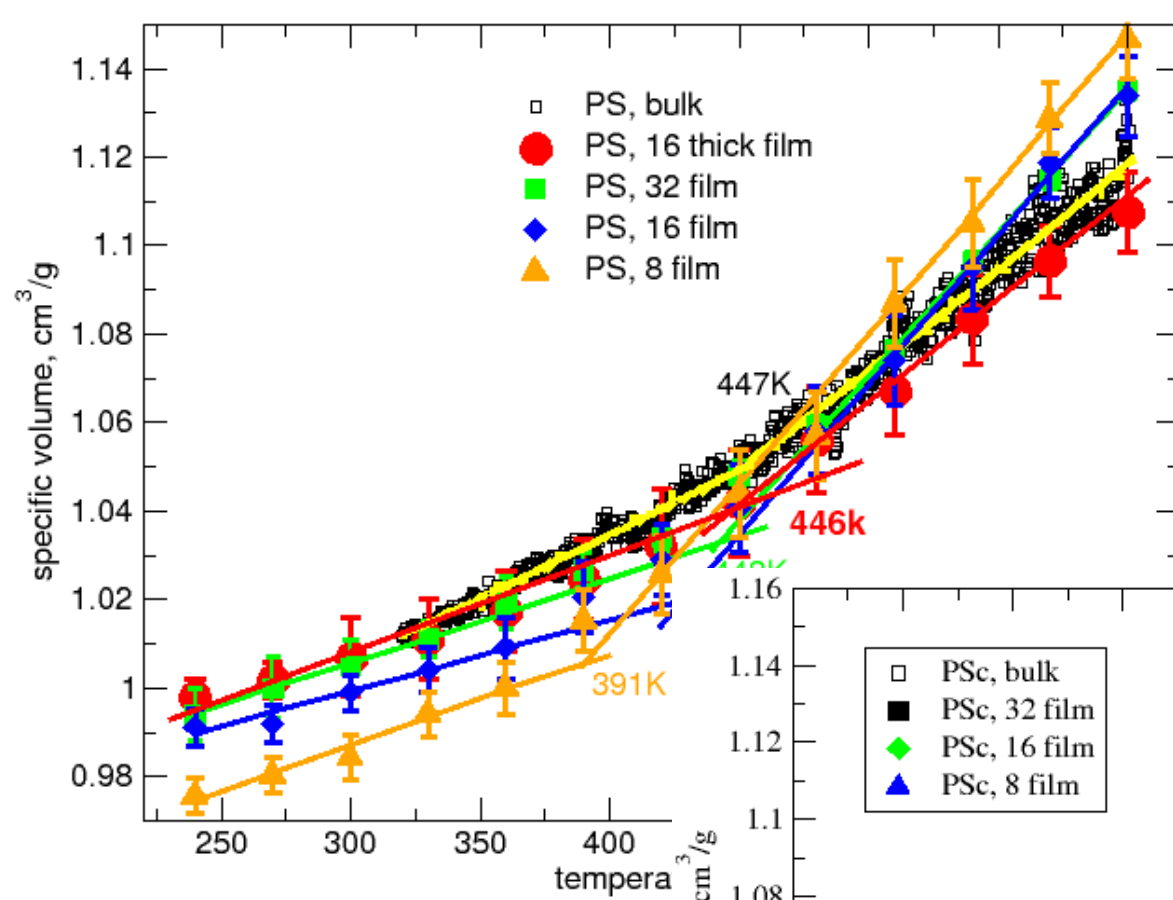


PS film, 32 chains



PS thick film, 16 chains

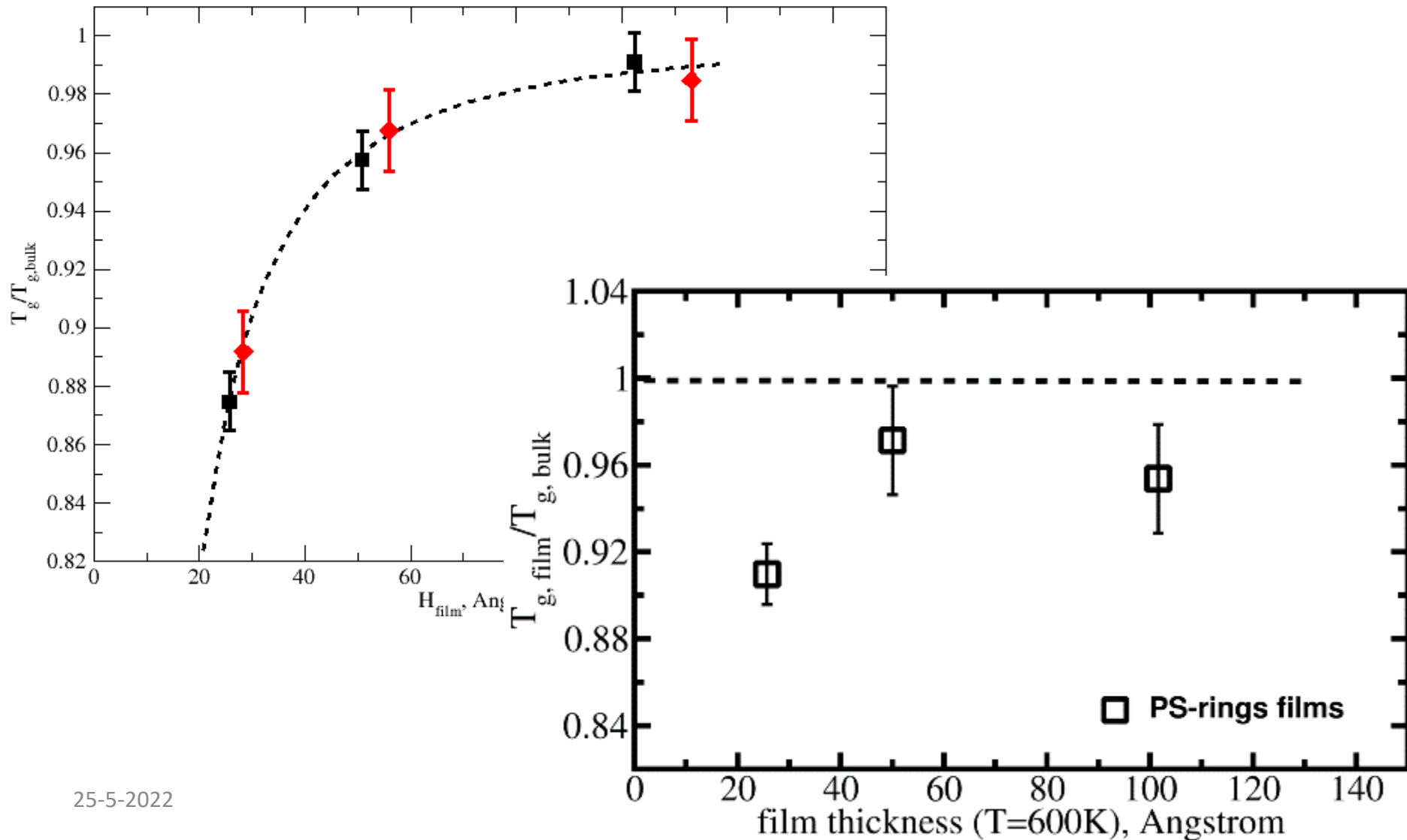


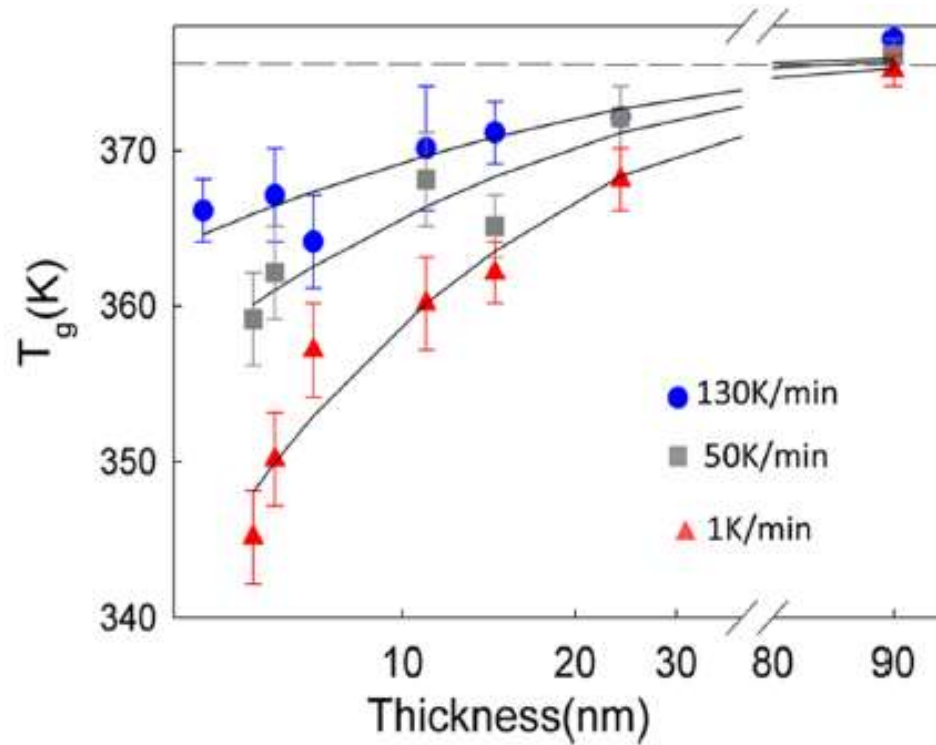


# Glass-transition temperatures

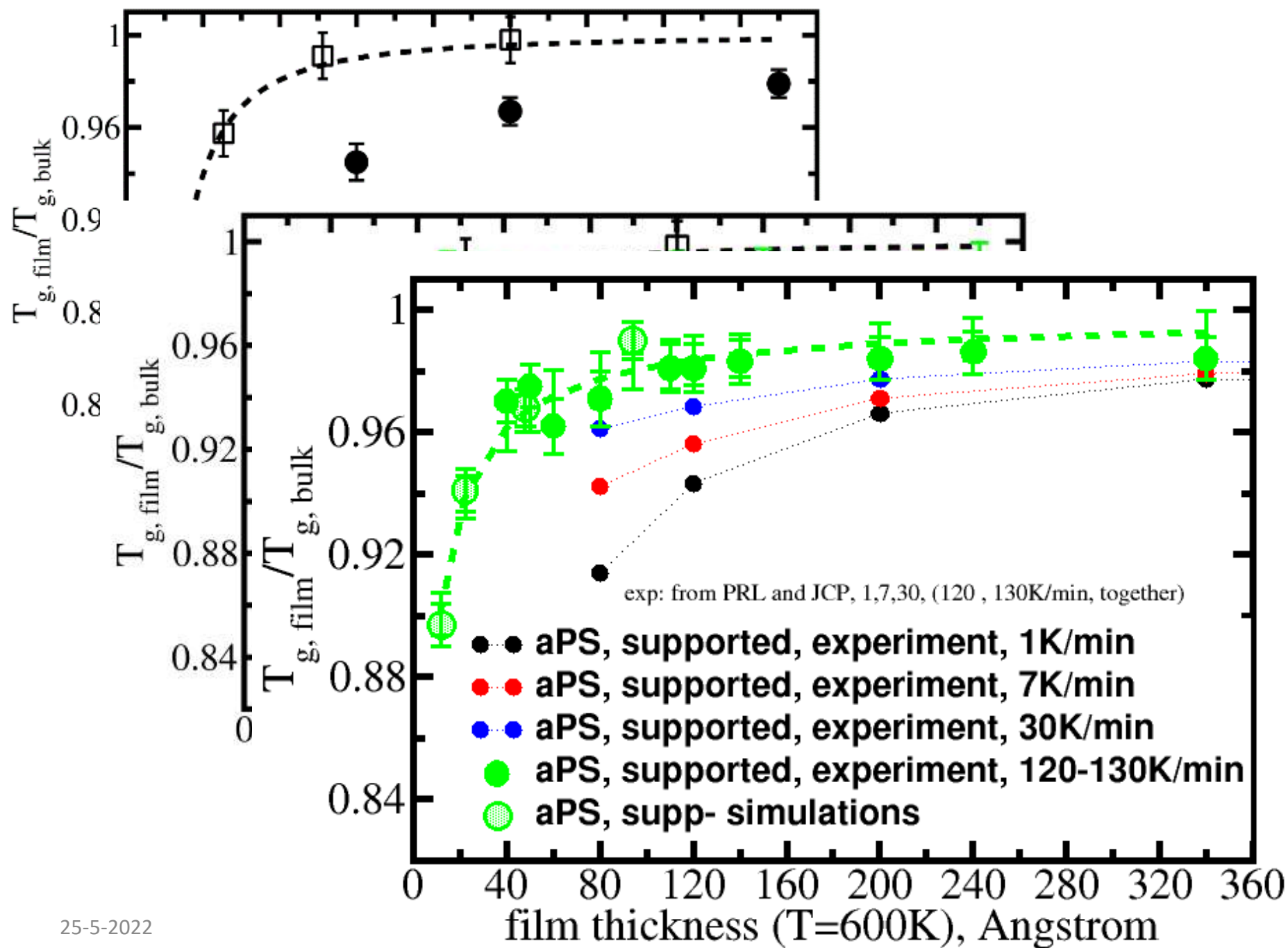
|              | LINEAR PS | RING PS |
|--------------|-----------|---------|
| <b>bulk</b>  | 447 (10)  | 454 (5) |
|              |           |         |
| <b>200 Å</b> | 446 (5)   | -       |
| <b>100 Å</b> | 443 (5)   | 433 (5) |
| <b>50 Å</b>  | 428 (5)   | 441 (5) |
| <b>25 Å</b>  | 391 (5)   | 413 (3) |

# Thickness dependence

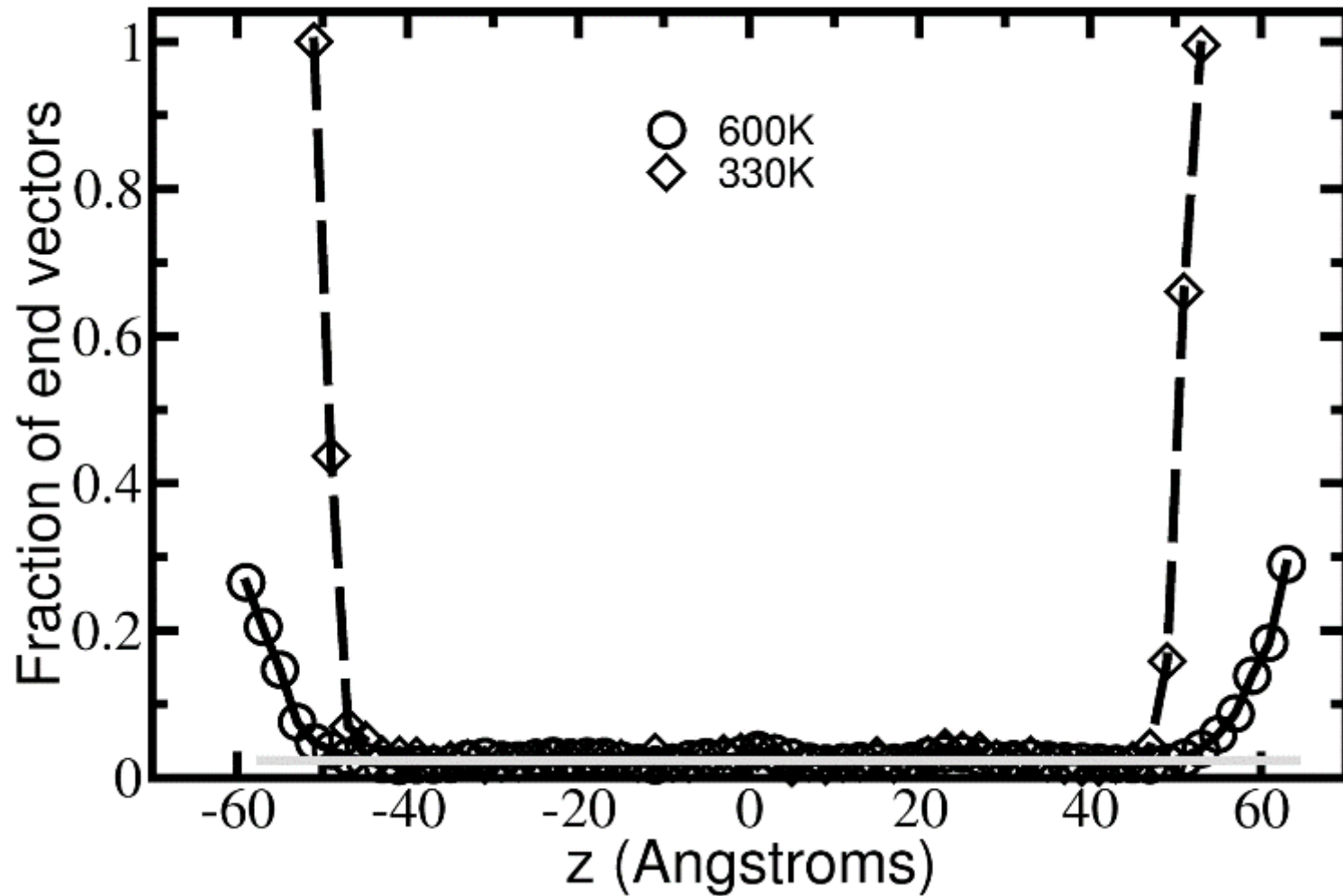




Z. Fakhraai, J.A. Forrest, Phys. Rev. Lett., 2005

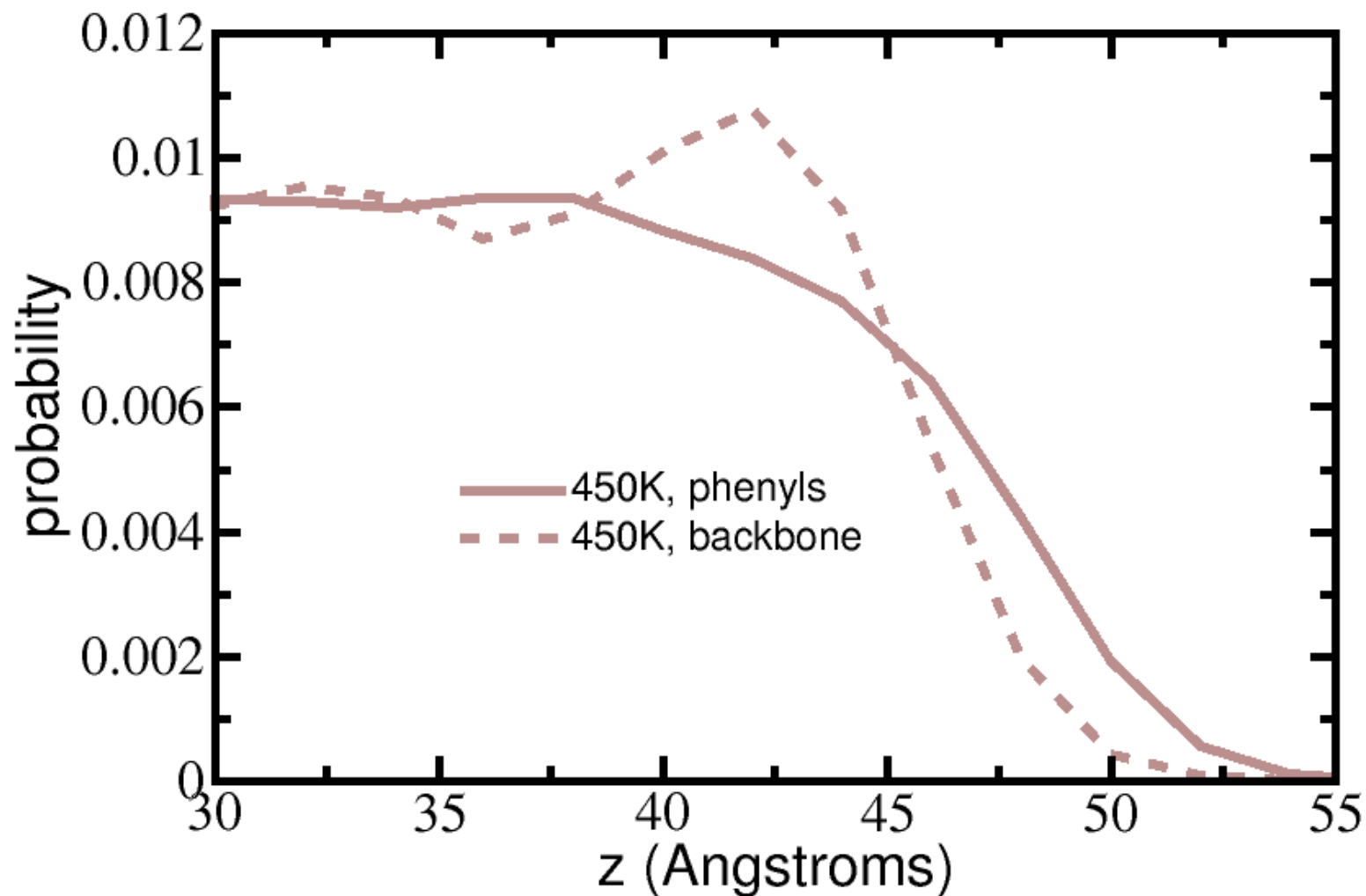


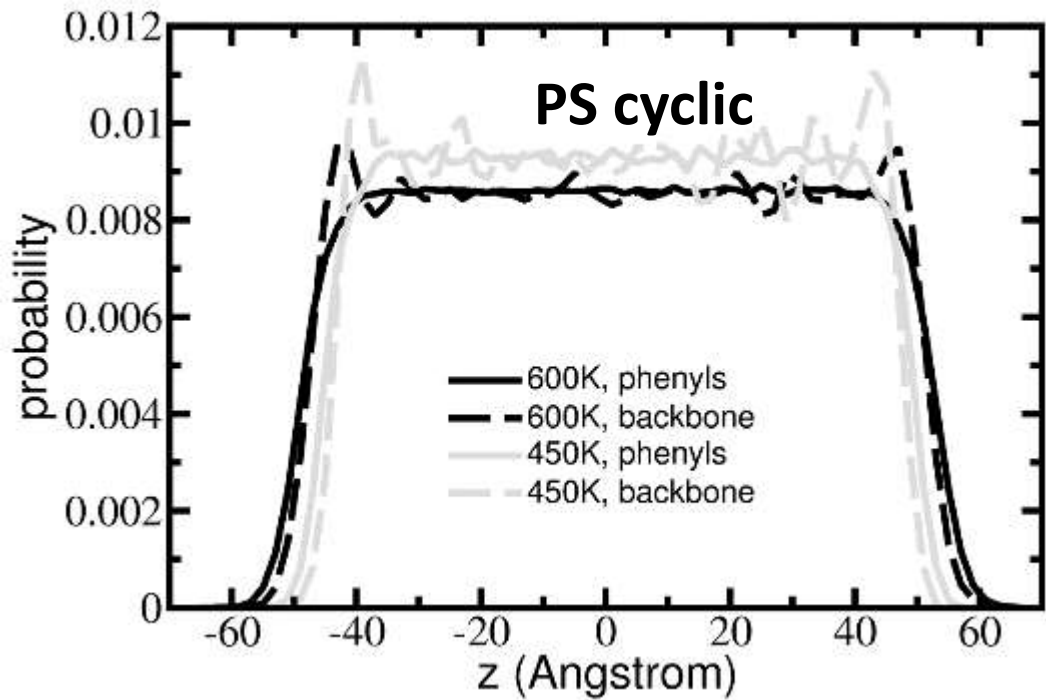
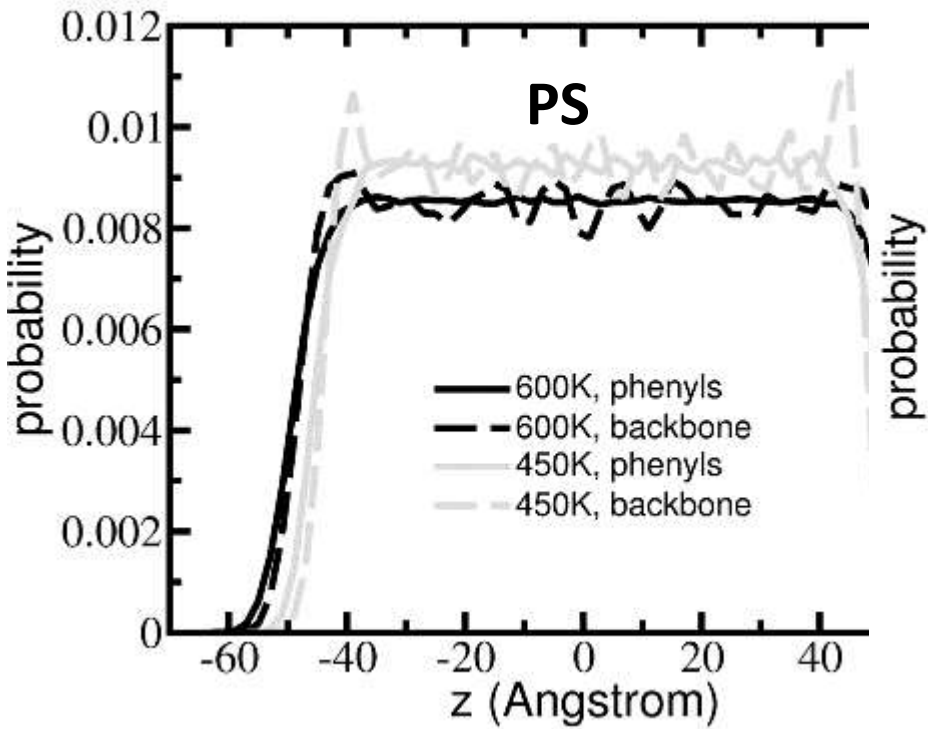
# Chain ends

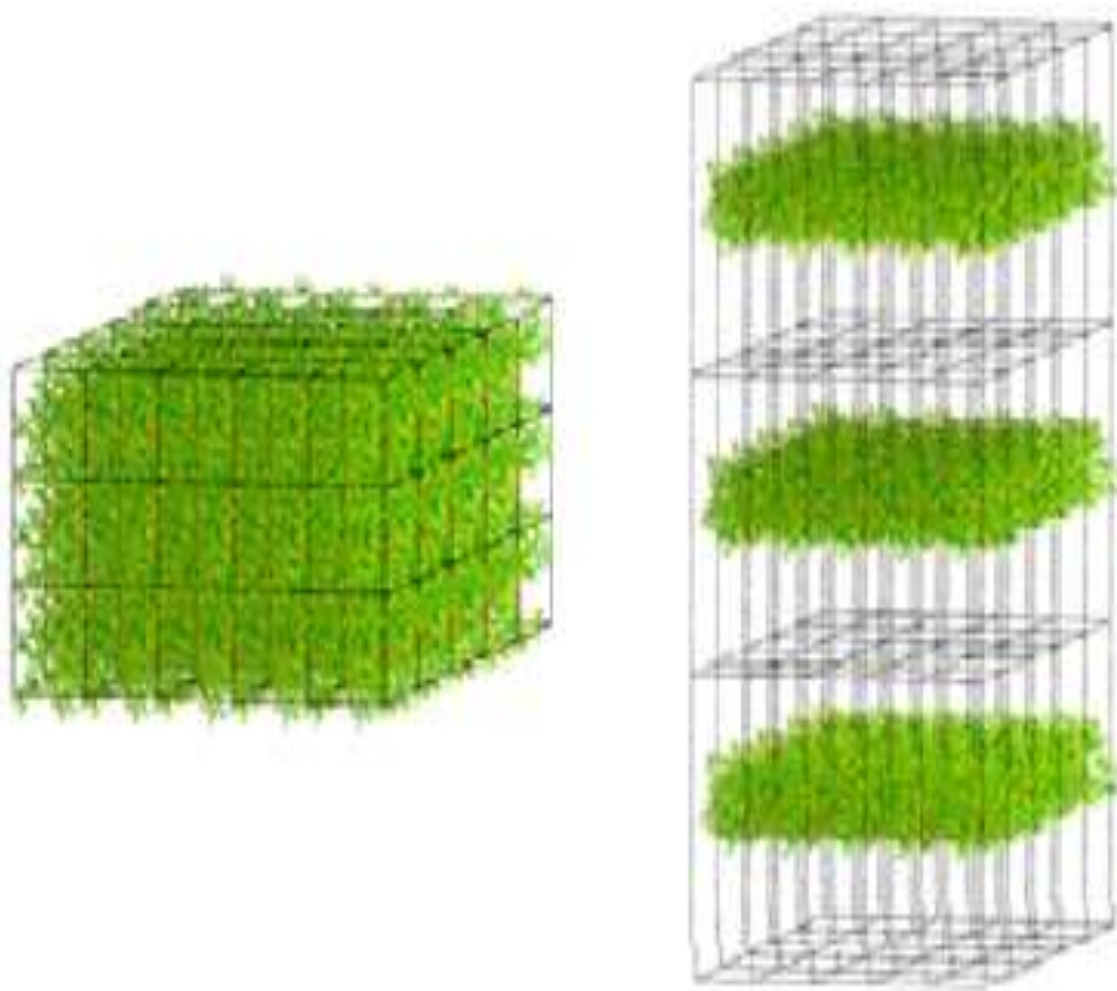


More ends are concentrated at the interface for PS film

# Phenyls vs backbone



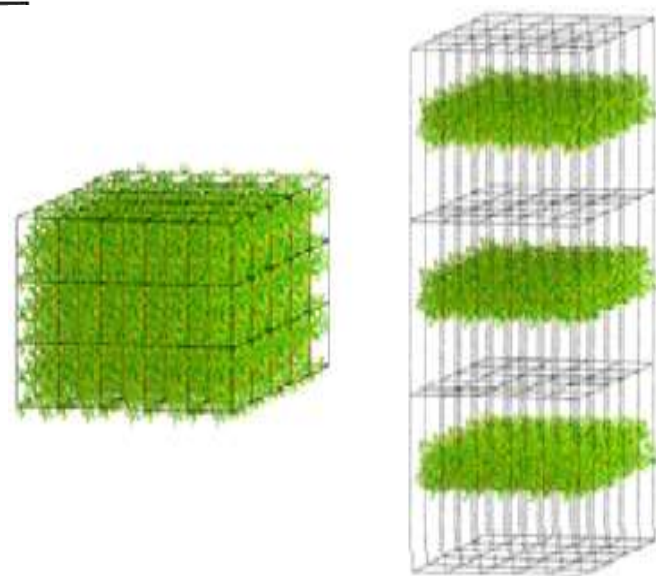
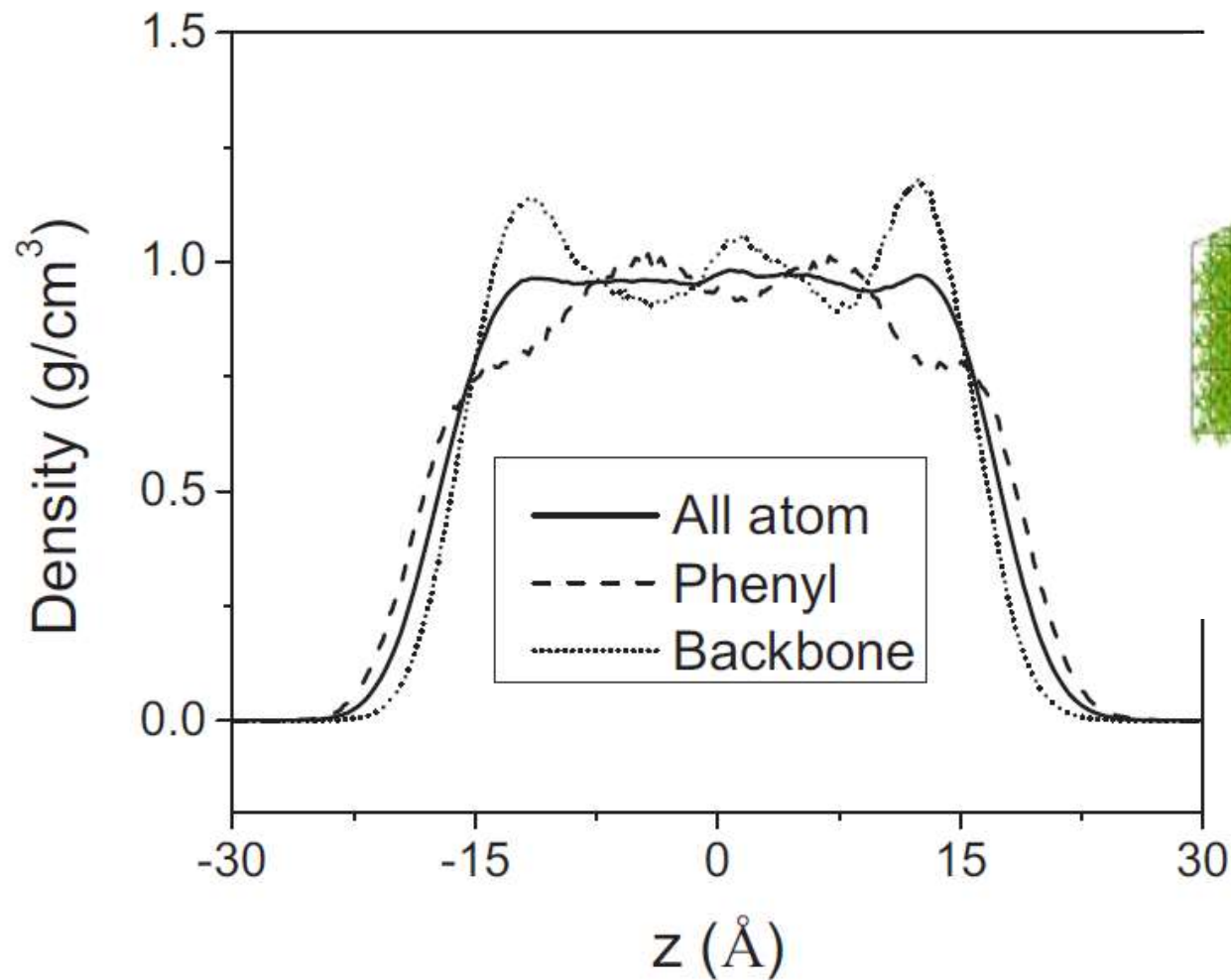




Explicit atom force field: G.D. Smith et al., J. Phys. Chem. A 102 (1998) 4694-4702

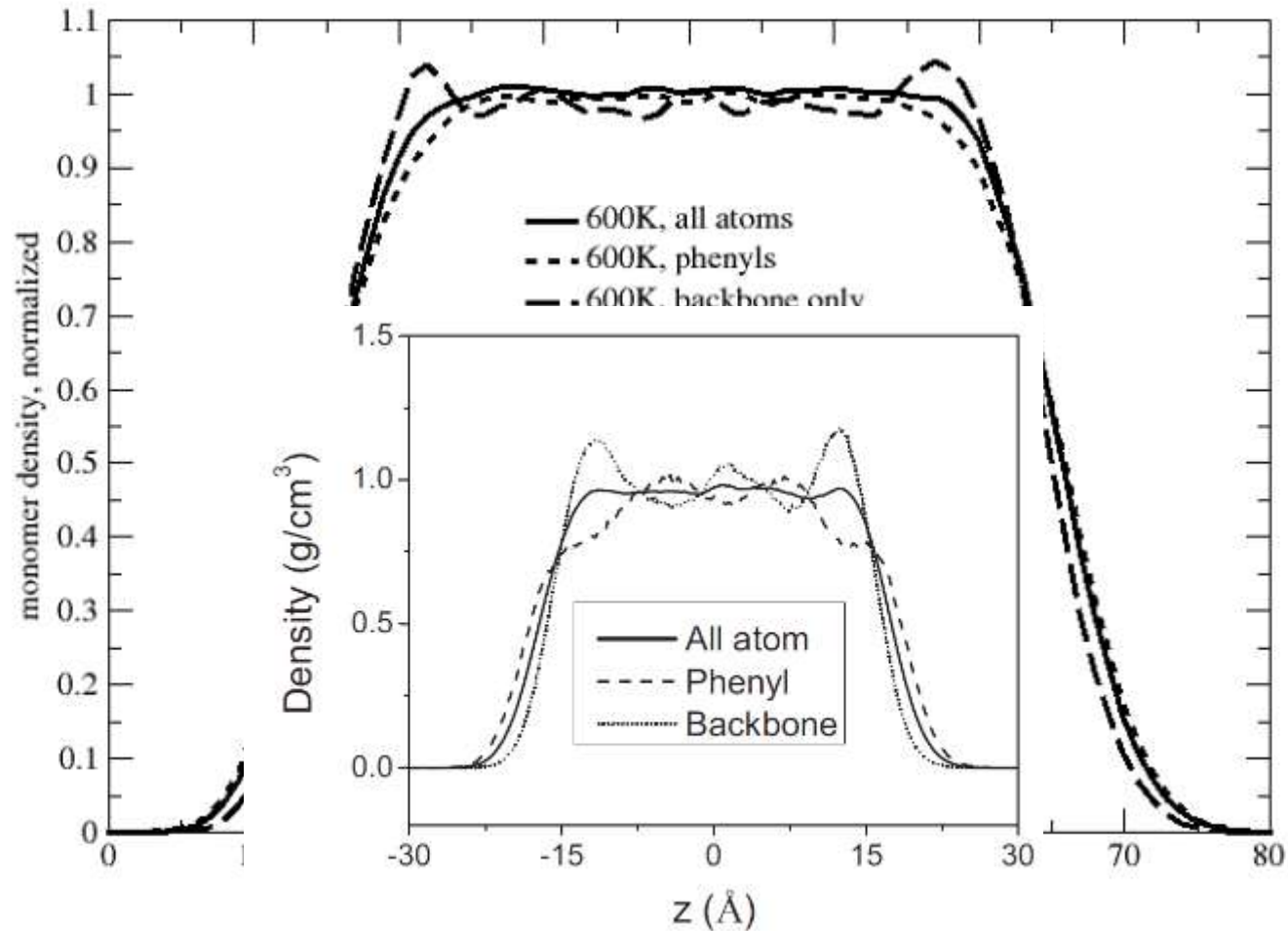
# Phenyls vs backbone (all-atom model)

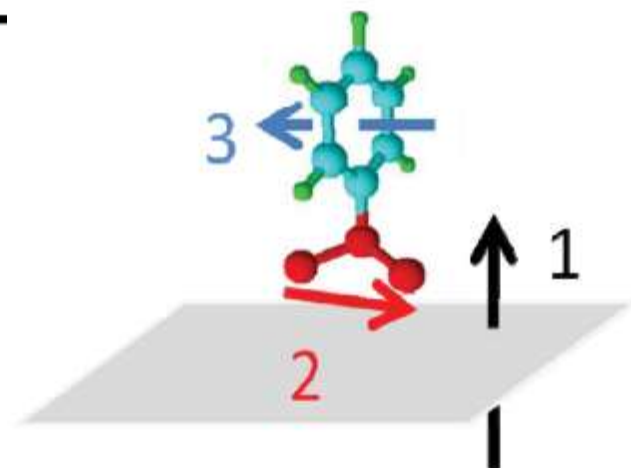
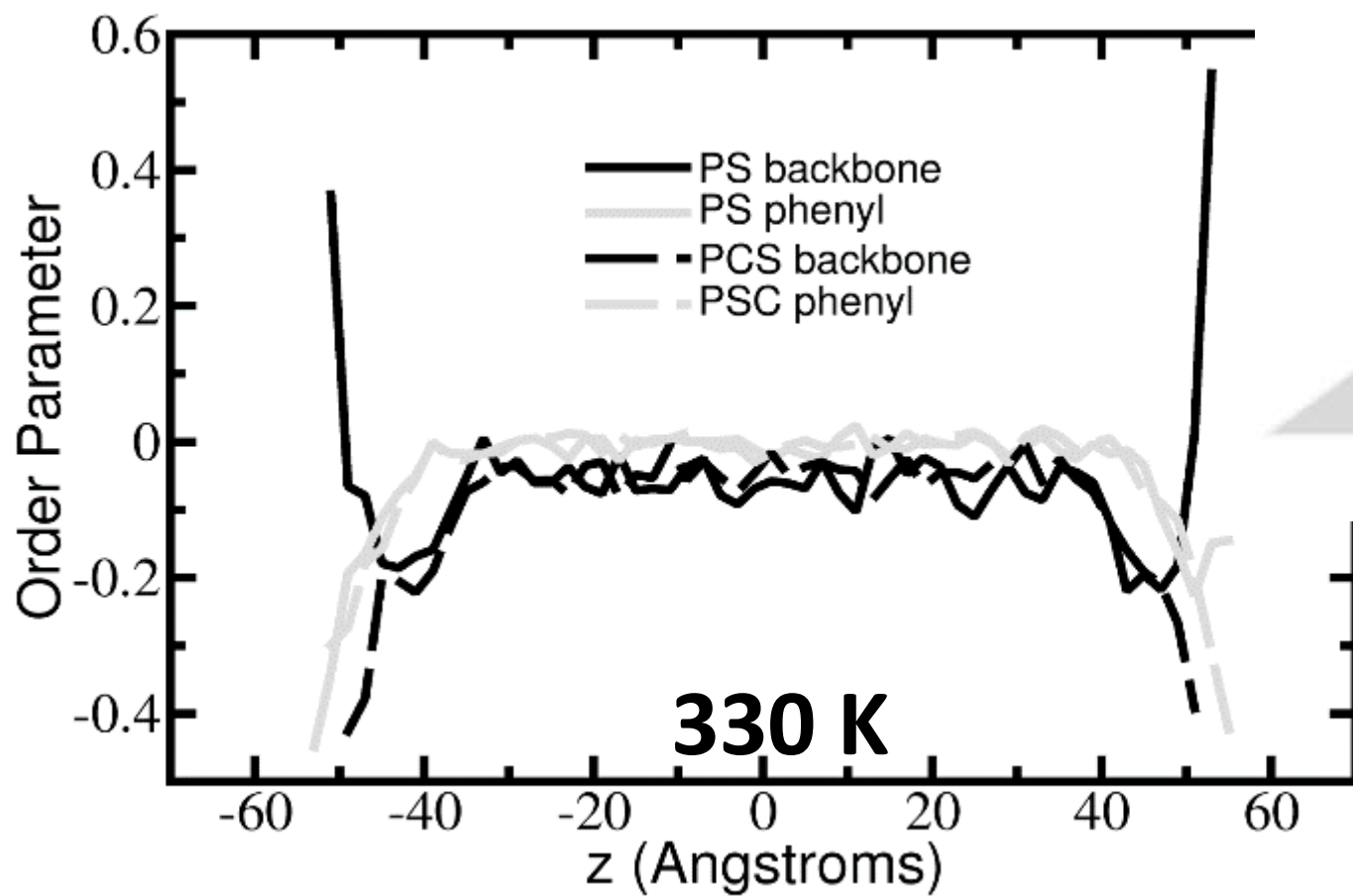
(Sanghun Lee, Do Yoon, AVL)



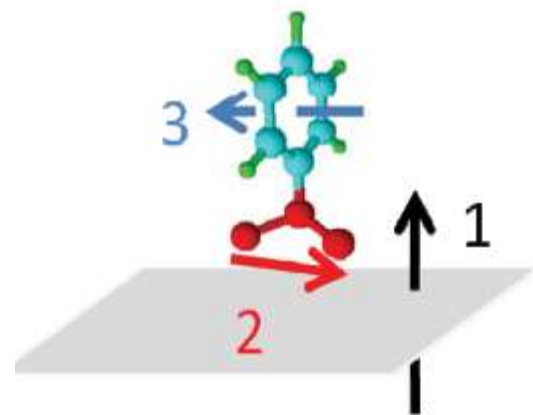
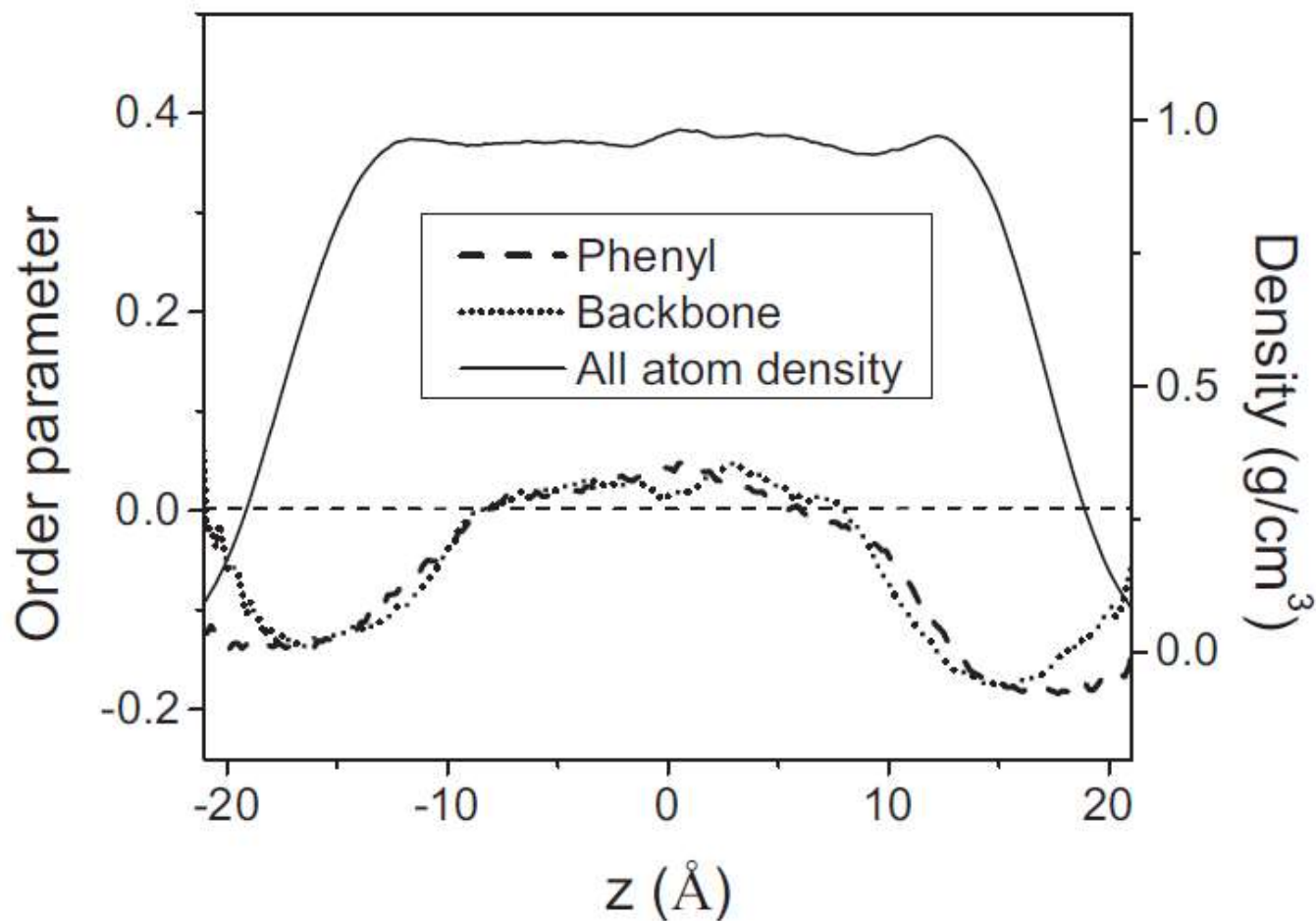
# Phenyls vs backbone

PS film, 16 chains, all monomers, backbone and phenyls only





# Phenyls vs backbone (all-atomistic)



# Conclusions

- $T_g$  thickness dependence is (mainly) due to  $\pi$ -  $\pi$  interactions ;
- Chain ends also play role; interfacial layers are different for linear PS and PS cyclic;

AVL et al., J. Chem. Phys. v. 146 (*special issue*), 2017

S. Lee, AVL, C. Frank, D. Yoon, Polymer, 2017

# Challenges

- Comparison of “static”  $T_g$  to that measured dynamically;
- Role of  $\pi$ -  $\pi$  interactions

